



Office of the Director
Arizona Department of Insurance and Financial Institutions
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Katie Hobbs, Governor
Barbara D. Richardson, Director

September 6, 2023

The Honorable Katie Hobbs
Governor
Arizona State Capitol, 9th Floor
1700 West Washington Street
Phoenix, AZ 85007

Re: FY 2025 Budget - Department of Insurance and Financial Institutions

Governor Hobbs:

Included with this letter is the expenditure detail and budget request for Fiscal Year 2025 by the Arizona Department of Insurance and Financial Institutions (DIFI). This request is a testament to the tremendous growth seen in Arizona over the last several years in the financial services and insurance sectors. DIFI remains as committed as ever to securing that growth for the long term and continuing to provide certainty to our regulated stakeholders, protect consumers, and perform at a high level.

We appreciate the opportunity to present this budget request. DIFI will continue to invest the resources entrusted to the agency to make Arizona a great place to work, live, build, and play.

Respectfully,

Barbara D. Richardson

Barbara D. Richardson
Director

ARIZONA



DEPARTMENT OF INSURANCE AND FINANCIAL INSTITUTIONS

Fiscal Year 2025 Budget Request

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State of Arizona Budget Request

State Agency

Department of Insurance Financial Institutions

A.R.S. Citation: **AZ Const. art. XV § 5;**
A.R.S. Titles 6, 20

Governor Hobbs:

This and the accompanying budget schedules, statements and explanatory information constitute the operating budget request for this agency for Fiscal Year 2025.

To the best of my knowledge all statements and explanations contained in the estimates submitted are true and correct.

Agency Head: **Barbara Richardson**

Title: **Director**

Barbara Richardson 9/5/2023
 (signature)

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Date Prepared: September 5, 2023

Appropriated Funds	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Total Amount Requested:	20,896.9	810.9	21,707.8
General Fund	8,548.5	181.9	8,730.4
Financial Services Fund	5,538.9	456.0	5,994.9
Automobile Theft Authority Fund	6,809.5	173.0	6,982.5
Non-Appropriated Funds			
Total Amount Planned:	4,315.4	-	4,315.4
Mortgage Recovery Fund	13.2	-	13.2
Federal Grants Fund	28.7	-	28.7
Insurance Examiners Revolving Fund	2,226.7	-	2,226.7
Banking Department Revolving Fund	412.3	-	412.3
Captive Insurance Regulatory and Supervision Fund	800.8	-	800.8
Health Care Appeals Fund	145.1	-	145.1
Financial Surveillance Fund	545.4	-	545.4
Receivership Revolving Fund	3.0	-	3.0
Insurance Receivership Liquidation Fund	140.2	-	140.2
Department of Insurance Financial Institutions Total:	25,212.3	810.9	26,023.2

Revenue Schedule

Agency:	Department of Insurance Financial Institutions
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Fund:	AA1000 General Fund
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AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4192	Insurance Premium Tax	761,342.0	790,800.0	761,300.0
4312	Examination Fees	948.2	1,014.0	1,248.0
4314	Filing Fees	557.9	569.0	569.0
4332	Other Education Fees	1.3	-	-
4339	Other Fees & Charges for Services	2,125.1	1,794.4	1,794.4
4372	Publications & Reproductions	3.5	3.5	3.5
4415	Occupational & Professional Licenses	19,279.4	19,665.0	20,058.3
4417	Regulatory Licenses	573.3	584.8	584.8
4419	Other Licenses	(22.0)	-	-
4439	Other Permits	5.2	-	-
4449	Other Fees	4,601.0	5,313.8	5,356.0
4512	Restitution	6.7	6.7	6.7
4519	Other Fines, Forfeitures, Penalties and Liquidated Damages	467.6	475.6	475.6
4645	Payment Card Transaction Fees Paid	(28.4)	(28.4)	(28.4)
4647	Credit Card Fees Paid	(12.7)	(12.7)	(12.7)
4699	Miscellaneous Receipts	3.7	3.7	3.7
4821	Prior Year Reimbursements (Refunds)	0.0	-	-
General Fund Total:		789,851.8	820,189.4	791,358.9

Forecast Methodology

See attachment.

Revenue Schedule

Agency: Department of Insurance Financial Institutions

Fund: ID1997 Mortgage Recovery Fund

AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4449	Other Fees	183.7	179.8	179.8
4631	Treasurer's Interest Income	111.6	99.6	99.6
Mortgage Recovery Fund Total:		295.3	279.4	279.4

Forecast Methodology

See attachment.

Fund: ID1998 Financial Services Fund

AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4415	Occupational & Professional Licenses	3,856.5	3,656.4	3,656.4
4449	Other Fees	2,345.4	2,671.2	2,671.2
Financial Services Fund Total:		6,201.9	6,327.6	6,327.6

Forecast Methodology

See attachment.

Fund: ID2000 Federal Grants Fund

AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4211	Federal Grants – Operating	120.0	123.9	-
Federal Grants Fund Total:		120.0	123.9	-

Forecast Methodology

See attachment.

Revenue Schedule

Agency: Department of Insurance Financial Institutions

Fund: ID2034 Insurance Examiners Revolving Fund

AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4312	Examination Fees	2,218.8	2,271.3	2,271.3
Insurance Examiners Revolving Fund Total:		2,218.8	2,271.3	2,271.3

Forecast Methodology

See attachment.

Fund: ID2060 Automobile Theft Authority Fund

AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4449	Other Fees	7,262.2	7,386.2	7,386.2
4631	Treasurer's Interest Income	327.4	258.5	258.5
4821	Prior Year Reimbursements (Refunds)	0.1	-	-
Automobile Theft Authority Fund Total:		7,589.7	7,644.7	7,644.7

Forecast Methodology

See attachment.

Fund: ID2126 Banking Department Revolving Fund

AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4449	Other Fees	1.8	1.8	1.8
4519	Other Fines, Forfeitures, Penalties and Liquidated Damages	439.4	462.1	462.1
Banking Department Revolving Fund Total:		441.1	463.9	463.9

Forecast Methodology

See attachment.

Revenue Schedule

Agency: Department of Insurance Financial Institutions

Fund: ID2163 Insurance Department Fingerprinting Fund

AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4339	Other Fees & Charges for Services	7.9	4.0	4.0
Insurance Department Fingerprinting Fund Total:		7.9	4.0	4.0

Forecast Methodology

Fund: ID2377 Captive Insurance Regulatory and Supervision Fund

AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4419	Other Licenses	928.3	896.5	896.5
Captive Insurance Regulatory and Supervision Fund Total:		928.3	896.5	896.5

Forecast Methodology

See attachment.

Fund: ID2467 Health Care Appeals Fund

AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4339	Other Fees & Charges for Services	104.5	98.9	98.9
4449	Other Fees	178.7	168.6	168.6
Health Care Appeals Fund Total:		283.2	267.5	267.5

Forecast Methodology

See attachment.

Revenue Schedule

Agency: Department of Insurance Financial Institutions

Fund: ID2473 Financial Surveillance Fund

AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4449	Other Fees	532.3	523.5	523.5
Financial Surveillance Fund Total:		532.3	523.5	523.5

Forecast Methodology

See attachment.

Fund: ID3023 Receivership Revolving Fund

AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4901	Operating Transfers In	73.0	75.0	75.0
Receivership Revolving Fund Total:		73.0	75.0	75.0

Forecast Methodology

Fund: ID3104 Insurance Receivership Liquidation Fund

AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4631	Treasurer's Interest Income	2.7	2.5	2.5
4699	Miscellaneous Receipts	112.7	100.0	100.0
Insurance Receivership Liquidation Fund Total:		115.4	102.5	102.5

Forecast Methodology

See attachment.

Revenue Schedule

Agency:	Department of Insurance Financial Institutions
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Fund:	ID3727 Insurance Tax Premium Clearing Fund
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AFIS Code	Category of Receipt and Description	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
4192	Insurance Premium Tax	6,176.0	6,200.0	6,200.0
	Insurance Tax Premium Clearing Fund Total:	6,176.0	6,200.0	6,200.0

Forecast Methodology

See attachment.



Arizona Department of Insurance and Financial Institutions
AGENCY BUDGET REQUEST
FOR FISCAL YEAR 2025

Revenue Justification

FUND 1000 (GENERAL FUND)

The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.

4192 – Insurance Premium Tax

FY 2023 actual lines of insurance overall grew at a faster rate than previous years with most growth in the AHCCCS, Vehicle, and Surplus Lines lines (see Figure 1).

Estimates for FY 2024 and FY 2025 assume no material changes to insurance premium volume or retaliation with the exception to AHCCCS premium.

AHCCCS anticipates a reduction in membership in FY 2024, due to the end of the Public Health Emergency (PHE) in April 2023. The PHE required AHCCCS to maintain members on the program who would otherwise have been dropped, for example due to exceeding income limits. AHCCCS has started removing those members who would normally have been removed from the program now that the PHE has ended.

Importantly, DIFI's estimates for AHCCCS contractors was obtained from AHCCCS based on their projections as indicated below.

AHCCCS's forecast is based on forecasted changes in member months and DIFI's actual FY 2023 premium tax collections.

Premium Tax Estimates	
FY 2023 Premium Tax Actuals	377,997,900
FY 2024 Forecasted Growth in MM	-7.65%
FY 2024 Estimated Premium Tax	349,081,100
FY 2025 Forecasted Growth in MM	-0.93%
FY 2025 Estimated Premium Tax	345,834,600

AHCCCS has forecasted decreases in covered populations due to the ongoing redeterminations. The Center for Medicare and Medicaid Services (CMS) has ended the Maintenance of Eligibility requirement for Medicaid services with the end of the PHE. AHCCCS began eligibility redeterminations in April, 2023, and began seeing reductions in member months in April 2023. The eligibility redetermination process is expected to end in March 2024.

DIFI's forecast represents our best estimates based upon prior-year trending with a modest 3% in future premium growth.

Figure 1: Summary of Actual and Estimated Premium Tax Collections (in millions)

LINE OF INSURANCE (Tax Rate)	FY 2023 Estimate	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Estimate
Life Insurance (1.70% all years)	\$49.4	\$49.1	\$50.6	\$52.1
Accident and Health (2.0% all years)	128.3	130.8	134.8	138.8
AHCCCS Contractors (2.0% all years)	368.6	376.1	387.3	345.8
Fire (2.2% or 0.66% all years)	23.8	26.1	26.9	27.7
Vehicle (2.1312% all years)	154.4	165.0	170.0	175.1
Other Property and Casualty (1.70% all years)	79.5	84.7	87.2	89.8
Surplus Lines Fire (3.0% all years)	4.6	7.0	7.2	7.4
Other Surplus Lines / Industrial Insureds (3.0% all years)	30.0	33.1	34.3	35.4
Retaliation	15.8	15.9	16.4	16.9
Tax Credits	-69.8	-67.5	-69.5	-71.6
Cash Flow Adjustment**	-8.0	7.4	7.6	7.8
TOTAL Fiscal Year Revenues	\$776.6	\$827.7	\$852.8	\$825.2
Distribution to DPS Retirement	-30.5	-28.1	-28.9	-29.8
Distributions to Municipal Fire Districts	-24.1	-32.1	-33.1	-34.1
General Fund	\$722.0	\$767.5	\$790.8	\$761.3
**Cash flow adjustment reflects timing differences between tax liability and tax payments within the year.				

Tax Rates

Per Laws 2015, Chapter 220 (HB 2568), the tax rate applicable to non-health, non-fire premiums written by Arizona-authorized insurers (hereinafter referred to as “base insurance premium tax”) is annually reducing from its previous 2.00% level by 0.05% starting in Calendar Year 2016 until it reaches 1.70% for Calendar Year 2022. The tax rate applicable to Calendar Year 2022 tax liability and Fiscal Year 2023 revenue was 1.70%.

ARS § 20-224 prescribes two tax rates applicable to fire insurance sold by insurance companies – 0.66% for insurance on property located in incorporated cities or towns that procure private firefighting services and 2.2% for insurance on property located elsewhere. ARS § 20-224(J) defines fire insurance to include ●100% of fire (“FI”) lines, ●40% of commercial multiple peril non-liability (“CMP-P”) lines, ●35% of homeowners’ multiple peril (“HO”) lines, ●25% of farmowners’ multiple peril (“FO”) lines, and ●20% of allied lines (“AL”). The State Treasurer transfers 85% of fire insurance premium taxes to municipal fire district pension funds, and the remaining 15% goes to the General Fund.

Health insurance premiums and AHCCCS contractor capitation are taxed at 2.00%

Arizona has two premium taxes that (both) apply to vehicle insurance– the base insurance premium tax (ARS § 20-224), which is deposited to the General Fund, and a 0.4312% additional tax (ARS § 20-224.01), which goes to the Department of Public Safety Personnel Retirement System.

Surplus lines brokers and industrial insureds pay tax on all premiums they procure, including those allocable to fire risk, at a 3% tax rate pursuant to ARS § 20-416. Pursuant to ARS § 20-416(G) and 20-224(C), surplus lines brokers consider 85% of their fire and allied lines insurance policies to be fire insurance premiums. The State Treasurer transfers 85% of fire insurance surplus lines taxes to municipal fire district pension funds, and the remaining 15% goes to the General Fund.

Fiscal Year 2024 and 2025 Cash Flows

The following chart shows the tax payment due dates and the amounts anticipated to be remitted on or about those dates.

Due Date	Description	FY 2024 Forecast	FY 2025 Forecast
COLLECTIONS			
Jul 15	Installment payment (15% of prior CY tax liability X 97.5% to account for insurers with tax liabilities < \$50,000)	\$54,786,043	\$56,429,624
Aug 15	Installment payment	\$54,786,043	\$56,429,624
Aug 15	Tax on surplus lines insurance procured January through June (includes fire tax) (50.0% of annual total based on applicable FY)	20,754,443	21,377,076
Sep 15	AHCCCS contractor taxes (25.0% of annual total based on applicable FY)	96,835,289	\$86,458,650
Dec 15	AHCCCS contractor taxes (25.0% of annual total based on applicable FY)	96,835,289	\$86,458,650
Feb 15	Tax on surplus lines insurance procured July through December (includes fire tax) (50.0% of annual total based on applicable FY)	20,754,443	21,377,076
Mar 1	CY tax liability less CY installment payments	78,829,322	81,194,203
Mar 1	Retaliation (includes title insurers)	16,371,898	16,863,055
Mar 15	AHCCCS contractor taxes (25.0% of annual total based on applicable FY)	96,835,289	\$86,458,650
Mar 15	Installment payment (15% of current CY tax liability X 97.5% for insurers with tax liability >= \$50,000)	\$54,786,043	\$56,429,624
Apr 15	Installment payment	\$54,786,043	\$56,429,624
May 15	Installment payment	\$54,786,043	\$56,429,624
Jun 15	Installment payment	\$54,786,043	\$56,429,624
Jun 15	AHCCCS contractor taxes (25.0% of annual total based on applicable FY)	96,835,289	\$86,458,650
	TOTAL IPT COLLECTIONS	852,767,520	825,233,754
May-24	Transfer to DPSRS per ARS § 20-224.01	\$28,921,816	\$29,789,470
Jun-24	Distribution to fire districts per ARS § 9-951	\$33,110,813	\$34,104,137
Jun-24	General Fund	790,734,891	\$761,330,147
	TOTAL IPT DISBURSEMENTS	852,767,520	825,223,754

4312 – Examination Fees

Our estimate assumes financial enterprise examination activity and billings will increase given a commensurate increase in financial enterprises requiring additional examiners. For FY20 - FY23, the actual billable hours and examination fees are listed in the table below. The FY24 estimate is based on the number of examiners we currently have.* We project hiring three additional examiners we are in FY24, who will need time for training before being fully deployed in FY25.*

Financial Enterprises	FY20	FY21	FY22	FY23	FY24	FY25
Billable Hours	15,838	13,405	13,485	13,125	*15,600	*19,200
Examination Fees	\$1,029,470	\$871,325	\$876,525	\$853,125	\$1,014,000	*\$1,248,000

4314 – Filing Fees

Our estimate assumes filing fees for insurer annual statements, charter file amendments and service of process will not be substantially different in FY 2024 and FY 2025 than they were in FY 2023.

4339 – Other Fees and Charges for Services

Assessments being levied on state-chartered banks and credit unions pursuant to ARS § 6-126(A) will total \$1,794.4 in FY 2024 and we expect will remain predominantly the same in FY 2025.

4415 – Occupational and Professional Licensing

Our estimate assumes the number of newly issued insurance and financial-enterprise licenses and financial enterprise license renewals will increase by 2% in FY 2024 and FY2025. For each class of license, we determined the percentage that renewed during FY 2023, and applied that percentage to the number of each class of license eligible for renewal in FY 2024 and FY 2025 (compounded).

Financial Enterprise License and Renewal Revenue:

FY 2023 Actual: \$4,724,361.25

FY 2024 Estimate (+2.0%): \$4,818,848

FY 2025 Estimate (+2.0%): \$4,915,225

Appraisal License and Renewal Revenue:

FY 2023 Actual: \$2,069,476.25

FY 2024 Estimate (+2.0%): \$2,110,866

FY 2025 Estimate (+2.0%): \$2,153,083

Insurance Professional License and Renewal Revenue:

FY 2023 Actual: \$14,555,033.00

FY 2024 Estimate (+2.0%): \$14,846,134

FY 2025 Estimate (+2.0%): \$15,143,057

4417 – Regulatory Licenses

Our estimate assumes no significant change to revenue from FY 2023 during FY 2024 and FY 2025.

