



DFI Personal Financial Statement

This application must be completed by typewriter or legibly printed.

Do not leave any blank spaces. There must be an answer provided for each inquiry. If not applicable use "None" or "n/a". Schedules, details and descriptions must be completed in space provided and by attachments if necessary. Total Assets must equal Total Liabilities and Net Worth. Describe any unusual assets or liabilities.

1. Identifying Information:

| | | | |
|--------------------------|------|----------------------------------------------------------|----------------------------------|
| Name: | | Financial Condition as of: (mo/day/yr) ____/____/____ | |
| Address: | | City: | |
| State: | Zip: | Occupation: | |
| Licensee/Applicant Name: | | License Type: | AzDFI License # (if applicable): |

2. Assets and Liabilities:

| Assets | Amount | Liabilities | Amount |
|-----------------------------------------------------|--------|------------------------------------------------|--------|
| Cash in Bank | | Notes Payable to Bank | |
| Cash in other Banks (detail) | | Notes Payable to Other Banks (detail) | |
| | | | |
| Ordinary Accounts Receivable - Good | | Ordinary Accounts Payable - Goods | |
| Due from Friends and Relatives (describe) | | Due from Friends and Relatives (describe) | |
| | | | |
| Notes Receivable - Good (Schedule 1) | | Notes Payable to Others (describe) | |
| Mortgages Owned (Schedule 1) | | Automobile Loans or Leases | |
| Readily Marketable Securities (Schedule 4) | | | |
| Other Securities (Schedule 4) | | Due to Brokers | |
| Cash Surrender Value of Life Insurance (Schedule 5) | | Loans on Life Insurance (Schedule 5) | |
| Real Estate & Buildings | | Mortgages or Liens on Real Estate (Schedule 3) | |
| Automobiles | | Installment Loans | |
| Personal Property | | Income Taxes Payable | |
| Other Assets (describe) | | Other Taxes Payable | |
| | | Other Liabilities (describe) | |
| | | Credit Cards | |
| Total Assets | | Total Liabilities | |
| | | Net Worth (Assets Minus Liabilities) | |
| | | Total Liabilities and Net Worth | |

**3. Approximate Annual Income and Expense:
(exclusive of ordinary living expenses)**

| Income | Amount | Fixed Expenses | Amount |
|--------------------------------------------------------|--------|-----------------------------------------------------------------------------------------------------------|--------|
| Salary From _____ | | Insurance Premiums | |
| Income from Securities | | Rent or Mortgage Payments | |
| Real Estate Rental | | Income Taxes (for year _____) | |
| Net Income from Business or Profession | | Other Taxes Payable | |
| Other (Alimony, child support or separate maintenance) | | Other (Include Alimony, child support or separate maintenance payments if you are obligated to make them) | |
| | | | |
| Total | | Total | |



| 4. Additional Information: | | |
|-------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| | Yes | No |
| Are the above evaluations on receivables conservative? (if no, explain by separate letter) | | |
| Are any assets pledged or debts secured except as indicated? (if yes, itemize by debt and security) | | |
| Do you have any contingent liabilities for guarantees, endorsements or others? (if yes, explain) | | |
| Do you do business with any other bank? (if yes, nature of business) | | |
| If you are married are any of the above assets your spouse's separate property? (if yes, itemize) | | |
| Are there any suits, judgments, tax deficiencies or other claims pending or in prospect against you? (if yes, explain by separate letter) | | |
| Have you ever gone through bankruptcy or compromised in debt? (If yes, explain by separate letter) | | |
| Have you made a will? If yes, who is named Executor of Estate: _____ | | |

5. Schedules:

Schedule 1 - Notes and Mortgages Owned:

Describe here or on separate sheet any important or unusual receivables

| Name of Debtor | Amount Due | How Payable | Remarks (Include description & value of any security) |
|----------------|------------|-------------|-------------------------------------------------------|
| | | | |
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Schedule 2 - Real Estate and Buildings:

Provide details of encumbrances on Schedule 3 opposite proper parcel number

| Parcel | Location & Description (Include Improvements) | Monthly Income | Title In Name Of | Value on Land | Improvements | Encumbrances Amount | Fire Ins. Amount |
|--------|-----------------------------------------------|----------------|------------------|---------------|--------------|---------------------|------------------|
| No. #1 | | | | | | | |
| No. #2 | | | | | | | |
| No. #3 | | | | | | | |
| No. #4 | | | | | | | |
| No. #5 | | | | | | | |

What is the basis for the above valuations? (State whether cost, fair market value today or other basis) _____

Are there any properties held on joint tenancy? Yes No Parcel Number _____



| Schedule 3 - Real Estate Encumbrances: | | | | | | |
|----------------------------------------|-------------------------|-------------------------------------------|---------------|----------|----------------|------------------------------------|
| Parcel | Amt. Owing Per Schedule | Nature of Encumbrance and to Whom Payable | Interest Rate | Due Date | Payment Amount | * Are Interest & Principal Current |
| No. #1 | | | | | | Yes No |
| No. #2 | | | | | | Yes No |
| No. #3 | | | | | | Yes No |
| No. #4 | | | | | | Yes No |
| No. #5 | | | | | | Yes No |

* If any payments of principal or interest are delinquent provide details. _____

Are any taxes delinquent? Yes No (If Yes, give amount and details) _____

Are there any unrecorded deeds, liens or other claims not shown above? _____

| Schedule 4 - Securities Owned: | | | | | | |
|------------------------------------------|-------------|------------------------------------|------------------------------------|--------|-----------------------------|--------|
| Attach separate schedule sheet if needed | | | | | | |
| Stocks - Shares Bond Amounts | Description | Value Carried on this Statement | Current Market on Listed Amount | | Estimated Value on Unlisted | |
| | | | @ | Amount | @ | Amount |
| | | | | | | |
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In whose name are the above securities held? _____

If in names of yourself and co-owner, are they joint tenancy? _____

| Schedule 5 - Insurance: | |
|------------------------------------|-----------------------------------|
| Public Liability on autos \$ _____ | Property Damage on autos \$ _____ |

| Life Insurance | | | | |
|----------------|------------------|------------|-----------------|----------------|
| Beneficiary | Amount of Policy | Cash Value | Amount of Liens | Net Cash Value |
| | | | | |
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6. Affidavit:

I certify that the above information provided by me is true, complete and correct to the best of my knowledge and belief.

Print Name

Signature

Date