4449 – Other Fees

Our estimates assume all “other fees” will remain the same in FY 2024 and FY 2025.

4519 – Other Fines or Forfeitures or Penalties

The number and size of fines, forfeitures and penalties are impossible to predict. Projections for FY 2024 and FY 2025 assume penalty revenue will be substantially similar to the \$439,362 assessed in FY 2023.



Arizona Department of Insurance and Financial Institutions
AGENCY BUDGET REQUEST
FOR FISCAL YEAR 2025

Revenue Justification

FUND 1997 (MORTGAGE RECOVERY FUND)

Loan originators pay an additional fee prescribed by the director pursuant to ARS § 9-991.10 when applying for an original loan originator license. If the balance remaining in the fund is less than \$2 million as of June 30, loan originators must pay an additional fee determined by the director when renewing their licenses. The balance of the fund exceeded \$2 million as of June 30; therefore, loan originators are not required to pay the additional fee when renewing a license.

The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.

4612 – Restricted Donations

The Financial Institutions Division used this revenue code to record the additional fees from loan originator licensing. We do not expect a material change to the amount during the current or next fiscal year.

Date: 9/1/2022



Arizona Department of Insurance and Financial Institutions
AGENCY BUDGET REQUEST
FOR FISCAL YEAR 2025

Revenue Justification

FUND 1998 (FINANCIAL SERVICES FUND)

This fund consists of loan originator license, license-update and license-renewal fees. The Department receives an appropriation from the fund that is considerably less than the revenue received during each year.

The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.

Financial enterprise licenses and renewals will remain the same due to recent law changes relating to financial enterprise Other Trade Name licenses.

4415 – Occupational and Professional Licenses

We do not expect a material change to the amount during the current or next fiscal year.

4449 – Other Fees and Charges for Services

We do not expect a material change to the amount during the current or next fiscal year.

Date: 9/1/2022



Arizona Department of Insurance and Financial Institutions
AGENCY BUDGET REQUEST
FOR FISCAL YEAR 2025

Revenue Justification

FUND 2000 (STATE APPRAISER REGULATORY AGENCY SUPPORT GRANT)

To provide State Appraiser Regulatory Agencies with funds to advance the appraiser regulatory field, including, but not limited to, developing and attending trainings, improving appraiser credentialing and investigation and complaint processes, and expanding credentialing opportunities in under-served markets and other activities.

The following describes The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.

This revenue is a draw down from the Payment Management System (PMS) from money awarded by the grant to pay for monthly expenditures.



Arizona Department of Insurance and Financial Institutions
AGENCY BUDGET REQUEST
FOR FISCAL YEAR 2025

Revenue Justification

FUND 2034 (INSURANCE EXAMINERS' REVOLVING FUND)

This fund consists of fees charged to insurance companies and other insurance entities that undergo examination by the Department to recoup the pre-examination selection and preparation costs, examination costs, post-examination costs and other such costs of evaluations of compliance required by law. ARS § 20-156(D).

The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.

4312 – Examination Fees

We are estimating revenue in this fund to start reducing in the next fiscal years due to the explanation below.

IMPORTANT NOTICE

The Department's authority to use the Insurance Examiners' Revolving Fund ("IERF") to recoup *indirect costs* terminates on December 31, 2021, as a result of changes to ARS § 20-142(D) and other statutes in Title 20 made by Laws 2020, Chapter 37 (SB 1293). If an examined entity's financial condition renders it unable to pay examination expenses, the balance of the IERF will reduce. Additionally, the IERF will no longer be able to be used to pay for other common administrative (indirect) costs allocated to the Department, and in particular, portions of agency costs that policymakers designate must be paid from non-appropriated funding sources, such as rent, allocations of Arizona Financial Information System ("AFIS") costs, allocations of Attorney General's Office costs, *et al.*



Arizona Department of Insurance and Financial Institutions
AGENCY BUDGET REQUEST
FOR FISCAL YEAR 2025

Revenue Justification

FUND 2060 (AUTOMOBILE THEFT AUTHORITY FUND)

The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.

4449 – Other Fees and Charges for Services

Arizona's insurance industry supports the Arizona Automobile Theft Authority (AATA) through a semi-annual assessment of fifty cents (\$0.50) for each private passenger automobile insured for liability in this state. During the past ten years, the revenue collected from assessments has annually increased by an average of 2.46 percent.

Our revenue estimates for FY 2024 and FY 2025 will be a 1.1 percent increase over FY 2023 revenue collected.



Arizona Department of Insurance and Financial Institutions
AGENCY BUDGET REQUEST
FOR FISCAL YEAR 2025

Revenue Justification

FUND 2377 (CAPTIVE INSURANCE REGULATORY AND SUPERVISION FUND)

This fund consists of captive insurer licensing, renewal and license amendment fees pursuant to ARS § 20-1098.18.

The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.

4419 - OTHER LICENSES

FY 2024 is estimated to remain consistent in the number of renewals and new captive insurers.

FY 2025 is estimated to remain consistent in the number of renewals and new captive insurers.



Arizona Department of Insurance and Financial Institutions
AGENCY BUDGET REQUEST
FOR FISCAL YEAR 2025

Revenue Justification

FUND 2467 (HEALTH CARE APPEALS FUND)

This fund consists of assessments of up to \$200 to each Arizona-authorized health insurer to pay for the Department's health care appeals external review process pursuant to ARS § 20-2540, and recoupment of amounts paid to independent review organization contractors to evaluate health care appeals involving decisions about medical necessity.

The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.

4449 – Other Fees

In Fiscal Year 2024, the Department assessed 843 health insurers at \$200 each. The Department expects no material change in Fiscal Year 2025.

Date: 9/1/2022



Arizona Department of Insurance and Financial Institutions
AGENCY BUDGET REQUEST
FOR FISCAL YEAR 2025

Revenue Justification

FUND 2473 (FINANCIAL SURVEILLANCE FUND)

This fund consists of assessments collected from insurers domiciled in Arizona based on the insurer's assets, pursuant to ARS § 20-156(F).

The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.

4449 – Other Fees

The Department assessed the maximums allowed under ARS § 20-156(F) in Fiscal Years 2023 and 2024, and expects to assess the maximums in FY 2025.

Date: 9/1/2022



Arizona Department of Insurance and Financial Institutions
AGENCY BUDGET REQUEST
FOR FISCAL YEAR 2025

Revenue Justification

FUND 3104 (INSURANCE RECEIVERSHIP LIQUIDATION FUND)

ARS § 20-648(A) states the director shall appoint a deputy receiver to administer the conservation, rehabilitation or liquidation of an insurer pursuant to this article.

4699 – Miscellaneous Revenue

The funds in the Receivership Liquidation Fund is replenished by insolvent insurer's petitioned by the court authorized the deputy receiver per ARS §20-648(B).



Arizona Department of Insurance and Financial Institutions
AGENCY BUDGET REQUEST
FOR FISCAL YEAR 2024

Fund Justification

FUND 3727 (INSURANCE PREMIUM TAX CLEARING FUND)

4192 – Insurance Premium Tax

The year-end balance of \$6,175.9 million represents fire insurance premium tax (ARS § 20-224) and vehicle insurance premium tax (ARS § 20-224.01) collected and/or refunded after the Department notified the State Treasurer of the 85% portion available for allocation to municipal fire districts and after additional tax on vehicles was transferred to the Department of Public Safety Personnel Retirement System. Funds will be allocated in FY 2024 along with other fire tax and vehicle tax revenues.

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID1991 Auto Theft SIMS Settlement Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	1.4	1.4	1.4
Revenue (from Revenue Schedule)	-	-	-
Total Available	1.4	1.4	1.4
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	-	-	-
Balance Forward to Next Year	1.4	1.4	1.4

Explanation for Negative Ending Balance(s): Department of Insurance Financial Institutions

Appropriated Expenditure

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Expenditure Categories			
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID1991 Auto Theft SIMS Settlement Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	-	-	-
Non-Appropriated FTE	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID1997 Mortgage Recovery Fund
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Cash Flow Summary	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	3,358.6	3,642.4	3,908.6
Revenue (from Revenue Schedule)	295.3	279.4	279.4
Total Available	3,653.9	3,921.8	4,188.0
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	11.5	13.2	13.2
Balance Forward to Next Year	3,642.4	3,908.6	4,174.8

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Expenditure Categories			
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID1997 Mortgage Recovery Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	9.1	9.1	9.1
Employee Related Expenditures	2.0	3.5	3.5
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	0.3	0.6	0.6
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	11.5	13.2	13.2
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	11.5	13.2	13.2
Non-Appropriated FTE	0.2	0.2	0.2

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID1998 Financial Services Fund
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Cash Flow Summary	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	22,766.4	23,842.1	24,630.8
Revenue (from Revenue Schedule)	6,201.9	6,327.6	6,327.6
Total Available	28,968.3	30,169.7	30,958.4
Total Appropriated Disbursements	5,126.3	5,538.9	5,994.9
Total Non-Appropriated Disbursements	-	-	-
Balance Forward to Next Year	23,842.1	24,630.8	24,963.5

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Expenditure Categories			
Personal Services	3,298.0	3,856.3	4,312.3
Employee Related Expenditures	1,345.4	1,450.7	1,450.7
Professional & Outside Services	201.4	33.0	33.0
Travel In-State	0.0	-	-
Travel Out-Of-State	0.2	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	281.3	198.9	198.9
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	5,126.3	5,538.9	5,994.9
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID1998 Financial Services Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	5,126.3	5,538.9	5,994.9
Appropriated FTE	51.7	53.6	53.6

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	-	-	-
Non-Appropriated FTE	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2000 Federal Grants Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	3.6	(0.0)	95.2
Revenue (from Revenue Schedule)	120.0	123.9	-
Total Available	123.7	123.9	95.2
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	123.7	28.7	28.7
Balance Forward to Next Year	(0.0)	95.2	66.5

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Expenditure Categories			
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2000	Federal Grants Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	71.0	19.3	19.3
Employee Related Expenditures	34.4	8.3	8.3
Professional & Outside Services	17.3	1.0	1.0
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	1.0	0.1	0.1
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	123.7	28.7	28.7
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	123.7	28.7	28.7
Non-Appropriated FTE	1.0	1.0	1.0

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2034 Insurance Examiners Revolving Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	1,214.2	786.1	830.7
Revenue (from Revenue Schedule)	2,218.8	2,271.3	2,271.3
Total Available	3,432.9	3,057.4	3,102.0
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	2,646.9	2,226.7	2,226.7
Balance Forward to Next Year	786.1	830.7	875.3

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure

Expenditure Categories

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2034 Insurance Examiners Revolving Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	163.9	-	-
Employee Related Expenditures	56.3	-	-
Professional & Outside Services	2,400.5	2,210.0	2,210.0
Travel In-State	-	-	-
Travel Out-Of-State	1.5	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	24.7	16.7	16.7
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	2,646.9	2,226.7	2,226.7
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	2,646.9	2,226.7	2,226.7
Non-Appropriated FTE	2.9	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2060 Automobile Theft Authority Fund
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Cash Flow Summary	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	8,828.6	10,407.3	11,242.5
Revenue (from Revenue Schedule)	7,589.7	7,644.7	7,644.7
Total Available	16,418.3	18,052.0	18,887.2
Total Appropriated Disbursements	6,011.0	6,809.5	6,982.5
Total Non-Appropriated Disbursements	-	-	-
Balance Forward to Next Year	10,407.3	11,242.5	11,904.7

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Expenditure Categories			
Personal Services	183.0	190.1	363.1
Employee Related Expenditures	59.3	59.2	59.2
Professional & Outside Services	10.2	-	-
Travel In-State	0.3	-	-
Travel Out-Of-State	2.7	16.7	16.7
Food	-	-	-
Aid To Organizations & Individuals	940.3	1,372.3	1,372.3
Other Operating Expenditures	27.6	129.2	129.2
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	4,787.6	5,042.0	5,042.0
Appropriated Expenditure Sub-Total:	6,011.0	6,809.5	6,982.5
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2060 Automobile Theft Authority Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	6,011.0	6,809.5	6,982.5
Appropriated FTE	2.3	2.3	2.3

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	-	-	-
Non-Appropriated FTE	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2126 Banking Department Revolving Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	273.0	316.9	368.5
Revenue (from Revenue Schedule)	441.1	463.9	463.9
Total Available	714.1	780.8	832.4
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	397.2	412.3	412.3
Balance Forward to Next Year	316.9	368.5	420.1

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2126 Banking Department Revolving Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	99.2	109.5	109.5
Employee Related Expenditures	31.6	37.5	37.5
Professional & Outside Services	191.4	191.4	191.4
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	0.6	0.5	0.5
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	74.3	73.4	73.4
Non-Appropriated Expenditure Sub-Total:	397.2	412.3	412.3
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	(0.0)	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	397.2	412.3	412.3
Non-Appropriated FTE	1.5	1.5	1.5

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2163 Insurance Department Fingerprinting Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	94.4	102.4	106.4
Revenue (from Revenue Schedule)	7.9	4.0	4.0
Total Available	102.4	106.4	110.4
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	-	-	-
Balance Forward to Next Year	102.4	106.4	110.4

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2163 Insurance Department Fingerprinting Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	-	-	-
Non-Appropriated FTE	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2316 Assessment Fund for Voluntary Plans Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	94.2	94.2	94.2
Revenue (from Revenue Schedule)	-	-	-
Total Available	94.2	94.2	94.2
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	-	-	-
Balance Forward to Next Year	94.2	94.2	94.2

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2316 Assessment Fund for Voluntary Plans Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	-	-	-
Non-Appropriated FTE	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2377 Captive Insurance Regulatory and Supervision Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	470.8	522.1	617.8
Revenue (from Revenue Schedule)	928.3	896.5	896.5
Total Available	1,399.1	1,418.6	1,514.3
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	877.1	800.8	800.8
Balance Forward to Next Year	522.1	617.8	713.5

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2377 Captive Insurance Regulatory and Supervision Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	424.2	361.3	361.3
Employee Related Expenditures	134.1	142.1	142.1
Professional & Outside Services	12.4	10.0	10.0
Travel In-State	0.3	-	-
Travel Out-Of-State	10.6	11.0	11.0
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	24.7	12.6	12.6
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	270.8	263.8	263.8
Non-Appropriated Expenditure Sub-Total:	877.1	800.8	800.8
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	877.1	800.8	800.8
Non-Appropriated FTE	4.7	4.5	4.5

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2467 Health Care Appeals Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	181.1	231.7	354.1
Revenue (from Revenue Schedule)	283.2	267.5	267.5
Total Available	464.3	499.2	621.6
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	232.6	145.1	145.1
Balance Forward to Next Year	231.7	354.1	476.5

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure

Expenditure Categories

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2467 Health Care Appeals Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	82.2	82.5	82.5
Employee Related Expenditures	30.3	32.7	32.7
Professional & Outside Services	110.8	20.3	20.3
Travel In-State	-	-	-
Travel Out-Of-State	0.4	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	7.7	9.6	9.6
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	1.2	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	232.6	145.1	145.1
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	232.6	145.1	145.1
Non-Appropriated FTE	1.0	1.0	1.0

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2473	Financial Surveillance Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	543.7	546.3	524.4
Revenue (from Revenue Schedule)	532.3	523.5	523.5
Total Available	1,076.0	1,069.8	1,047.9
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	529.7	545.4	545.4
Balance Forward to Next Year	546.3	524.4	502.5

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure

Expenditure Categories

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2473 Financial Surveillance Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	351.3	380.6	380.6
Employee Related Expenditures	117.3	150.1	150.1
Professional & Outside Services	41.7	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	19.4	14.7	14.7
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	529.7	545.4	545.4
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	529.7	545.4	545.4
Non-Appropriated FTE	5.0	5.0	5.0

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2500 IGA and ISA Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	0.7	0.7	0.7
Revenue (from Revenue Schedule)	-	-	-
Total Available	0.7	0.7	0.7
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	-	-	-
Balance Forward to Next Year	0.7	0.7	0.7

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure

Expenditure Categories

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2500 IGA and ISA Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	-	-	-
Non-Appropriated FTE	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2975 Title VI - Coronavirus Relief Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	-	-	-
Revenue (from Revenue Schedule)	-	-	-
Total Available	-	-	-
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	-	-	-
Balance Forward to Next Year	-	-	-

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID2975 Title VI - Coronavirus Relief Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	-	-	-
Non-Appropriated FTE	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID3023 Receivership Revolving Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	882.9	949.7	1,021.7
Revenue (from Revenue Schedule)	73.0	75.0	75.0
Total Available	955.9	1,024.7	1,096.7
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	6.2	3.0	3.0
Balance Forward to Next Year	949.7	1,021.7	1,093.7

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID3023 Receivership Revolving Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	6.2	3.0	3.0
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	6.2	3.0	3.0
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	6.2	3.0	3.0
Non-Appropriated FTE	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID3104 Insurance Receivership Liquidation Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	142.4	126.3	88.6
Revenue (from Revenue Schedule)	115.4	102.5	102.5
Total Available	257.9	228.8	191.1
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	131.6	140.2	140.2
Balance Forward to Next Year	126.3	88.6	50.9

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID3104 Insurance Receivership Liquidation Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	95.9	96.3	96.3
Employee Related Expenditures	30.2	37.8	37.8
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	5.4	6.1	6.1
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	131.6	140.2	140.2
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	131.6	140.2	140.2
Non-Appropriated FTE	1.0	1.0	1.0

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID3727 Insurance Tax Premium Clearing Fund
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Cash Flow Summary

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Beginning Balance	5,402.9	11,578.9	17,778.9
Revenue (from Revenue Schedule)	6,176.0	6,200.0	6,200.0
Total Available	11,578.9	17,778.9	23,978.9
Total Appropriated Disbursements	-	-	-
Total Non-Appropriated Disbursements	-	-	-
Balance Forward to Next Year	11,578.9	17,778.9	23,978.9

Explanation for Negative Ending Balance(s):

Department of Insurance Financial Institutions

Appropriated Expenditure

Expenditure Categories

	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-

Sources and Uses

Agency:	Department of Insurance Financial Institutions
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Fund:	ID3727 Insurance Tax Premium Clearing Fund
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Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Appropriated Expenditure Total:	-	-	-
Appropriated FTE	-	-	-

Non-Appropriated Expenditure

Expenditure Categories	FY 2023 Actuals	FY 2024 Estimate	FY 2025 Request
Personal Services	-	-	-
Employee Related Expenditures	-	-	-
Professional & Outside Services	-	-	-
Travel In-State	-	-	-
Travel Out-Of-State	-	-	-
Food	-	-	-
Aid To Organizations & Individuals	-	-	-
Other Operating Expenditures	-	-	-
Equipment	-	-	-
Capital Outlay	-	-	-
Capital Equipment	-	-	-
Non-Capital Equipment	-	-	-
Debt Service	-	-	-
Cost Allocation & Indirect Costs	-	-	-
Transfers-Out	-	-	-
Non-Appropriated Expenditure Sub-Total:	-	-	-
Non-Lapsing Authority from Prior Years (no entry for BY)	-	-	-
Administrative Adjustments (no entry for BY)	-	-	-
Capital Projects (Land, Bldgs, Improv)	-	-	-
Appropriated 27th Pay Roll	-	-	-
Legislative Fund Transfers	-	-	-
IT Project Transfers	-	-	-
Residual Equity Transfer	-	-	-
Transfer Due to Fund Balance Cap	-	-	-
Prior Committed or Obligated Expenditures (no entry for AY)	-	-	-
Non-Appropriated 27th Pay Roll	-	-	-
Non-Appropriated Expenditure Total:	-	-	-
Non-Appropriated FTE	-	-	-

Sources and Uses

Agency: Department of Insurance Financial Institutions

Funding Issue List

Agency:	Department of Insurance Financial Institutions
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FY 2025

Priority	Funding Issue Title	Total FTE	Total Amount	General Fund	Other Appropriated Funds	Non- Appropriated Funds
1	Fraud Unit Funding Increase	-	181.9	181.9	-	-
2	Sustaining an Effective and Compliant Appraiser Program	-	116.0	-	116.0	-
3	Financial Services Fund Appropriation Increase	-	340.0	-	340.0	-
4	Local Grant Program	-	50.0	-	50.0	-
5	Vertical Prosecution Program - Maricopa County	-	123.0	-	123.0	-
Total:		-	810.9	181.9	629.0	-

Funding Issue Detail

Agency: Department of Insurance Financial Institutions

Issue: 1 Fraud Unit Funding Increase

Calculated ERE:
Uniform Allowance:

Program: SLI Insurance Fraud Unit
Fund: AA1000 General Fund (Appropriated)

	Expenditure Categories	FY 2025
6000	Personal Services	181.9
	Subtotal Personal Services and ERE	181.9
	Program/Fund Total:	181.9

Issue: 2 Sustaining an Effective and Compliant Appraiser Program

Calculated ERE:
Uniform Allowance:

0

Program: Consumer Protection
Fund: ID1998 Financial Services Fund (Appropriated)

	Expenditure Categories	FY 2025
6000	Personal Services	116.0
	Subtotal Personal Services and ERE	116.0
	Program/Fund Total:	116.0

Issue: 3 Financial Services Fund Appropriation Increase

Calculated ERE:
Uniform Allowance:

Program: Consumer Protection
Fund: ID1998 Financial Services Fund (Appropriated)

	Expenditure Categories	FY 2025
6000	Personal Services	340.0
	Subtotal Personal Services and ERE	340.0
	Program/Fund Total:	340.0

Issue: 4 Local Grant Program

Calculated ERE:
Uniform Allowance:

Funding Issue Detail

Agency: Department of Insurance Financial Institutions

Issue: 4 Local Grant Program

Program: SLI Local Grants
Fund: ID2060 Automobile Theft Authority Fund (Appropriated)

	Expenditure Categories	FY 2025
6000	Personal Services	50.0
	Subtotal Personal Services and ERE	50.0
	Program/Fund Total:	50.0

Issue: 5 Vertical Prosecution Program - Maricopa County

Calculated ERE:
Uniform Allowance:

Program: SLI Local Grants
Fund: ID2060 Automobile Theft Authority Fund (Appropriated)

	Expenditure Categories	FY 2025
6000	Personal Services	123.0
	Subtotal Personal Services and ERE	123.0
	Program/Fund Total:	123.0

Funding Issue Narrative

Agency:	Department of Insurance Financial Institutions
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Issue:	1	Fraud Unit Funding Increase
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Description of Issue: See attachment for this section.

Proposal: See attachment for this section.

Alternatives Considered: See attachment for this section.

Impact of Not Funding This Year: See attachment for this section.

Statutory Reference:

Equipment to be Purchased (if applicable):

Classification of New Positions:

Annualization(s):

Alignment with Agency's Strategic Plan or Statutory Responsibilities:

Impact on Historically Underserved, Marginalized, or Adversely Affected Groups: See attachment for this section.

How has feedback been incorporated from groups directly impacted by proposal?: See attachment for this section.

Description of how this furthers the Governor's priorities:

Issue:	2	Sustaining an Effective and Compliant Appraiser Program
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Description of Issue: See attachment for this section.

Proposal: See attachment for this section.

Alternatives Considered: See attachment for this section.

Impact of Not Funding This Year: See attachment for this section.

Statutory Reference:

Equipment to be Purchased (if applicable):

Classification of New Positions:

Annualization(s):

Alignment with Agency's Strategic Plan or Statutory Responsibilities:

Funding Issue Narrative

Agency:	Department of Insurance Financial Institutions
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Issue:	2	Sustaining an Effective and Compliant Appraiser Program
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Impact on Historically Underserved, Marginalized, or Adversely Affected Groups: See attachment for this section.

How has feedback been incorporated from groups directly impacted by proposal?: See attachment for this section.

Description of how this furthers the Governor's priorities:

Issue:	3	Financial Services Fund Appropriation Increase
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Description of Issue: See attachment for this section.

Proposal: See attachment for this section.

Alternatives Considered: See attachment for this section.

Impact of Not Funding This Year: See attachment for this section.

Statutory Reference:

Equipment to be Purchased (if applicable):

Classification of New Positions:

Annualization(s):

Alignment with Agency's Strategic Plan or Statutory Responsibilities:

Impact on Historically Underserved, Marginalized, or Adversely Affected Groups: See attachment for this section.

How has feedback been incorporated from groups directly impacted by proposal?: See attachment for this section.

Description of how this furthers the Governor's priorities:

Issue:	4	Local Grant Program
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Description of Issue: See attachment for this section.

Proposal: See attachment for this section.

Funding Issue Narrative

Agency:	Department of Insurance Financial Institutions
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Issue:	4	Local Grant Program
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Alternatives Considered: See attachment for this section.

Impact of Not Funding This Year: See attachment for this section.

Statutory Reference:

Equipment to be Purchased (if applicable):

Classification of New Positions:

Annualization(s):

Alignment with Agency's Strategic Plan or Statutory Responsibilities:

Impact on Historically Underserved, Marginalized, or Adversely Affected Groups: See attachment for this section.

How has feedback been incorporated from groups directly impacted by proposal?: See attachment for this section.

Description of how this furthers the Governor's priorities:

Issue:	5	Vertical Prosecution Program - Maricopa County
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Description of Issue: See attachment for this section.

Proposal: See attachment for this section.

Alternatives Considered: See attachment for this section.

Impact of Not Funding This Year: See attachment for this section.

Statutory Reference:

Equipment to be Purchased (if applicable):

Classification of New Positions:

Annualization(s):

Alignment with Agency's Strategic Plan or Statutory Responsibilities:

Funding Issue Narrative

Agency:	Department of Insurance Financial Institutions
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Issue:	5 Vertical Prosecution Program - Maricopa County
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Impact on Historically Underserved, Marginalized, or Adversely Affected Groups: See attachment for this section.

How has feedback been incorporated from groups directly impacted by proposal?: See attachment for this section.

Description of how this furthers the Governor's priorities:

Potential Funding Issues

Issue Title: **Fraud Unit Funding Increase**

Issue Number: #1

Cost

General Fund (Line Item)	\$ 181,900
Total	\$ 181,900

Summary

This ongoing request for increases is based on A.R.S. § 20-466.J, which requires DIFI to assess certain insurers in the state an amount of \$1,050 per year for the DIFI Fraud Unit. We have invoiced 1,934 insurers for \$,1050 each resulting expected collections of \$2,030,700, which is \$181,900 more than our FY24 appropriation for this General Fund Line Item. It is not expected that future increases would be this sizable.

Background

During the legislative sessions in 2020 and 2021, the Arizona state legislature modified A.R.S. § 20-466.J to reflect a new method of appropriating funds to the DIFI Fraud Unit. Previously, we were expected to only bill certain insurers an amount between 95% - 100% of the Fraud Unit's appropriated amount from the prior fiscal year. Each year, there would be a Session Law that required us to bill the \$1,050 amount per insurer and only keep for the Fraud Unit the amount allowed by statute with the remainder going into the state General Fund.

The modification to the statute in 2021 clearly indicates that DIFI is to collect an amount up to \$1,050, which is to be remitted to the state General Fund **"for appropriation to the Fraud Unit."** This statement was added to the modified language from 2020, which stated that, "MONIES APPROPRIATED TO THE DEPARTMENT FOR THE FRAUD UNIT SHALL BE INCLUDED AS A SEPARATE LINE ITEM IN THE GENERAL APPROPRIATIONS ACT. THE DEPARTMENT SHALL USE ALL APPROPRIATED MONIES EXCLUSIVELY TO OPERATE THE FRAUD UNIT." The intent of the updated language in 2021 is clearly to ensure that any monies remitted from DIFI's collections for the Fraud Unit be appropriated to the Fraud Unit for enforcement activities.

Given the historic growth of the insurance industry in Arizona, we anticipate that the appropriations will continue to increase commensurate with the increase in the number of insurers doing business in Arizona.

Options Considered

The alternative option would be to leave the appropriation for the DIFI Fraud Unit at the current FY21 level.

Why is the recommended option the best option?

Increasing the appropriations of the DIFI Fraud Unit is the best option due to the need to comply with the statutory requirements as passed by the Arizona Legislature and signed by the Governor. In addition, with the continuing growth of the insurance industry in Arizona, there is a need for a commensurate increase in fraud enforcement activities to keep up with criminal activity.

Promoting Equitable Outcomes

1. How does this funding request impact existing equity gaps for historically underserved, marginalized, or adversely affected groups, positively or negatively? *Equity is ensuring that everyone is fairly afforded the resources, benefits, and opportunities needed to succeed.*

Insurance fraud is not a victimless crime, it costs everyone in higher premiums. Also, insurance fraud is not always a “non-violent” crime; insurance fraud is sometimes linked with arsons, staged accidents and homicides. All consumers of insurance products benefit from the reduction in premiums and the prosecution of criminals.

Effective enforcement of insurance criminal fraud statutes provides the business environment that encourages insurers to do business in Arizona. A robust insurance market ensures that consumers have cost-effective options to protect their homes, business, finances, and family legacy. An insurance industry with reduced fraud activity makes health insurance, car insurance, home insurance, etc. all less expensive and therefore, available to more people. In short, it ensures that DIFI is continuing to protect Arizona’s consumers and business environment from criminal activity.

2. How has the department included voices/feedback in the decision-making process of people that will be directly impacted by the spending plan implementation and the groups that will be targeted? How has this input affected the request? *Some potential approaches include surveying affected constituents, consulting existing agency advisory groups, meeting with advocacy organizations that represent the affected population(s), asking front-line staff for feedback from clients second-hand, revisiting previous surveys, etc.*

DIFI regularly meets with industry stakeholders regarding the Fraud Unit activities and the need for increased enforcement. Feedback is obtained through these interactions with insurance company Special Investigative Unit (SIU) Managers, the National Insurance Crime Bureau (NICB), Fraud Units in other states, and interested trade organizations impacted by fraud activities.

Outcomes Supported

How does this issue further the Governor's goals as outlined in the Blueprints?

Insurance is foundational to almost all activities outlined in the Blueprints as it allows for Arizonans to start businesses and for those business to thrive. Decreasing the amount of fraud against insurers provides an environment where the insurance industry can grow. A healthy insurance market allows for educational institutions to provide services; protects home mortgage borrowers and lenders leading to a

robust housing market; increases health insurance options for consumers; underpins clean energy companies and mitigates effects of climate change, often drives resilience planning before a catastrophic event; helps to drive a thriving economy; and supports the building of all infrastructure projects.

Performance Measures that will be used to evaluate the outcome

We will use the “Average number of open investigations per Fraud Unit Special Agent” and the “Number of fraud cases submitted for prosecution.”

Issue Title: **Sustaining an Effective and Compliant Appraiser Program**

Issue Number: #2

Cost

Financial Services Fund (1998) (RCO Position - Salary plus ERE)	\$ 101,000
Financial Services Fund (1998) (Contract Reviewer Program)	\$ 15,000
Total	\$ 116,000

Summary

DIFI is requesting an ongoing appropriation for Position #SID000000302 Regulatory/Compliance Administrator (“Regulatory Compliance Officer” or “RCO”) and DIFI’s contract reviewer program, which have been funded for the last three years from a federal grant that is set to expire on September 30, 2023.¹ This investment can be made via a DIFI-dedicated fund over which it has control but requires appropriation and would not require additional funding out of the state General Fund.

Background

The RCO position was eliminated in April 2018 when the then-RCO was promoted to an investigator position within the agency, thus requiring the multiple duties of the RCO position to be assumed by on-staff investigators.² As a result of these increased responsibilities (beyond performing investigations), the appraisal program saw a significant increase in complaint investigation timeframes and a backlog. For example, the Average Disposition Days (“ADD”) to close a complaint and the number of pending complaints by year’s end increased from 2018 to 2020 by approximately 67% and 25%, respectively. The significance of these numbers adversely reflects on DIFI’s mission of protecting the public and its ability maintain a federally compliant state-appraiser program.³

¹ When the Notice of Funding for the next grant is made available, DIFI will reapply for the grant funding and expects a decision in the latter half of FY24 but there is no guarantee of renewal.

² The RCO duties include the following: overseeing education audits; application reviews; overseeing complaint intake; maintaining complaint logs; monitoring compliance with federal timeframes; coordinating federal compliance reviews and materials; correspondence with respondents and complainants; correspondence with the public and managing records requests; website updates; updating policies and procedures; and other general administrative functions.

³ In order for states to maintain compliance with federal regulations and address the risks posed by failing to meet the federal Appraisal Subcommittee’s guidelines, such as completing investigations for case closure within required timeframes, Title XI grants the ASC the authority to impose sanctions on a state without an effective appraisal program, including disallowing that state’s licensed appraisers from being able to perform appraisals for federally-related transactions (i.e., most mortgage transactions).

Faced with this challenge, DIFI sought out additional funding sources and applied for a federal grant in 2020 through the Appraisal Subcommittee (“ASC”) of the Federal Financial Institution Examination Council. DIFI was awarded a three-year grant of \$116,000 per year in October 2020.⁴

To assist DIFI with determining how to best utilize this new funding resource, it participated in an A3 Breakthrough Project in FY20. The appraisal team focused on identifying factors to improve process efficiencies, restructuring responsibilities in the intake process to relieve the administrative burden on investigators (allowing more time to complete investigations), and condensing and consolidating the steps taken throughout the investigative process.

The project initially focused on timing the tasks completed by each role affecting the disposition of appraisal complaints. The results highlighted that the most important factor was determining what hinders the investigators from completing the investigations timely and reducing the investigation backlog. The ideas about how to accomplish this generally fell into two categories: (1) discovering ways to help the investigators focus on their core responsibilities; and (2) obtaining additional staff (e.g., a Regulatory Compliance Officer and/or an additional staff investigator) to assist with the workload.

After working through its A3 Breakthrough Project, DIFI concluded the most effective use of the grant monies was to fund the RCO position and an investigation program utilizing independent contract reviewers. And while DIFI was now more appropriately staffed and structured, it would take until January 2023 to finally clear out its backlog of complaints and pending investigations.

Options Considered

Option 1 that DIFI considered as alternative solution – No Change

- No change would result in the inability for DIFI to continue to maintain an RCO position and its contract reviewer program (assuming DIFI is not re-awarded a grant from the ASC).

Option 2 that DIFI considered as alternative solution – Request to Increase Funding to Hire Another Staff Investigator

- Hiring another staff investigator to mitigate the loss of the RCO position and contract reviewer program would result in a higher funding request (\$120,120) than the proposed recommendation.

Why is the recommended option the best option?

DIFI’s request is the most effective and cost-efficient option. In order to effectively serve the public, maintain compliance with federal regulations and address the risks posed by failing to meet the ASC’s guidelines, DIFI needs to maintain the RCO position (most importantly) and the contract reviewer program (secondarily). Without an increased appropriation and in the event the federal grant is not awarded, DIFI would return to staffing levels in 2018 that took years to rectify. Further, failing to meet federal standards

⁴ This grant is authorized by and subject to Title XI of the Financial Institution Reform, Recovery and Enforcement Act (FIRREA), Title XI § 1109 (b)(5), 12 U.S.C. § 3338, and the Federal Grant and Cooperative Agreement Act (FGCAA), 31 U.S.C. § 6301-6308.

and maintain a compliant state-appraiser program would be catastrophic for Arizona-licensed appraisers and Arizona homeowners.

Additionally, non-renewal of the grant will not only eliminate the ability to maintain the RCO position but also eliminate DIFI's contract reviewer program. Thus, DIFI investigators' workload would increase in two respects – taking on the RCO's responsibilities and performing all reviews with the elimination of contract reviewers. An increased appropriation from the Financial Services Fund will provide DIFI the ability to keep the RCO position and contract reviewer program, perform timely complaint investigations and maintain federal compliance, without additional funding from the state General Fund.

Promoting Equitable Outcomes

1. How does this funding request impact existing equity gaps for historically underserved, marginalized, or adversely affected groups, positively or negatively? *Equity is ensuring that everyone is fairly afforded the resources, benefits, and opportunities needed to succeed.*

DIFI appraisal investigations ensure that licensed and certified appraisers adhere to state and federal laws for the protection of all Arizona consumers. Appraisal bias is a concerning topic of discussion among regulators at the state and federal level and state regulators, like DIFI, are the chief enforcers to ensure that historically underserved, marginalized and adversely affected groups are treated equally. Ensuring compliance across the board in the industry ensures that all consumers receive fair and equitable appraisals when purchasing or refinancing a home in Arizona.

2. How has the department included voices/feedback in the decision-making process of people that will be directly impacted by the spending plan implementation and the groups that will be targeted? How has this input affected the request? *Some potential approaches include surveying affected constituents, consulting existing agency advisory groups, meeting with advocacy organizations that represent the affected population(s), asking front-line staff for feedback from clients second-hand, revisiting previous surveys, etc.*

DIFI formed a committee to complete its A3 Breakthrough Project in 2020. Comments from respondents and complainants were considered to identify the roadblocks for consumer complaints and investigations, thus leading to DIFI's decision several years ago to reinstate the RCO position and develop a contract reviewer program.

Outcomes Supported

How does this issue further the Governor's goals as outlined in the Blueprints?

Ensuring appraiser compliance with state and federal laws contributes to an Affordable and Thriving Economy by ensuring Arizonans can receive proper appraisals from in-state appraisers for purchase and refinance transactions and that DIFI maintains its status as an effective regulator in this space.

Performance Measures that will be used to evaluate the outcome

Detail how you will evaluate the outcome (success/failure metrics) of the solution

Increasing the appropriation to fund the RCO position and contract reviewer program will help DIFI maintain proper timeframes for complaint investigations and resolutions and reduce the risk of non-compliance with federal guidelines. For FY24, appraisal investigators will be measured by the percentage of investigations completed within 60 days of assignment to ensure case adjudication is occurring within federally required timeframes.

Issue Title: Financial Services Fund Appropriation Increase

Issue Number: #3

Cost

Financial Services Fund (Fund open positions)	\$ 120,000
Financial Services Fund (Two Additional FTEs – Salary plus ERE)	\$ 220,000
Total	\$ 340,000

Summary

DIFI is requesting an ongoing appropriation to fund (1) approved FTE positions that are underfunded based upon current projections; and (2) two additional FTEs (i.e., bank examiners to perform bank and trust company exams). This investment can be made via a DIFI-dedicated fund over which it has control but requires appropriation and would not require additional funding out of the state General Fund.

Background

In 2023, DIFI discovered that its employee count cap according to ADOA and previously passed budgets were inconsistent. According to ADOA's records, DIFI was allotted 142 FTE positions while the FY24 Budget showed DIFI's FTE positions as 155.4. In response, DIFI worked with the Governor's Office and ADOA to adjust the employee cap to match the legislation, which was approved.

As a result of the increased cap approval, DIFI immediately began to assess the agency's needs for divisions in which additional staff was warranted. This assessment included reviewing accreditation standards,⁵ examination practices, examination team sizes and experience, licensee numbers, and trends in industry complexities. After making the initial determination to begin recruiting for three additional bank examiners and running budget figures, DIFI discovered a financial services fund budget shortfall that will occur in the last month of each fiscal year if the three bank examiner positions are filled.⁶

As DIFI continued the assessment of its bank examination program and impact of license approvals in 2023 and projected applications/approvals in 2024, the agency has concluded it will need an additional two bank examiners by FY25 in order to meet bank accreditation standards (i.e., total need for five additional bank examiners – three open positions plus two new FTEs).

⁵ One of the significant ways the state-based regulatory systems for financial services in the United States have enabled stability and regulatory effectiveness is through accreditation programs. These accreditation programs evaluate the experience, size, and effectiveness of state regulatory agencies, as well as assist in promoting consistent rules and examination procedures across the states. Being accredited demonstrates that the accredited regulator is trusted throughout the country to provide adequate supervision, which may also allow other regulators to accept the results of those examinations without having to perform them independently. This promotes regulatory efficiencies for companies and regulators.

⁶ As of August 24, 2023, the estimated yearly budget shortfall based on projections is approximately \$120,000 beginning FY25.

Options Considered

Option 1 that DIFI considered as alternative solution - No Change

- No change would result in an ongoing budget shortfall in the last month of each fiscal year or alternatively, would require DIFI to eliminate other staff positions in order to hire additional examiners. This option also would not address the need for two additional bank examiners as a result of the increasing number of banks and trust companies.

Why is the recommended option the best option?

Include the operational details of the proposed solution, along with a justification for why the proposed solution represents the best possible alternative.

It is imperative that DIFI have the ability to fully fund the three open bank examiner positions created by the employee cap adjustment and hire two additional bank examiners. DIFI continues to experience growth in the financial institutions industries. Until last year, it had been over a decade since Arizona chartered a new bank. In 2022 DIFI approved three new banks, and plans to approve a fourth new bank within the next two months. Further, DIFI expects another bank application in FY24 and a 30% increase in trust companies from FY23 to FY25.⁷

Arizona's economic growth necessitates a commensurate increase in examination staff to adequately protect consumers and the financial system, especially in light of the recent bank failures. Further, an understaffed examination team is an accreditation risk. Losing accreditation would be a significant blow to Arizona's reputation of having a thriving economy and safe and sound financial system.

DIFI's recommended option can be accomplished through funds that are available, but require appropriation, and would not require additional funding from the state General fund.

Promoting Equitable Outcomes

1. How does this funding request impact existing equity gaps for historically underserved, marginalized, or adversely affected groups, positively or negatively? *Equity is ensuring that everyone is fairly afforded the resources, benefits, and opportunities needed to succeed.*

DIFI examinations ensure that financial institutions and enterprises adhere to state and federal laws for the protection of all Arizona consumers. Financial inclusion for historically underserved, marginalized, or adversely affected groups continues to be an important topic among state and

⁷ In addition to bank examiners performing examinations for both banks and trust companies, bank examiners also work on new applications, thus taking time away from their examination responsibilities. Further, new banks are examined on a more frequent cycle during the first three years (every 12 months instead of a typical 18-month cycle) which further adds to the examination workload.

federal regulators. Overseeing compliance through DIFI's examination program helps ensure that all consumers, businesses, and licensed institutions receive fair and equitable treatment.

2. How has the department included voices/feedback in the decision-making process of people that will be directly impacted by the spending plan implementation and the groups that will be targeted? How has this input affected the request? *Some potential approaches include surveying affected constituents, consulting existing agency advisory groups, meeting with advocacy organizations that represent the affected population(s), asking front-line staff for feedback from clients second-hand, revisiting previous surveys, etc.*

In proposing this request, DIFI has consulted with its accrediting body, utilized examination capacity tools available to state regulators that assist with staffing adequacy, and conducted its own internal assessment to determine what it needs by FY25 to effectively oversee Arizona's banking and trust company industries. The examination capacity tool referenced above advises that DIFI will need at least five additional bank examiners by FY25, which aligns with DIFI's accreditation consultations and internal assessment.

Outcomes Supported

How does this issue further the Governor's goals as outlined in the Blueprints?

Ensuring compliance with state and federal laws contributes to an Affordable and Thriving Economy by ensuring that (1) Arizonans can receive and companies can provide affordable, protected, transparent and innovative financial products and services, and (2) DIFI can operate as an effective regulator for consumers and the financial system. The importance of effective financial regulation cannot be understated in light of the recent bank failures throughout the country.

Performance Measures that will be used to evaluate the outcome

Detail how you will evaluate the outcome (success/failure metrics) of the solution

For FY2024, DIFI will measure the percentage of financial institutions examinations in which it takes a lead role when working with its federal counterparts.

Issue Title: Local Grant Program

Issue Number: #4

Cost

ATA Fund (ID2060)	\$ 50,000
Total	\$ 50,000

Summary

This requested appropriation increase will make additional grant funding available to local law enforcement agencies for the purpose of Law Enforcement, Public Awareness and Training. An appropriation increase will be ongoing from the ATA Fund (no General Fund dollars required).

Background

The grant funding available for the Local Grants program, which include Law Enforcement, Public Awareness and Training grants, is the amount remaining after the Vehicle Theft Task Force (VTTF) and Vertical Prosecution grants are funded. The VTTF grant is "line item," meaning it is statutorily designated and the DIFI Director and ATA Board have no discretion in the amount awarded. The Vertical Prosecution grant has historically been funded to requesting agencies at a percentage of actual requests, not fully covering the costs to county agencies; although, the FY24 budget significantly improved the percentage funded. After these two primary grants are awarded, the remaining grant appropriation is approximately \$26,758. This amount of remaining grant appropriation is used for the grant categories of Law Enforcement, Public Awareness, and Training. The ATA has been able to award these remaining funds to a small number of agencies after the announcement of a short application period. These grant dollars have recently been used for equipment such as license plate reading cameras; StopSticks; and electronic tracking devices. The grant funds occasionally involve public awareness materials and projects. Enthusiasm for these grant dollars has been low due to the relatively small amount of available dollars. ATA staff believes a larger amount of funding would attract more agencies to fund innovative projects. The ATA recommends an appropriation increase to the category of Local Grants in the amount of \$50,000.

Options Considered

- *Option 1 - Do Nothing*
Doing nothing would keep the small amount of grant funding remaining, after the two large grants were funded, available for local law enforcement agencies to compete for. The small amount of available funding limits the scope of auto theft projects and stifles innovation.
- *Option 2 - Increase the appropriation to the Local Grant Program by \$50,000*
For the reasons stated below, this is DIFI's preferred option.

Why is the recommended option the best option?

Funds for this project are currently available, subject to appropriation. Increasing the appropriation to the Local Grant program makes available additional funding for public agencies to create enforcement, outreach or training opportunities that are in line with the unique needs of their community. Each jurisdiction has specific strengths and challenges that can be leveraged and addressed with homegrown solutions. An appropriation increase in this grant category will allow a greater number of grants, awarded with meaningful dollars, to be funded to agencies anywhere in Arizona. Sufficient grant funding that allows for start-up projects within jurisdictions will generate enthusiasm and invite innovation in combating auto theft.

Promoting Equitable Outcomes

1. How does this funding request impact existing equity gaps for historically underserved, marginalized, or adversely affected groups, positively or negatively? *Equity is ensuring that everyone is fairly afforded the resources, benefits, and opportunities needed to succeed.*

Historically underserved and marginalized groups are often victimized by crime at a higher rate than more adequately served communities. Higher volumes of crime are demonstrated in statistical crime mapping where lower income and minority residents often reside. When considering vehicle theft specifically, the victim impact of having one's car stolen can have very different outcomes between underserved communities and more affluent communities. In both places, people own cars and use them to get to and from work, buy groceries, and transport children to school and extra-curricular activities. The difference in these two scenarios may be the presence of comprehensive insurance that assists in covering the loss of a vehicle from theft. Vehicle theft in higher income communities may be traumatic and will certainly be inconvenient, but will likely only be temporary as insurance will cover the vehicle's value. Even if insurance does not cover full replacement, residents of higher income communities often have access to other resources to return to a pre-theft normal.

Underserved community residents likely don't have as high a ratio of the presence of comprehensive insurance coverage, opting only for state-mandated liability insurance to reduce the monthly insurance cost. When a vehicle is stolen in this scenario there is often no replacement option due to the lack of comprehensive insurance as well as a lack of other resources to replace the stolen vehicle. For these reasons the impact is potentially much different in underserved communities as the loss, with no replacement, affects all the necessary uses a vehicle provides an owner and family.

ATA grants fund the Vehicle Theft Task Force (VTTF) and the Vertical Prosecution program. While the VTTF will work in any community (statewide stolen vehicle recoveries totaled 1,905, valued at \$36.1M), they primarily spend their operational time in areas that show the highest volume of vehicle thefts; these are underserved communities. As vehicles are recovered by the VTTF they are made available for return to their owner; thereby helping victims return to pre-theft normal. Currently, police agencies can compete for a small amount of grant funding available from the ATA, (historically \$26,758) and use this category of grant funding for equipment, public education or training. Recent

recipients of these Local Grant dollars include La Paz County, Apache County (tri-agency award), Apache Junction, and Glendale. Each of these counties and cities have underserved sections and the requesting agencies evaluate their needs with local control and decision-making as to where the funds will have the greatest impact. Additionally, stolen vehicles are mobile and just because a vehicle is stolen in an underserved community does not mean it will be recovered there – indicating any stolen vehicle recovery can ultimately have a positive impact in an underserved community.

2. How has the department included voices/feedback in the decision-making process of people that will be directly impacted by the spending plan implementation and the groups that will be targeted? How has this input affected the request? *Some potential approaches include surveying affected constituents, consulting existing agency advisory groups, meeting with advocacy organizations that represent the affected population(s), asking front-line staff for feedback from clients second-hand, revisiting previous surveys, etc.*

The Automobile Theft Authority was statutorily created with a twelve-person Board of Directors to provide input and direction to the staff of the ATA. The Board consists of two police chiefs, two sheriffs, two county attorneys, two employees of insurers, two public members, the assistant director of the Motor Vehicle Division of the Department of Transportation and the director of the Department of Public Safety. The county attorneys, employees of insurers, and the public members are Governor appointees. The ATA Board meets five times per year and is provided a series of reports from ATA staff as well as the opportunity to voice concerns from residents of the communities they serve, communities they represent, and industry. The ATA Board has consistently funded the Local Grants program, even with the relatively small investment available to the program, which indicates an ongoing affirmation of the value the program contributes to the mission of the ATA.

Outcomes Supported

This funding request directly relates to the Governor’s Blueprint regarding, **Public Safety Border Security, and Corrections**; specifically:

- Continued reduction in recidivism rates through 2030
- 5% reduction in violent crime by 2030
- Continued, strategic investment in border communities through 2030

Reduction in recidivism rates – The Local grant program provides capacity for agencies to address local vehicle theft problems in a manner unique to their jurisdiction. Funding awards can be used to promote a variety of strategies that may target the arrest and prosecution of vehicle thieves that can affect recidivism in different ways. The State’s preferred option is a suspect is prosecuted and punished for vehicle theft and in the process is rehabilitated into a life free of future offending. The second possible outcome is an offender who is processed through the criminal justice system but continues to offend. In the second scenario other ATA grant programs may aid in the prosecution to ensure a better outcome for the community by enhancing punishments; sometimes resulting in longer incarceration. While lengthening an incarceration term is not the ideal outcome, it does keep dangerous offenders off the street and unable to victimize community members.

5% reduction in violent crime – It is important to understand that vehicle theft is not solely a property crime. Vehicle Theft Task Force detectives and other police investigators often find vehicle thieves involved with narcotics, weapons violations, arrest warrants and many other criminal actions where the stolen vehicle is a means to facilitate other criminal acts. Enhancing the Local Grant appropriation allows local agencies to assess the needs of their community to combat vehicle theft. Varied strategies, specific to jurisdictions, can result in the apprehension and prosecution of vehicle thieves who are often violent offenders. While there are surely many strategies to address violent crime, this request adds capacity to an agency’s ability to target dangerous offenders whose criminal repertoire utilizes a common denominator of a stolen vehicle but often includes a variety of violent acts.

Continued, strategic investment in border communities – This funding request allows for any agency, to include Tribal law enforcement agencies, to request grant funding to address specific vehicle theft problems within their jurisdiction. It is understood that crime does not respect city, county or state boundaries and all agencies have the potential to encounter suspects that operate throughout Arizona. Border crime is not confined to border counties as criminals freely traverse the state’s highway system, in and out of separate jurisdictions, for travel to and from Mexico. Stolen vehicles often provide a nexus to human trafficking, narcotics, weapons, and other crimes that impact the communities in border counties and across Arizona.

In addition to the Governor’s Blueprint, this funding request aligns with the legislative intent of the enabling statute of the Automobile Theft Authority, A.R.S.§41-3451, which states in part:

G. The automobile theft authority shall allocate monies in the fund to public agencies for the purpose of establishing, maintaining and supporting programs that are designed to prevent motor vehicle theft, including:

1. Financial support to law enforcement and prosecution agencies for programs that are designed to increase the effectiveness of motor vehicle theft prosecution.
2. Financial support for programs that are designed to educate and assist the public in the prevention of motor vehicle theft.

Additionally, the following is included in the Master List of the Arizona Integrated Planning System (AZIPS) for the ATA:

Goal 2 - To deter vehicle theft and associated criminal activity through effective and proactive law enforcement strategies

Objective - Provide grant funding to public agencies to promote innovative, proactive and effective law enforcement strategies

Performance Measures that will be used to evaluate the outcome

As a requirement of ATA grant awards, grantee agencies report quarterly to the ATA on metrics designed to show the on-going results and effectiveness of their program. Reporting metrics are chosen based on the nature of the award. The ATA maintains a list of metrics that are identified and

required to be reported each quarter that demonstrates the value of the grant award program. As there are three available categories of Local Grants, the metrics vary by award but are specific to the grant category of the award.

Issue Title: **Vertical Prosecution Program - Maricopa County**

Issue Number: #5

Cost

ATA Fund (ID2060)	\$ 122,995
Total	\$ 122,995

Summary

This requested appropriation increase will fully fund two existing prosecutors and one existing paralegal at the Maricopa County Attorney’s Office, currently funded in part by an ATA Vertical Prosecution grant. Additionally, this request will add and fully fund a second paralegal to support the vertical prosecutors’ caseload. An appropriation increase will be ongoing from the ATA Fund (no General Fund dollars required).

Background

The Vertical Prosecution program is the ATA’s second largest grant program. The grant program is part of the Local Grant category and is utilized to primarily fund personnel, ten prosecutors from six counties as well as three administrative staff positions. The Maricopa County Attorney’s Office (MCAO) has been a key partner in this program and the office handles the highest volume of cases of any county in Arizona. In FY23, MCAO vertical prosecutors charged 1,094 auto theft cases, which accounted for 53% of the 2081 cases charged by all participating county prosecutors. MCAO secured 1,155 felony convictions and won orders for \$104,270 in restitution for victims. MCAO vertical prosecutors secured an average of 4.7 years in prison for convicted offenders and an average of 2.5 years in probation terms.

The following table shows the breakdown to fully fund the MCAO positions.

Employee	FY24 Base Rate/hr	Total Pay Annualized - Amount based on 2088 hours	Retirement Rate	Variable Benefits based on salary	Fixed Benefits \$13,632 per FTE	Total ERE	Employee Annualized Total	Working Title	Emp. Time Type
Prosecutor FW	\$50.27	\$104,963.76	19.94%	\$20,929.77	\$13,632.00	\$34,561.77	\$139,525.53	Prosecutor II	Full time
Prosecutor NH	\$47.94	\$100,098.72	19.94%	\$19,959.68	\$13,632.00	\$33,591.68	\$133,690.40	Prosecutor II	Full time
Paralegal PS	\$23.98	\$50,070.24	19.94%	\$9,984.01	\$13,632.00	\$23,616.01	\$73,686.25	Paralegal	Full time
Paralegal NG	\$21.60	\$45,100.80	19.94%	\$8,993.10	\$13,632.00	\$22,625.10	\$67,725.90	Paralegal	Full time
Total Salary		\$300,233.52		Total Variable ERE	Total Fixed ERE	Total ERE	Total Salary and ERE		
				\$59,866.56	\$54,528.00	\$114,394.56	\$414,628.08		

Options Considered

- *Option 1 - Do Nothing*

Doing nothing would keep the current financial situation status quo; MCAO would be funded for two vertical prosecutors and one paralegal with a grant award of \$291,399. The current funding would not cover MCAO's costs of \$346,901, thus relying on MCAO to make up the difference in salary and employee-related expenses from county resources (\$55,502). This option would also exclude a second paralegal needed to support the high volume of auto theft cases handled by the agency.

- *Option 2 - Fully fund the Vertical Prosecution Program as currently staffed*

This option would provide financial relief to the MCAO by fully funding their personnel costs. It would not, however, address the need for the additional paralegal to support the high-volume caseload of the office.

- *Option 3 - Fund the MCAO request at the level requested by the agency*

For the reasons stated below, this is DIFI's preferred option.

Why is the recommended option the best option?

Funds for this project are currently available, subject to appropriation. Investment in the Vertical Prosecution program supports the enforcement efforts of the Vehicle Theft Task Force and local law enforcement agencies. MCAO is the busiest prosecutorial office of all the county attorney offices in the state. The population of Maricopa County continues to rise and with that comes increases in all crime, to include vehicle theft. Vehicle theft impacts any Arizonan who is victimized by having their vehicle stolen and all Arizonans who subscribe to vehicle insurance. Everyone with vehicle insurance pays higher insurance premiums to recompense insurers for losses sustained in paying claims for stolen vehicles and vehicle break-in damage. The Automobile Theft Authority's funding of the Vertical Prosecution program for the past 22 years has benefited Arizonans by focusing prosecution efforts on new and recidivist vehicle thieves and achieving better prosecution outcomes (higher conviction rates and longer prison sentences). These outcomes may result in rehabilitation but certainly keep incarcerated vehicle thieves off the street longer and unable to steal cars; thereby reducing the number of victims and lowering the number of insurance claims. Full funding of existing positions and the addition of a paralegal will keep the MCAO vehicle theft prosecution effort focused and effective, using funding intended for this purpose.

Promoting Equitable Outcomes

1. How does this funding request impact existing equity gaps for historically underserved, marginalized, or adversely affected groups, positively or negatively? *Equity is ensuring that everyone is fairly afforded the resources, benefits, and opportunities needed to succeed.*

Historically underserved and marginalized groups are often victimized by crime at a higher rate than more adequately served communities. Higher volumes of crime are demonstrated in statistical crime mapping where lower income and minority residents often reside. When considering vehicle theft specifically, the victim impact of having one's car stolen can have very different outcomes between

underserved communities and more affluent communities. In both places, people own cars and use them to get to and from work, buy groceries, and transport children to school and extra-curricular activities. One factor that may create a difference in outcomes in these two scenarios may be the presence of comprehensive insurance that assists in covering the loss of a vehicle from theft. Vehicle theft in higher income communities may be traumatic and will certainly be inconvenient, but will likely only be temporary as insurance will cover the vehicle's value. Even if insurance does not cover full replacement, residents of higher income communities often have access to other resources to return to a pre-theft normal.

Underserved community residents likely don't have as high a ratio of the presence of comprehensive insurance coverage, opting only for state-mandated liability insurance to reduce the monthly insurance cost. When a vehicle is stolen in this scenario there is often no replacement option due to the lack of comprehensive insurance as well as a lack of other resources to replace the stolen vehicle. For these reasons the impact is potentially much different in underserved communities as the loss, with no replacement, affects all the necessary uses a vehicle provides an owner and family.

ATA grants fund the Vehicle Theft Task Force (VTTF) and the Vertical Prosecution program. While the VTTF will work in any community (statewide stolen vehicle recoveries totaled 1,905, valued at \$36.1M), they primarily spend their operational time in areas that show the highest volume of vehicle thefts; these are underserved areas and communities. As vehicles are recovered by the VTTF they are made available for return to their owner; thus, mitigating victimization. Some of these stolen vehicles are recovered occupied, meaning a suspect is arrested (the VTTF arrested 399 felony suspects in FY23). When these suspects enter the criminal justice system in Maricopa County they are prosecuted by attorneys funded through the Vertical Prosecution program. This strategy promotes better outcomes in prosecution and sentencing, especially with repeat offenders who may otherwise process through the criminal justice system without the attention their repeated bad acts warrant.

This funding request makes the Maricopa County Attorney's Office whole in its salary and employee-related expenses for two attorneys and one paralegal that are grant funded through the ATA. The request also adds an additional paralegal to make the prosecutors more efficient through administrative assistance.

2. How has the department included voices/feedback in the decision-making process of people that will be directly impacted by the spending plan implementation and the groups that will be targeted? How has this input affected the request? *Some potential approaches include surveying affected constituents, consulting existing agency advisory groups, meeting with advocacy organizations that represent the affected population(s), asking front-line staff for feedback from clients second-hand, revisiting previous surveys, etc.*

The Automobile Theft Authority was statutorily created with a twelve-person Board of Directors to provide input and direction to the staff of the ATA. The Board consists of two police chiefs, two sheriffs, two county attorneys, two employees of insurers, two public members, the assistant director

of the Motor Vehicle Division of the Department of Transportation, and the director of the Department of Public Safety. The county attorneys, employees of insurers, and the public members are Governor appointees. The ATA Board meets five times per year and is provided a series of reports from ATA staff as well as the opportunity to voice concerns from residents of the communities they serve, communities they represent, and industry. The ATA Board has funded the Vertical Prosecution program for 22 years which indicates a consistent affirmation of the value the program contributes to the mission of the ATA.

Outcomes Supported

This funding request directly relates to the Governor's Blueprint regarding, ***Public Safety Border Security, and Corrections***; specifically:

- Continued reduction in recidivism rates through 2030
- 5% reduction in violent crime by 2030
- Continued, strategic investment in border communities through 2030

Reduction in recidivism rates - Quality prosecution of vehicle theft suspects can affect recidivism in different ways. The State's preferred option is a suspect is prosecuted and punished for vehicle theft and in the process is rehabilitated into a life free of future offending. The second possible outcome is an offender who is processed through the criminal justice system, but continues to offend. In the second scenario vertical prosecution works to ensure a better outcome for the community by enhancing punishments; sometimes resulting in longer incarceration. While lengthening an incarceration term is not the ideal outcome, it does keep dangerous offenders off the street and unable to victimize community members.

5% reduction in violent crime – It is important to understand that vehicle theft is not solely a property crime. Vehicle Theft Task Force detectives and other police investigators often find vehicle thieves involved with narcotics, weapons violations, arrest warrants and many other criminal actions where the stolen vehicle is a means to facilitate other criminal acts. While there are surely many strategies to address violent crime, this request addresses the need for prosecution of dangerous offenders whose criminal repertoire utilizes a common denominator of a stolen vehicle but often includes a variety of violent acts.

Continued, strategic investment in border communities – This funding request is specific to the Maricopa County Attorney's Office (other county attorney offices participating in the program were made whole in the FY24 budget) but as crime does not respect county boundaries it is understood that MCAO prosecutors are handling suspects that operate throughout Arizona. Border crime is not confined to border counties as criminals freely traverse the state's highway system, in and out of border counties, for travel to and from Mexico. MCAO prosecutors handle stolen vehicle cases that also include human trafficking, narcotics, weapons, and other crimes that impact the communities in border counties and across Arizona.

In addition to the Governor's Blueprint, this funding request aligns with the legislative intent of the enabling statute of the Automobile Theft Authority, A.R.S. §41-3451, which states in part:

G. The automobile theft authority shall allocate monies in the fund to public agencies for the purpose of establishing, maintaining and supporting programs that are designed to prevent motor vehicle theft, including:

1. Financial support to law enforcement and prosecution agencies for programs that are designed to increase the effectiveness of motor vehicle theft prosecution.

Additionally, the following is included in the Master List of the Arizona Integrated Planning System (AZIPS) for the ATA:

Goal 3 - To assure deterrence of auto theft and related criminal activity through effective auto theft (vertical) prosecution

Objective - Fund actual personnel and equipment costs of Vertical Prosecution Program for participating counties to meet current and projected needs.

Performance Measures that will be used to evaluate the outcome

As a requirement of ATA grant awards, participating Vertical Prosecution agencies report quarterly to the ATA on metrics designed to show the on-going results and effectiveness of the program. The following metrics are included in the quarterly reporting:

- Cases charged
- Felony convictions
- Plea agreements
- Average length of sentence
- Average length of parole/probation
- Amount of court ordered restitution to victims

Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance Financial Institutions

Appropriated Funds	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:				
IFA-1-0 Policy and Administration	7,840.4	8,497.1	-	8,497.1
IFA-2-0 Solvency Oversight	0.1	-	-	-
IFA-3-0 Consumer Protection	3,298.0	3,856.3	456.0	4,312.3
IFA-4-0 Insurance Fraud Investigation and Deterrence	1,839.5	1,939.1	181.9	2,121.0
IFA-6-0 Automobile Theft Authority	5,911.0	6,604.4	173.0	6,777.4
Appropriated Funds Total:	18,889.0	20,896.9	810.9	21,707.8
Expenditure Categories				
FTE	131.1	123.7	-	123.7
Personal Services	8,026.1	8,893.9	810.9	9,704.8
Employee Related Expenditures	3,044.4	3,266.1	-	3,266.1
Subtotal Personal Services and ERE	11,070.5	12,160.0	810.9	12,970.9
Professional & Outside Services	457.9	407.9	-	407.9
Travel In-State	38.0	167.2	-	167.2
Travel Out-Of-State	47.6	122.4	-	122.4
Aid To Organizations & Individuals	940.3	1,372.3	-	1,372.3
Other Operating Expenditures	1,318.5	1,492.2	-	1,492.2
Capital Equipment	71.6	-	-	-
Non-Capital Equipment	156.9	132.9	-	132.9
Transfers-Out	4,787.6	5,042.0	-	5,042.0
Expenditure Categories Total:	18,889.0	20,896.9	810.9	21,707.8

Summary of Expenditure and Budget Request for All Funds

Agency:		Department of Insurance Financial Institutions			
Non-Appropriated		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:					
IFA-1-0	Policy and Administration	87.9	37.5	-	37.5
IFA-2-0	Solvency Oversight	4,717.8	4,215.0	-	4,215.0
IFA-3-0	Consumer Protection	150.6	62.9	-	62.9
Non-Appropriated Total:		4,956.3	4,315.4	-	4,315.4
Expenditure Categories					
	FTE	17.2	14.1	-	14.1
	Personal Services	1,296.9	1,058.6	-	1,058.6
	Employee Related Expenditures	436.4	412.0	-	412.0
	Subtotal Personal Services and ERE	1,733.4	1,470.6	-	1,470.6
	Professional & Outside Services	2,780.2	2,435.7	-	2,435.7
	Travel In-State	0.3	-	-	-
	Travel Out-Of-State	12.5	11.0	-	11.0
	Aid To Organizations & Individuals	-	-	-	-
	Other Operating Expenditures	83.7	60.9	-	60.9
	Capital Equipment	-	-	-	-
	Non-Capital Equipment	1.2	-	-	-
	Transfers-Out	345.1	337.2	-	337.2
Expenditure Categories Total:		4,956.3	4,315.4	-	4,315.4
Department of Insurance Financial Institutions Total for All Funds:		23,845.3	25,212.3	810.9	26,023.2
Appropriated and Non-Appropriated		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2024 Funding Issue	FY 2025 Total Request
IFA-1-0	Policy and Administration	7,928.3	8,534.6	-	8,534.6
IFA-2-0	Solvency Oversight	4,717.9	4,215.0	-	4,215.0
IFA-3-0	Consumer Protection	3,448.5	3,919.2	456.0	4,375.2
IFA-4-0	Insurance Fraud Investigation and Deterrence	1,839.5	1,939.1	181.9	2,121.0
IFA-6-0	Automobile Theft Authority	5,911.0	6,604.4	173.0	6,777.4
Department of Insurance Financial Institutions Total for All Funds:		23,845.3	25,212.3	810.9	26,023.2

Summary of Expenditure and Budget Request for Selected Funds

Agency:		Department of Insurance Financial Institutions			
Fund:		AA1000 General Fund (Appropriated)			
		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:					
IFA-1-0	Policy and Administration	5,912.1	6,609.4	-	6,609.4
IFA-2-0	Solvency Oversight	0.1	-	-	-
IFA-4-0	Insurance Fraud Investigation and Deterrence	1,839.5	1,939.1	181.9	2,121.0
General Fund (Appropriated) Summary Total:		7,751.7	8,548.5	181.9	8,730.4
Expenditure Categories					
	FTE	77.1	67.9	-	67.9
	Personal Services	4,545.1	4,847.5	181.9	5,029.4
	Employee Related Expenditures	1,639.7	1,756.2	-	1,756.2
	Subtotal Personal Services and ERE	6,184.8	6,603.7	181.9	6,785.6
	Professional & Outside Services	246.3	374.9	-	374.9
	Travel In-State	37.7	167.2	-	167.2
	Travel Out-Of-State	44.8	105.7	-	105.7
	Aid To Organizations & Individuals	-	-	-	-
	Other Operating Expenditures	1,009.6	1,164.1	-	1,164.1
	Capital Equipment	71.6	-	-	-
	Non-Capital Equipment	156.9	132.9	-	132.9
	Transfers-Out	-	-	-	-
	Expenditure Categories Total:	7,751.7	8,548.5	181.9	8,730.4

Summary of Expenditure and Budget Request for Selected Funds

Agency:		Department of Insurance Financial Institutions			
Fund:		ID1997 Mortgage Recovery Fund (Non-Appropriated)			
		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:					
IFA-2-0	Solvency Oversight	11.3	12.9	-	12.9
IFA-3-0	Consumer Protection	0.2	0.3	-	0.3
Mortgage Recovery Fund (Non-Appropriated) Summary Total:		11.5	13.2	-	13.2
Expenditure Categories					
	FTE	0.2	0.2	-	0.2
	Personal Services	9.1	9.1	-	9.1
	Employee Related Expenditures	2.0	3.5	-	3.5
	Subtotal Personal Services and ERE	11.1	12.6	-	12.6
	Professional & Outside Services	-	-	-	-
	Travel In-State	-	-	-	-
	Travel Out-Of-State	-	-	-	-
	Aid To Organizations & Individuals	-	-	-	-
	Other Operating Expenditures	0.3	0.6	-	0.6
	Capital Equipment	-	-	-	-
	Non-Capital Equipment	-	-	-	-
	Transfers-Out	-	-	-	-
	Expenditure Categories Total:	11.5	13.2	-	13.2

Summary of Expenditure and Budget Request for Selected Funds

Agency:		Department of Insurance Financial Institutions			
Fund:		ID1998 Financial Services Fund (Appropriated)			
		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:					
IFA-1-0	Policy and Administration	1,828.3	1,682.6	-	1,682.6
IFA-2-0	Solvency Oversight	0.0	-	-	-
IFA-3-0	Consumer Protection	3,298.0	3,856.3	456.0	4,312.3
Financial Services Fund (Appropriated) Summary Total:		5,126.3	5,538.9	456.0	5,994.9
Expenditure Categories					
	FTE	51.7	53.6	-	53.6
	Personal Services	3,298.0	3,856.3	456.0	4,312.3
	Employee Related Expenditures	1,345.4	1,450.7	-	1,450.7
	Subtotal Personal Services and ERE	4,643.4	5,307.0	456.0	5,763.0
	Professional & Outside Services	201.4	33.0	-	33.0
	Travel In-State	0.0	-	-	-
	Travel Out-Of-State	0.2	-	-	-
	Aid To Organizations & Individuals	-	-	-	-
	Other Operating Expenditures	281.3	198.9	-	198.9
	Capital Equipment	-	-	-	-
	Non-Capital Equipment	-	-	-	-
	Transfers-Out	-	-	-	-
	Expenditure Categories Total:	5,126.3	5,538.9	456.0	5,994.9

Summary of Expenditure and Budget Request for Selected Funds

Agency:		Department of Insurance Financial Institutions			
Fund:		ID2000 Federal Grants Fund (Non-Appropriated)			
		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:					
IFA-2-0	Solvency Oversight	123.7	28.7	-	28.7
	Federal Grants Fund (Non-Appropriated) Summary Total:	123.7	28.7	-	28.7
Expenditure Categories					
	FTE	1.0	1.0	-	1.0
	Personal Services	71.0	19.3	-	19.3
	Employee Related Expenditures	34.4	8.3	-	8.3
	Subtotal Personal Services and ERE	105.4	27.6	-	27.6
	Professional & Outside Services	17.3	1.0	-	1.0
	Travel In-State	-	-	-	-
	Travel Out-Of-State	-	-	-	-
	Aid To Organizations & Individuals	-	-	-	-
	Other Operating Expenditures	1.0	0.1	-	0.1
	Capital Equipment	-	-	-	-
	Non-Capital Equipment	-	-	-	-
	Transfers-Out	-	-	-	-
	Expenditure Categories Total:	123.7	28.7	-	28.7

Summary of Expenditure and Budget Request for Selected Funds

Agency:		Department of Insurance Financial Institutions			
Fund:		ID2034 Insurance Examiners Revolving Fund (Non-Appropriated)			
		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2026 Total Request
Program:					
IFA-1-0	Policy and Administration	56.3	-	-	-
IFA-2-0	Solvency Oversight	2,590.5	2,226.7	-	2,226.7
Insurance Examiners Revolving Fund (Non-Appropriated) Summary Total:		2,646.9	2,226.7	-	2,226.7
Expenditure Categories					
	FTE	2.9	-	-	-
	Personal Services	163.9	-	-	-
	Employee Related Expenditures	56.3	-	-	-
	Subtotal Personal Services and ERE	220.3	-	-	-
	Professional & Outside Services	2,400.5	2,210.0	-	2,210.0
	Travel In-State	-	-	-	-
	Travel Out-Of-State	1.5	-	-	-
	Aid To Organizations & Individuals	-	-	-	-
	Other Operating Expenditures	24.7	16.7	-	16.7
	Capital Equipment	-	-	-	-
	Non-Capital Equipment	-	-	-	-
	Transfers-Out	-	-	-	-
	Expenditure Categories Total:	2,646.9	2,226.7	-	2,226.7

Summary of Expenditure and Budget Request for Selected Funds

Agency:		Department of Insurance Financial Institutions			
Fund:		ID2060 Automobile Theft Authority Fund (Appropriated)			
		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:					
IFA-1-0	Policy and Administration	100.0	205.1	-	205.1
IFA-6-0	Automobile Theft Authority	5,911.0	6,604.4	173.0	6,777.4
Automobile Theft Authority Fund (Appropriated) Summary Total:		6,011.0	6,809.5	173.0	6,982.5
Expenditure Categories					
	FTE	2.3	2.3	-	2.3
	Personal Services	183.0	190.1	173.0	363.1
	Employee Related Expenditures	59.3	59.2	-	59.2
	Subtotal Personal Services and ERE	242.4	249.3	173.0	422.3
	Professional & Outside Services	10.2	-	-	-
	Travel In-State	0.3	-	-	-
	Travel Out-Of-State	2.7	16.7	-	16.7
	Aid To Organizations & Individuals	940.3	1,372.3	-	1,372.3
	Other Operating Expenditures	27.6	129.2	-	129.2
	Capital Equipment	-	-	-	-
	Non-Capital Equipment	-	-	-	-
	Transfers-Out	4,787.6	5,042.0	-	5,042.0
	Expenditure Categories Total:	6,011.0	6,809.5	173.0	6,982.5

Summary of Expenditure and Budget Request for Selected Funds

Agency:		Department of Insurance Financial Institutions			
Fund:		ID2126 Banking Department Revolving Fund (Non-Appropriated)			
		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:					
IFA-1-0	Policy and Administration	31.6	37.5	-	37.5
IFA-2-0	Solvency Oversight	365.6	374.8	-	374.8
Banking Department Revolving Fund (Non-Appropriated) Summary Total:		397.2	412.3	-	412.3
Expenditure Categories					
	FTE	1.5	1.5	-	1.5
	Personal Services	99.2	109.5	-	109.5
	Employee Related Expenditures	31.6	37.5	-	37.5
	Subtotal Personal Services and ERE	130.9	147.0	-	147.0
	Professional & Outside Services	191.4	191.4	-	191.4
	Travel In-State	-	-	-	-
	Travel Out-Of-State	-	-	-	-
	Aid To Organizations & Individuals	-	-	-	-
	Other Operating Expenditures	0.6	0.5	-	0.5
	Capital Equipment	-	-	-	-
	Non-Capital Equipment	-	-	-	-
	Transfers-Out	74.3	73.4	-	73.4
	Expenditure Categories Total:	397.2	412.3	-	412.3

Summary of Expenditure and Budget Request for Selected Funds

Agency:		Department of Insurance Financial Institutions			
Fund:		ID2377 Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)			
		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:					
IFA-2-0	Solvency Oversight	877.1	800.8	-	800.8
Captive Insurance Regulatory and Supervision Fund (Non-Appropriated) Summary Total:		877.1	800.8	-	800.8
Expenditure Categories					
	FTE	4.7	4.5	-	4.5
	Personal Services	424.2	361.3	-	361.3
	Employee Related Expenditures	134.1	142.1	-	142.1
	Subtotal Personal Services and ERE	558.3	503.4	-	503.4
	Professional & Outside Services	12.4	10.0	-	10.0
	Travel In-State	0.3	-	-	-
	Travel Out-Of-State	10.6	11.0	-	11.0
	Aid To Organizations & Individuals	-	-	-	-
	Other Operating Expenditures	24.7	12.6	-	12.6
	Capital Equipment	-	-	-	-
	Non-Capital Equipment	-	-	-	-
	Transfers-Out	270.8	263.8	-	263.8
	Expenditure Categories Total:	877.1	800.8	-	800.8

Summary of Expenditure and Budget Request for Selected Funds

Agency:		Department of Insurance Financial Institutions			
Fund:		ID2467 Health Care Appeals Fund (Non-Appropriated)			
		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:					
IFA-2-0	Solvency Oversight	82.2	82.5	-	82.5
IFA-3-0	Consumer Protection	150.4	62.6	-	62.6
Health Care Appeals Fund (Non-Appropriated) Summary Total:		232.6	145.1	-	145.1
Expenditure Categories					
	FTE	1.0	1.0	-	1.0
	Personal Services	82.2	82.5	-	82.5
	Employee Related Expenditures	30.3	32.7	-	32.7
	Subtotal Personal Services and ERE	112.6	115.2	-	115.2
	Professional & Outside Services	110.8	20.3	-	20.3
	Travel In-State	-	-	-	-
	Travel Out-Of-State	0.4	-	-	-
	Aid To Organizations & Individuals	-	-	-	-
	Other Operating Expenditures	7.7	9.6	-	9.6
	Capital Equipment	-	-	-	-
	Non-Capital Equipment	1.2	-	-	-
	Transfers-Out	-	-	-	-
	Expenditure Categories Total:	232.6	145.1	-	145.1

Summary of Expenditure and Budget Request for Selected Funds

Agency:		Department of Insurance Financial Institutions			
Fund:		ID2473 Financial Surveillance Fund (Non-Appropriated)			
		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:					
IFA-2-0	Solvency Oversight	529.7	545.4	-	545.4
Financial Surveillance Fund (Non-Appropriated) Summary Total:		529.7	545.4	-	545.4
Expenditure Categories					
	FTE	5.0	5.0	-	5.0
	Personal Services	351.3	380.6	-	380.6
	Employee Related Expenditures	117.3	150.1	-	150.1
	Subtotal Personal Services and ERE	468.6	530.7	-	530.7
	Professional & Outside Services	41.7	-	-	-
	Travel In-State	-	-	-	-
	Travel Out-Of-State	-	-	-	-
	Aid To Organizations & Individuals	-	-	-	-
	Other Operating Expenditures	19.4	14.7	-	14.7
	Capital Equipment	-	-	-	-
	Non-Capital Equipment	-	-	-	-
	Transfers-Out	-	-	-	-
	Expenditure Categories Total:	529.7	545.4	-	545.4

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
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Fund:	ID3023 Receivership Revolving Fund (Non-Appropriated)
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	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:				
IFA-2-0 Solvency Oversight	6.2	3.0	-	3.0
Receivership Revolving Fund (Non-Appropriated) Summary Total:	6.2	3.0	-	3.0
Expenditure Categories				
FTE	-	-	-	-
Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
Professional & Outside Services	6.2	3.0	-	3.0
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	6.2	3.0	-	3.0

Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
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Fund:	ID3104 Insurance Receivership Liquidation Fund (Non-Appropriated)
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	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:				
IFA-2-0 Solvency Oversight	131.6	140.2	-	140.2
Insurance Receivership Liquidation Fund (Non-Appropriated) Summary Total:	131.6	140.2	-	140.2
Expenditure Categories				
FTE	1.0	1.0	-	1.0
Personal Services	95.9	96.3	-	96.3
Employee Related Expenditures	30.2	37.8	-	37.8
Subtotal Personal Services and ERE	126.1	134.1	-	134.1
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	5.4	6.1	-	6.1
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	131.6	140.2	-	140.2

Program Budget Unit Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-1-0 Policy and Administration

Expenditure Categories

FTE	63.0	53.8	-	53.8
Personal Services	3,476.5	3,807.5	-	3,807.5
Employee Related Expenditures	2,759.6	2,899.4	-	2,899.4
Subtotal Personal Services and ERE	6,236.0	6,706.9	-	6,706.9
Professional & Outside Services	365.3	179.9	-	179.9
Travel In-State	11.3	76.0	-	76.0
Travel Out-Of-State	40.0	116.3	-	116.3
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	1,151.7	1,328.6	-	1,328.6
Capital Equipment	-	-	-	-
Non-Capital Equipment	124.0	126.9	-	126.9
Transfers-Out	-	-	-	-
Expenditure Categories Total:	7,928.3	8,534.6	-	8,534.6

Fund Source

Appropriated Funds

General Fund (Appropriated)	5,912.1	6,609.4	-	6,609.4
Financial Services Fund (Appropriated)	1,828.3	1,682.6	-	1,682.6
Automobile Theft Authority Fund (Appropriated)	100.0	205.1	-	205.1
Appropriated Funds Total:	7,840.4	8,497.1	-	8,497.1

Non-Appropriated Funds

Insurance Examiners Revolving Fund (Non-Appropriated)	56.3	-	-	-
Banking Department Revolving Fund (Non-Appropriated)	31.6	37.5	-	37.5
Non-Appropriated Funds Total:	87.9	37.5	-	37.5
Policy and Administration Total:	7,928.3	8,534.6	-	8,534.6

Sub Program: IFA-1-1 Policy and Administration

Expenditure Categories

FTE	63.0	53.8	-	53.8
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Program Budget Unit Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Sub Program: IFA-1-1 Policy and Administration				

Personal Services	3,476.5	3,807.5	-	3,807.5
Employee Related Expenditures	2,759.6	2,899.4	-	2,899.4
Subtotal Personal Services and ERE	6,236.0	6,706.9	-	6,706.9
Professional & Outside Services	365.3	179.9	-	179.9
Travel In-State	11.3	76.0	-	76.0
Travel Out-Of-State	40.0	116.3	-	116.3
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	1,151.7	1,328.6	-	1,328.6
Capital Equipment	-	-	-	-
Non-Capital Equipment	124.0	126.9	-	126.9
Transfers-Out	-	-	-	-
Expenditure Categories Total:	7,928.3	8,534.6	-	8,534.6

Fund Source

Appropriated Funds

General Fund (Appropriated)	5,912.1	6,609.4	-	6,609.4
Financial Services Fund (Appropriated)	1,828.3	1,682.6	-	1,682.6
Automobile Theft Authority Fund (Appropriated)	100.0	205.1	-	205.1
Appropriated Funds Total:	7,840.4	8,497.1	-	8,497.1

Non-Appropriated Funds

Insurance Examiners Revolving Fund (Non-Appropriated)	56.3	-	-	-
Banking Department Revolving Fund (Non-Appropriated)	31.6	37.5	-	37.5
Non-Appropriated Funds Total:	87.9	37.5	-	37.5
Policy and Administration Total:	7,928.3	8,534.6	-	8,534.6

Sub Program: IFA-1-2 SLI FY 2023 Salary Increase

Expenditure Categories

FTE	-	-	-	-
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Program Budget Unit Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Sub Program: IFA-1-2 SLI FY 2023 Salary Increase				
Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	-	-	-	-
Fund Source				
Appropriated Funds				
General Fund (Appropriated)	-	-	-	-
Appropriated Funds Total:	-	-	-	-
Policy and Administration Total:	-	-	-	-

**Program Budget Unit Summary of Expenditure and Budget Request
for All Funds**

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				

Expenditure Categories

FTE	17.2	14.1	-	14.1
Personal Services	1,297.0	1,058.6	-	1,058.6
Employee Related Expenditures	318.1	341.8	-	341.8
Subtotal Personal Services and ERE	1,615.2	1,400.4	-	1,400.4
Professional & Outside Services	2,669.3	2,415.4	-	2,415.4
Travel In-State	0.3	-	-	-
Travel Out-Of-State	12.1	11.0	-	11.0
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	75.9	51.0	-	51.0
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	345.1	337.2	-	337.2
Expenditure Categories Total:	4,717.9	4,215.0	-	4,215.0

Fund Source

Appropriated Funds

General Fund (Appropriated)	0.1	-	-	-
Financial Services Fund (Appropriated)	0.0	-	-	-
Appropriated Funds Total:	0.1	-	-	-

Program Budget Unit Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				
Non-Appropriated Funds				
Mortgage Recovery Fund (Non-Appropriated)	11.3	12.9	-	12.9
Federal Grants Fund (Non-Appropriated)	123.7	28.7	-	28.7
Insurance Examiners Revolving Fund (Non-Appropriated)	2,590.5	2,226.7	-	2,226.7
Banking Department Revolving Fund (Non-Appropriated)	365.6	374.8	-	374.8
Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	877.1	800.8	-	800.8
Health Care Appeals Fund (Non-Appropriated)	82.2	82.5	-	82.5
Financial Surveillance Fund (Non-Appropriated)	529.7	545.4	-	545.4
Receivership Revolving Fund (Non-Appropriated)	6.2	3.0	-	3.0
Insurance Receivership Liquidation Fund (Non-Appropriated)	131.6	140.2	-	140.2
Non-Appropriated Funds Total:	4,717.8	4,215.0	-	4,215.0
Solvency Oversight Total:	4,717.9	4,215.0	-	4,215.0

Sub Program: IFA-2-1 Solvency Oversight

Expenditure Categories

FTE	17.2	14.1	-	14.1
Personal Services	1,297.0	1,058.6	-	1,058.6
Employee Related Expenditures	318.1	341.8	-	341.8
Subtotal Personal Services and ERE	1,615.2	1,400.4	-	1,400.4
Professional & Outside Services	2,669.3	2,415.4	-	2,415.4
Travel In-State	0.3	-	-	-
Travel Out-Of-State	12.1	11.0	-	11.0
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	75.9	51.0	-	51.0
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	345.1	337.2	-	337.2

**Program Budget Unit Summary of Expenditure and Budget Request
for All Funds**

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				

Sub Program: IFA-2-1 Solvency Oversight

Expenditure Categories Total:	4,717.9	4,215.0	-	4,215.0
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Fund Source

Appropriated Funds

General Fund (Appropriated)	0.1	-	-	-
Financial Services Fund (Appropriated)	0.0	-	-	-
Appropriated Funds Total:	0.1	-	-	-

Non-Appropriated Funds

Mortgage Recovery Fund (Non-Appropriated)	11.3	12.9	-	12.9
Federal Grants Fund (Non-Appropriated)	123.7	28.7	-	28.7
Insurance Examiners Revolving Fund (Non-Appropriated)	2,590.5	2,226.7	-	2,226.7
Banking Department Revolving Fund (Non-Appropriated)	365.6	374.8	-	374.8
Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	877.1	800.8	-	800.8
Health Care Appeals Fund (Non-Appropriated)	82.2	82.5	-	82.5
Financial Surveillance Fund (Non-Appropriated)	529.7	545.4	-	545.4
Receivership Revolving Fund (Non-Appropriated)	6.2	3.0	-	3.0
Insurance Receivership Liquidation Fund (Non-Appropriated)	131.6	140.2	-	140.2
Non-Appropriated Funds Total:	4,717.8	4,215.0	-	4,215.0
Solvency Oversight Total:	4,717.9	4,215.0	-	4,215.0

**Program Budget Unit Summary of Expenditure and Budget Request
for All Funds**

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-3-0 Consumer Protection				

Expenditure Categories

FTE	51.7	53.6	-	53.6
Personal Services	3,298.0	3,856.3	456.0	4,312.3
Employee Related Expenditures	30.3	32.7	-	32.7
Subtotal Personal Services and ERE	3,328.3	3,889.0	456.0	4,345.0
Professional & Outside Services	110.8	20.3	-	20.3
Travel In-State	-	-	-	-
Travel Out-Of-State	0.4	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	7.8	9.9	-	9.9
Capital Equipment	-	-	-	-
Non-Capital Equipment	1.2	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	3,448.5	3,919.2	456.0	4,375.2

Fund Source

Appropriated Funds

Financial Services Fund (Appropriated)	3,298.0	3,856.3	456.0	4,312.3
Appropriated Funds Total:	3,298.0	3,856.3	456.0	4,312.3

Non-Appropriated Funds

Mortgage Recovery Fund (Non-Appropriated)	0.2	0.3	-	0.3
Health Care Appeals Fund (Non-Appropriated)	150.4	62.6	-	62.6
Non-Appropriated Funds Total:	150.6	62.9	-	62.9
Consumer Protection Total:	3,448.5	3,919.2	456.0	4,375.2

Sub Program: IFA-3-1 Consumer Protection

Expenditure Categories

FTE	51.7	53.6	-	53.6
Personal Services	3,298.0	3,856.3	456.0	4,312.3
Employee Related Expenditures	30.3	32.7	-	32.7

**Program Budget Unit Summary of Expenditure and Budget Request
for All Funds**

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-3-0 Consumer Protection				
Sub Program: IFA-3-1 Consumer Protection				
Subtotal Personal Services and ERE	3,328.3	3,889.0	456.0	4,345.0
Professional & Outside Services	110.8	20.3	-	20.3
Travel In-State	-	-	-	-
Travel Out-Of-State	0.4	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	7.8	9.9	-	9.9
Capital Equipment	-	-	-	-
Non-Capital Equipment	1.2	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	3,448.5	3,919.2	456.0	4,375.2
Fund Source				
Appropriated Funds				
Financial Services Fund (Appropriated)	3,298.0	3,856.3	456.0	4,312.3
Appropriated Funds Total:	3,298.0	3,856.3	456.0	4,312.3
Non-Appropriated Funds				
Mortgage Recovery Fund (Non-Appropriated)	0.2	0.3	-	0.3
Health Care Appeals Fund (Non-Appropriated)	150.4	62.6	-	62.6
Non-Appropriated Funds Total:	150.6	62.9	-	62.9
Consumer Protection Total:	3,448.5	3,919.2	456.0	4,375.2

Program Budget Unit Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-4-0 Insurance Fraud Investigation and Deterrence				

Expenditure Categories

FTE	14.1	14.1	-	14.1
Personal Services	1,068.5	1,040.0	181.9	1,221.9
Employee Related Expenditures	372.8	404.2	-	404.2
Subtotal Personal Services and ERE	1,441.3	1,444.2	181.9	1,626.1
Professional & Outside Services	92.5	228.0	-	228.0
Travel In-State	26.7	91.2	-	91.2
Travel Out-Of-State	7.6	6.1	-	6.1
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	166.8	163.6	-	163.6
Capital Equipment	71.6	-	-	-
Non-Capital Equipment	32.9	6.0	-	6.0
Transfers-Out	-	-	-	-
Expenditure Categories Total:	1,839.5	1,939.1	181.9	2,121.0

Fund Source

Appropriated Funds				
General Fund (Appropriated)	1,839.5	1,939.1	181.9	2,121.0
Appropriated Funds Total:	1,839.5	1,939.1	181.9	2,121.0
Insurance Fraud Investigation and Deterrence Total:	1,839.5	1,939.1	181.9	2,121.0

Sub Program: IFA-4-1 Insurance Fraud Investigation and Deterrence

Expenditure Categories

FTE	-	-	-	-
Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-

Program Budget Unit Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-4-0 Insurance Fraud Investigation and Deterrence				
Sub Program: IFA-4-1 Insurance Fraud Investigation and Deterrence				
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	-	-	-	-

Fund Source

Appropriated Funds

General Fund (Appropriated)	-	-	-	-
Appropriated Funds Total:	-	-	-	-
Insurance Fraud Investigation and Deterrence Total:	-	-	-	-

Sub Program: IFA-4-2 SLI Insurance Fraud Unit

Expenditure Categories

FTE	14.1	14.1	-	14.1
Personal Services	1,068.5	1,040.0	181.9	1,221.9
Employee Related Expenditures	372.8	404.2	-	404.2
Subtotal Personal Services and ERE	1,441.3	1,444.2	181.9	1,626.1
Professional & Outside Services	92.5	228.0	-	228.0
Travel In-State	26.7	91.2	-	91.2
Travel Out-Of-State	7.6	6.1	-	6.1
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	166.8	163.6	-	163.6
Capital Equipment	71.6	-	-	-
Non-Capital Equipment	32.9	6.0	-	6.0
Transfers-Out	-	-	-	-
Expenditure Categories Total:	1,839.5	1,939.1	181.9	2,121.0

Program Budget Unit Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-4-0 Insurance Fraud Investigation and Deterrence				
Sub Program: IFA-4-2 SLI Insurance Fraud Unit				

Fund Source

Appropriated Funds

General Fund (Appropriated)	1,839.5	1,939.1	181.9	2,121.0
Appropriated Funds Total:	1,839.5	1,939.1	181.9	2,121.0
Insurance Fraud Investigation and Deterrence Total:	1,839.5	1,939.1	181.9	2,121.0

Program Budget Unit Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-6-0 Automobile Theft Authority				

Expenditure Categories

FTE	2.3	2.3	-	2.3
Personal Services	183.0	190.1	173.0	363.1
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	183.0	190.1	173.0	363.1
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	940.3	1,372.3	-	1,372.3
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	4,787.6	5,042.0	-	5,042.0
Expenditure Categories Total:	5,911.0	6,604.4	173.0	6,777.4

Fund Source

Appropriated Funds				
Automobile Theft Authority Fund (Appropriated)	5,911.0	6,604.4	173.0	6,777.4
Appropriated Funds Total:	5,911.0	6,604.4	173.0	6,777.4
Automobile Theft Authority Total:	5,911.0	6,604.4	173.0	6,777.4

Sub Program: IFA-6-1 SLI Automobile Theft Authority

Expenditure Categories

FTE	2.3	2.3	-	2.3
Personal Services	183.0	190.1	-	190.1
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	183.0	190.1	-	190.1
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-

Program Budget Unit Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-6-0 Automobile Theft Authority				
Sub Program: IFA-6-1 SLI Automobile Theft Authority				
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	183.0	190.1	-	190.1

Fund Source

Appropriated Funds

Automobile Theft Authority Fund (Appropriated)	183.0	190.1	-	190.1
Appropriated Funds Total:	183.0	190.1	-	190.1
Automobile Theft Authority Total:	183.0	190.1	-	190.1

Sub Program: IFA-6-3 SLI ATA Vehicle Theft Task Force

Expenditure Categories

FTE	-	-	-	-
Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	4,787.6	5,042.0	-	5,042.0
Expenditure Categories Total:	4,787.6	5,042.0	-	5,042.0

Program Budget Unit Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-6-0 Automobile Theft Authority

Sub Program: IFA-6-3 SLI ATA Vehicle Theft Task Force

Fund Source

Appropriated Funds

Automobile Theft Authority Fund (Appropriated)	4,787.6	5,042.0	-	5,042.0
Appropriated Funds Total:	4,787.6	5,042.0	-	5,042.0
Automobile Theft Authority Total:	4,787.6	5,042.0	-	5,042.0

Sub Program: IFA-6-4 SLI Local Grants

Expenditure Categories

FTE	-	-	-	-
Personal Services	-	-	173.0	173.0
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	173.0	173.0
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	940.3	1,372.3	-	1,372.3
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	940.3	1,372.3	173.0	1,545.3

Fund Source

Appropriated Funds

Automobile Theft Authority Fund (Appropriated)	940.3	1,372.3	173.0	1,545.3
Appropriated Funds Total:	940.3	1,372.3	173.0	1,545.3
Automobile Theft Authority Total:	940.3	1,372.3	173.0	1,545.3

Program Budget Unit Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance Financial Institutions

<u>FY 2023 Actuals</u>	<u>FY 2024 Expenditure Plan</u>	<u>FY 2025 Funding Issue</u>	<u>FY 2025 Total Request</u>
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**Program Budget Unit Summary of Expenditure and Budget Request
for Selected Funds**

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Fund: AA1000 General Fund				

Appropriated

Personal Services	3,476.5	3,807.5	-	3,807.5
Employee Related Expenditures	1,266.9	1,352.0	-	1,352.0
Subtotal Personal Services and ERE	4,743.4	5,159.5	-	5,159.5
Professional & Outside Services	153.8	146.9	-	146.9
Travel In-State	11.0	76.0	-	76.0
Travel Out-Of-State	37.1	99.6	-	99.6
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	842.8	1,000.5	-	1,000.5
Capital Equipment	-	-	-	-
Non-Capital Equipment	124.0	126.9	-	126.9
Transfers-Out	-	-	-	-
Expenditure Categories Total:	5,912.1	6,609.4	-	6,609.4
General Fund Total:	5,912.1	6,609.4	-	6,609.4

Fund: ID1998 Financial Services Fund

Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	1,345.4	1,450.7	-	1,450.7
Subtotal Personal Services and ERE	1,345.4	1,450.7	-	1,450.7
Professional & Outside Services	201.4	33.0	-	33.0
Travel In-State	-	-	-	-
Travel Out-Of-State	0.2	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	281.3	198.9	-	198.9
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	1,828.3	1,682.6	-	1,682.6

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Fund: ID1998 Financial Services Fund				
Financial Services Fund Total:	1,828.3	1,682.6	-	1,682.6

Fund: ID2034 Insurance Examiners Revolving Fund

Non-Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	56.3	-	-	-
Subtotal Personal Services and ERE	56.3	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	56.3	-	-	-
Insurance Examiners Revolving Fund Total:	56.3	-	-	-

Fund: ID2060 Automobile Theft Authority Fund

Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	59.3	59.2	-	59.2
Subtotal Personal Services and ERE	59.3	59.2	-	59.2
Professional & Outside Services	10.2	-	-	-
Travel In-State	0.3	-	-	-
Travel Out-Of-State	2.7	16.7	-	16.7
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	27.6	129.2	-	129.2
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Fund: ID2060 Automobile Theft Authority Fund				
Transfers-Out	-	-	-	-
Expenditure Categories Total:	100.0	205.1	-	205.1
Automobile Theft Authority Fund Total:	100.0	205.1	-	205.1

Fund: ID2126 Banking Department Revolving Fund

Non-Appropriated				
Personal Services	-	-	-	-
Employee Related Expenditures	31.6	37.5	-	37.5
Subtotal Personal Services and ERE	31.6	37.5	-	37.5
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	31.6	37.5	-	37.5
Banking Department Revolving Fund Total:	31.6	37.5	-	37.5
Program Total for Select Funds:	7,928.3	8,534.6	-	8,534.6

Sub Program: IFA-1-1 Policy and Administration

Fund: AA1000 General Fund

Appropriated				
Personal Services	3,476.5	3,807.5	-	3,807.5
Employee Related Expenditures	1,266.9	1,352.0	-	1,352.0
Subtotal Personal Services and ERE	4,743.4	5,159.5	-	5,159.5

**Program Budget Unit Summary of Expenditure and Budget Request
for Selected Funds**

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Sub Program: IFA-1-1 Policy and Administration				
Fund: AA1000 General Fund				
Professional & Outside Services	153.8	146.9	-	146.9
Travel In-State	11.0	76.0	-	76.0
Travel Out-Of-State	37.1	99.6	-	99.6
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	842.8	1,000.5	-	1,000.5
Capital Equipment	-	-	-	-
Non-Capital Equipment	124.0	126.9	-	126.9
Transfers-Out	-	-	-	-
Expenditure Categories Total:	5,912.1	6,609.4	-	6,609.4
General Fund Total:	5,912.1	6,609.4	-	6,609.4

Fund: ID1998 Financial Services Fund

Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	1,345.4	1,450.7	-	1,450.7
Subtotal Personal Services and ERE	1,345.4	1,450.7	-	1,450.7
Professional & Outside Services	201.4	33.0	-	33.0
Travel In-State	-	-	-	-
Travel Out-Of-State	0.2	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	281.3	198.9	-	198.9
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	1,828.3	1,682.6	-	1,682.6
Financial Services Fund Total:	1,828.3	1,682.6	-	1,682.6

Fund: ID2034 Insurance Examiners Revolving Fund

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Sub Program: IFA-1-1 Policy and Administration				
Fund: ID2034 Insurance Examiners Revolving Fund				

Non-Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	56.3	-	-	-
Subtotal Personal Services and ERE	56.3	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	56.3	-	-	-
Insurance Examiners Revolving Fund Total:	56.3	-	-	-

Fund: ID2060 Automobile Theft Authority Fund

Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	59.3	59.2	-	59.2
Subtotal Personal Services and ERE	59.3	59.2	-	59.2
Professional & Outside Services	10.2	-	-	-
Travel In-State	0.3	-	-	-
Travel Out-Of-State	2.7	16.7	-	16.7
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	27.6	129.2	-	129.2
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Sub Program: IFA-1-1 Policy and Administration				
Fund: ID2060 Automobile Theft Authority Fund				

Expenditure Categories Total:	100.0	205.1	-	205.1
Automobile Theft Authority Fund Total:	100.0	205.1	-	205.1

Fund: ID2126 Banking Department Revolving Fund

Non-Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	31.6	37.5	-	37.5
Subtotal Personal Services and ERE	31.6	37.5	-	37.5
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	31.6	37.5	-	37.5
Banking Department Revolving Fund Total:	31.6	37.5	-	37.5
Sub Program Total for Select Funds:	7,928.3	8,534.6	-	8,534.6

Sub Program: IFA-1-2 SLI FY 2023 Salary Increase

Fund: AA1000 General Fund

Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Sub Program: IFA-1-2 SLI FY 2023 Salary Increase				
Fund: AA1000 General Fund				
Subtotal Personal Services and ERE	-	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	-	-	-	-
General Fund Total:	-	-	-	-
Sub Program Total for Select Funds:	-	-	-	-

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-2-0 Solvency Oversight

Fund: AA1000 General Fund

Appropriated

Personal Services	0.1	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	0.1	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	0.1	-	-	-
General Fund Total:	0.1	-	-	-

Fund: ID1997 Mortgage Recovery Fund

Non-Appropriated

Personal Services	9.1	9.1	-	9.1
Employee Related Expenditures	2.0	3.5	-	3.5
Subtotal Personal Services and ERE	11.1	12.6	-	12.6
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	0.2	0.3	-	0.3
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	11.3	12.9	-	12.9

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				
Fund: ID1997 Mortgage Recovery Fund				
Mortgage Recovery Fund Total:	11.3	12.9	-	12.9

Fund: ID1998 Financial Services Fund

Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	0.0	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	0.0	-	-	-
Financial Services Fund Total:	0.0	-	-	-

Fund: ID2000 Federal Grants Fund

Non-Appropriated

Personal Services	71.0	19.3	-	19.3
Employee Related Expenditures	34.4	8.3	-	8.3
Subtotal Personal Services and ERE	105.4	27.6	-	27.6
Professional & Outside Services	17.3	1.0	-	1.0
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	1.0	0.1	-	0.1
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-

**Program Budget Unit Summary of Expenditure and Budget Request
for Selected Funds**

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				
Fund: ID2000 Federal Grants Fund				
Transfers-Out	-	-	-	-
Expenditure Categories Total:	123.7	28.7	-	28.7
Federal Grants Fund Total:	123.7	28.7	-	28.7

Fund: ID2034 Insurance Examiners Revolving Fund

Non-Appropriated

Personal Services	163.9	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	163.9	-	-	-
Professional & Outside Services	2,400.5	2,210.0	-	2,210.0
Travel In-State	-	-	-	-
Travel Out-Of-State	1.5	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	24.7	16.7	-	16.7
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	2,590.5	2,226.7	-	2,226.7
Insurance Examiners Revolving Fund Total:	2,590.5	2,226.7	-	2,226.7

Fund: ID2126 Banking Department Revolving Fund

Non-Appropriated

Personal Services	99.2	109.5	-	109.5
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	99.2	109.5	-	109.5
Professional & Outside Services	191.4	191.4	-	191.4
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				
Fund: ID2126 Banking Department Revolving Fund				
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	0.6	0.5	-	0.5
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	74.3	73.4	-	73.4
Expenditure Categories Total:	365.6	374.8	-	374.8
Banking Department Revolving Fund Total:	365.6	374.8	-	374.8

Fund: ID2377 Captive Insurance Regulatory and Supervision Fund

Non-Appropriated

Personal Services	424.2	361.3	-	361.3
Employee Related Expenditures	134.1	142.1	-	142.1
Subtotal Personal Services and ERE	558.3	503.4	-	503.4
Professional & Outside Services	12.4	10.0	-	10.0
Travel In-State	0.3	-	-	-
Travel Out-Of-State	10.6	11.0	-	11.0
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	24.7	12.6	-	12.6
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	270.8	263.8	-	263.8
Expenditure Categories Total:	877.1	800.8	-	800.8
Captive Insurance Regulatory and Supervision Fund Total:	877.1	800.8	-	800.8

Fund: ID2467 Health Care Appeals Fund

Non-Appropriated

Personal Services	82.2	82.5	-	82.5
Employee Related Expenditures	-	-	-	-

**Program Budget Unit Summary of Expenditure and Budget Request
for Selected Funds**

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				
Fund: ID2467 Health Care Appeals Fund				
Subtotal Personal Services and ERE	82.2	82.5	-	82.5
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	82.2	82.5	-	82.5
Health Care Appeals Fund Total:	82.2	82.5	-	82.5

Fund: ID2473 Financial Surveillance Fund

Non-Appropriated

Personal Services	351.3	380.6	-	380.6
Employee Related Expenditures	117.3	150.1	-	150.1
Subtotal Personal Services and ERE	468.6	530.7	-	530.7
Professional & Outside Services	41.7	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	19.4	14.7	-	14.7
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	529.7	545.4	-	545.4
Financial Surveillance Fund Total:	529.7	545.4	-	545.4

Fund: ID3023 Receivership Revolving Fund

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				
Fund: ID3023 Receivership Revolving Fund				
Non-Appropriated				
Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
Professional & Outside Services	6.2	3.0	-	3.0
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	6.2	3.0	-	3.0
Receivership Revolving Fund Total:	6.2	3.0	-	3.0

Fund: ID3104 Insurance Receivership Liquidation Fund

Non-Appropriated				
Personal Services	95.9	96.3	-	96.3
Employee Related Expenditures	30.2	37.8	-	37.8
Subtotal Personal Services and ERE	126.1	134.1	-	134.1
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	5.4	6.1	-	6.1
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	131.6	140.2	-	140.2
Insurance Receivership Liquidation Fund Total:	131.6	140.2	-	140.2

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				
Fund: ID3104 Insurance Receivership Liquidation Fund				
Program Total for Select Funds:	4,717.9	4,215.0	-	4,215.0

Sub Program: IFA-2-1 Solvency Oversight

Fund: AA1000 General Fund

Appropriated

Personal Services	0.1	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	0.1	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	0.1	-	-	-
General Fund Total:	0.1	-	-	-

Fund: ID1997 Mortgage Recovery Fund

Non-Appropriated

Personal Services	9.1	9.1	-	9.1
Employee Related Expenditures	2.0	3.5	-	3.5
Subtotal Personal Services and ERE	11.1	12.6	-	12.6
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	0.2	0.3	-	0.3
Capital Equipment	-	-	-	-

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				
Sub Program: IFA-2-1 Solvency Oversight				
Fund: ID1997 Mortgage Recovery Fund				
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	11.3	12.9	-	12.9
Mortgage Recovery Fund Total:	11.3	12.9	-	12.9

Fund: ID1998 Financial Services Fund

Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	0.0	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	0.0	-	-	-
Financial Services Fund Total:	0.0	-	-	-

Fund: ID2000 Federal Grants Fund

Non-Appropriated

Personal Services	71.0	19.3	-	19.3
Employee Related Expenditures	34.4	8.3	-	8.3
Subtotal Personal Services and ERE	105.4	27.6	-	27.6
Professional & Outside Services	17.3	1.0	-	1.0

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				
Sub Program: IFA-2-1 Solvency Oversight				
Fund: ID2000 Federal Grants Fund				
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	1.0	0.1	-	0.1
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	123.7	28.7	-	28.7
Federal Grants Fund Total:	123.7	28.7	-	28.7

Fund: ID2034 Insurance Examiners Revolving Fund

Non-Appropriated				
Personal Services	163.9	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	163.9	-	-	-
Professional & Outside Services	2,400.5	2,210.0	-	2,210.0
Travel In-State	-	-	-	-
Travel Out-Of-State	1.5	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	24.7	16.7	-	16.7
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	2,590.5	2,226.7	-	2,226.7
Insurance Examiners Revolving Fund Total:	2,590.5	2,226.7	-	2,226.7

Fund: ID2126 Banking Department Revolving Fund

**Program Budget Unit Summary of Expenditure and Budget Request
for Selected Funds**

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-2-0 Solvency Oversight

Sub Program: IFA-2-1 Solvency Oversight

Fund: ID2126 Banking Department Revolving Fund

Non-Appropriated

Personal Services	99.2	109.5	-	109.5
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	99.2	109.5	-	109.5
Professional & Outside Services	191.4	191.4	-	191.4
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	0.6	0.5	-	0.5
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	74.3	73.4	-	73.4
Expenditure Categories Total:	365.6	374.8	-	374.8
Banking Department Revolving Fund Total:	365.6	374.8	-	374.8

Fund: ID2377 Captive Insurance Regulatory and Supervision Fund

Non-Appropriated

Personal Services	424.2	361.3	-	361.3
Employee Related Expenditures	134.1	142.1	-	142.1
Subtotal Personal Services and ERE	558.3	503.4	-	503.4
Professional & Outside Services	12.4	10.0	-	10.0
Travel In-State	0.3	-	-	-
Travel Out-Of-State	10.6	11.0	-	11.0
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	24.7	12.6	-	12.6
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	270.8	263.8	-	263.8

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				
Sub Program: IFA-2-1 Solvency Oversight				
Fund: ID2377 Captive Insurance Regulatory and Supervision Fund				
Expenditure Categories Total:	877.1	800.8	-	800.8
Captive Insurance Regulatory and Supervision Fund Total:	877.1	800.8	-	800.8

Fund: ID2467 Health Care Appeals Fund

Non-Appropriated				
Personal Services	82.2	82.5	-	82.5
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	82.2	82.5	-	82.5
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	82.2	82.5	-	82.5
Health Care Appeals Fund Total:	82.2	82.5	-	82.5

Fund: ID2473 Financial Surveillance Fund

Non-Appropriated				
Personal Services	351.3	380.6	-	380.6
Employee Related Expenditures	117.3	150.1	-	150.1
Subtotal Personal Services and ERE	468.6	530.7	-	530.7
Professional & Outside Services	41.7	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-

**Program Budget Unit Summary of Expenditure and Budget Request
for Selected Funds**

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				
Sub Program: IFA-2-1 Solvency Oversight				
Fund: ID2473 Financial Surveillance Fund				
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	19.4	14.7	-	14.7
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	529.7	545.4	-	545.4
Financial Surveillance Fund Total:	529.7	545.4	-	545.4

Fund: ID3023 Receivership Revolving Fund

Non-Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
Professional & Outside Services	6.2	3.0	-	3.0
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	6.2	3.0	-	3.0
Receivership Revolving Fund Total:	6.2	3.0	-	3.0

Fund: ID3104 Insurance Receivership Liquidation Fund

Non-Appropriated

Personal Services	95.9	96.3	-	96.3
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**Program Budget Unit Summary of Expenditure and Budget Request
for Selected Funds**

Agency:		Department of Insurance Financial Institutions			
		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:	IFA-2-0 Solvency Oversight				
Sub Program:	IFA-2-1 Solvency Oversight				
Fund:	ID3104 Insurance Receivership Liquidation Fund				
Employee Related Expenditures		30.2	37.8	-	37.8
Subtotal Personal Services and ERE		126.1	134.1	-	134.1
Professional & Outside Services		-	-	-	-
Travel In-State		-	-	-	-
Travel Out-Of-State		-	-	-	-
Aid To Organizations & Individuals		-	-	-	-
Other Operating Expenditures		5.4	6.1	-	6.1
Capital Equipment		-	-	-	-
Non-Capital Equipment		-	-	-	-
Transfers-Out		-	-	-	-
Expenditure Categories Total:		131.6	140.2	-	140.2
Insurance Receivership Liquidation Fund Total:		131.6	140.2	-	140.2
Sub Program Total for Select Funds:		4,717.9	4,215.0	-	4,215.0

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-3-0 Consumer Protection

Fund: ID1997 Mortgage Recovery Fund

Non-Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	0.2	0.3	-	0.3
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	0.2	0.3	-	0.3
Mortgage Recovery Fund Total:	0.2	0.3	-	0.3

Fund: ID1998 Financial Services Fund

Appropriated

Personal Services	3,298.0	3,856.3	456.0	4,312.3
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	3,298.0	3,856.3	456.0	4,312.3
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	3,298.0	3,856.3	456.0	4,312.3

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-3-0 Consumer Protection				
Fund: ID1998 Financial Services Fund				
Financial Services Fund Total:	3,298.0	3,856.3	456.0	4,312.3

Fund: ID2467 Health Care Appeals Fund

Non-Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	30.3	32.7	-	32.7
Subtotal Personal Services and ERE	30.3	32.7	-	32.7
Professional & Outside Services	110.8	20.3	-	20.3
Travel In-State	-	-	-	-
Travel Out-Of-State	0.4	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	7.7	9.6	-	9.6
Capital Equipment	-	-	-	-
Non-Capital Equipment	1.2	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	150.4	62.6	-	62.6
Health Care Appeals Fund Total:	150.4	62.6	-	62.6
Program Total for Select Funds:	3,448.5	3,919.2	456.0	4,375.2

Sub Program: IFA-3-1 Consumer Protection

Fund: ID1997 Mortgage Recovery Fund

Non-Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-3-0 Consumer Protection				
Sub Program: IFA-3-1 Consumer Protection				
Fund: ID1997 Mortgage Recovery Fund				
Other Operating Expenditures	0.2	0.3	-	0.3
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	0.2	0.3	-	0.3
Mortgage Recovery Fund Total:	0.2	0.3	-	0.3

Fund: ID1998 Financial Services Fund

Appropriated

Personal Services	3,298.0	3,856.3	456.0	4,312.3
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	3,298.0	3,856.3	456.0	4,312.3
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	3,298.0	3,856.3	456.0	4,312.3
Financial Services Fund Total:	3,298.0	3,856.3	456.0	4,312.3

Fund: ID2467 Health Care Appeals Fund

Non-Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	30.3	32.7	-	32.7

**Program Budget Unit Summary of Expenditure and Budget Request
for Selected Funds**

Agency:	Department of Insurance Financial Institutions			
	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:	IFA-3-0 Consumer Protection			
Sub Program:	IFA-3-1 Consumer Protection			
Fund:	ID2467 Health Care Appeals Fund			
Subtotal Personal Services and ERE	30.3	32.7	-	32.7
Professional & Outside Services	110.8	20.3	-	20.3
Travel In-State	-	-	-	-
Travel Out-Of-State	0.4	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	7.7	9.6	-	9.6
Capital Equipment	-	-	-	-
Non-Capital Equipment	1.2	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	150.4	62.6	-	62.6
Health Care Appeals Fund Total:	150.4	62.6	-	62.6
Sub Program Total for Select Funds:	3,448.5	3,919.2	456.0	4,375.2

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-4-0 Insurance Fraud Investigation and Deterrence

Fund: AA1000 General Fund

Appropriated

Personal Services	1,068.5	1,040.0	181.9	1,221.9
Employee Related Expenditures	372.8	404.2	-	404.2
Subtotal Personal Services and ERE	1,441.3	1,444.2	181.9	1,626.1
Professional & Outside Services	92.5	228.0	-	228.0
Travel In-State	26.7	91.2	-	91.2
Travel Out-Of-State	7.6	6.1	-	6.1
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	166.8	163.6	-	163.6
Capital Equipment	71.6	-	-	-
Non-Capital Equipment	32.9	6.0	-	6.0
Transfers-Out	-	-	-	-
Expenditure Categories Total:	1,839.5	1,939.1	181.9	2,121.0
General Fund Total:	1,839.5	1,939.1	181.9	2,121.0
Program Total for Select Funds:	1,839.5	1,939.1	181.9	2,121.0

Sub Program: IFA-4-1 Insurance Fraud Investigation and Deterrence

Fund: AA1000 General Fund

Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-4-0 Insurance Fraud Investigation and Deterrence				
Sub Program: IFA-4-1 Insurance Fraud Investigation and Deterrence				
Fund: AA1000 General Fund				
Transfers-Out	-	-	-	-
Expenditure Categories Total:	-	-	-	-
General Fund Total:	-	-	-	-
Sub Program Total for Select Funds:	-	-	-	-

Sub Program: IFA-4-2 SLI Insurance Fraud Unit

Fund: AA1000 General Fund

Appropriated

Personal Services	1,068.5	1,040.0	181.9	1,221.9
Employee Related Expenditures	372.8	404.2	-	404.2
Subtotal Personal Services and ERE	1,441.3	1,444.2	181.9	1,626.1
Professional & Outside Services	92.5	228.0	-	228.0
Travel In-State	26.7	91.2	-	91.2
Travel Out-Of-State	7.6	6.1	-	6.1
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	166.8	163.6	-	163.6
Capital Equipment	71.6	-	-	-
Non-Capital Equipment	32.9	6.0	-	6.0
Transfers-Out	-	-	-	-
Expenditure Categories Total:	1,839.5	1,939.1	181.9	2,121.0
General Fund Total:	1,839.5	1,939.1	181.9	2,121.0
Sub Program Total for Select Funds:	1,839.5	1,939.1	181.9	2,121.0

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-6-0 Automobile Theft Authority

Fund: ID2060 Automobile Theft Authority Fund

Appropriated

Personal Services	183.0	190.1	173.0	363.1
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	183.0	190.1	173.0	363.1
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	940.3	1,372.3	-	1,372.3
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	4,787.6	5,042.0	-	5,042.0
Expenditure Categories Total:	5,911.0	6,604.4	173.0	6,777.4
Automobile Theft Authority Fund Total:	5,911.0	6,604.4	173.0	6,777.4
Program Total for Select Funds:	5,911.0	6,604.4	173.0	6,777.4

Sub Program: IFA-6-1 SLI Automobile Theft Authority

Fund: ID2060 Automobile Theft Authority Fund

Appropriated

Personal Services	183.0	190.1	-	190.1
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	183.0	190.1	-	190.1
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-6-0 Automobile Theft Authority				
Sub Program: IFA-6-1 SLI Automobile Theft Authority				
Fund: ID2060 Automobile Theft Authority Fund				
Transfers-Out	-	-	-	-
Expenditure Categories Total:	183.0	190.1	-	190.1
Automobile Theft Authority Fund Total:	183.0	190.1	-	190.1
Sub Program Total for Select Funds:	183.0	190.1	-	190.1

Sub Program: IFA-6-3 SLI ATA Vehicle Theft Task Force

Fund: ID2060 Automobile Theft Authority Fund

Appropriated

Personal Services	-	-	-	-
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	-	-	-	-
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	4,787.6	5,042.0	-	5,042.0
Expenditure Categories Total:	4,787.6	5,042.0	-	5,042.0
Automobile Theft Authority Fund Total:	4,787.6	5,042.0	-	5,042.0
Sub Program Total for Select Funds:	4,787.6	5,042.0	-	5,042.0

Sub Program: IFA-6-4 SLI Local Grants

Program Budget Unit Summary of Expenditure and Budget Request for Selected Funds

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-6-0 Automobile Theft Authority				
Sub Program: IFA-6-4 SLI Local Grants				
Fund: ID2060 Automobile Theft Authority Fund				

Appropriated

Personal Services	-	-	173.0	173.0
Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	173.0	173.0
Professional & Outside Services	-	-	-	-
Travel In-State	-	-	-	-
Travel Out-Of-State	-	-	-	-
Aid To Organizations & Individuals	940.3	1,372.3	-	1,372.3
Other Operating Expenditures	-	-	-	-
Capital Equipment	-	-	-	-
Non-Capital Equipment	-	-	-	-
Transfers-Out	-	-	-	-
Expenditure Categories Total:	940.3	1,372.3	173.0	1,545.3
Automobile Theft Authority Fund Total:	940.3	1,372.3	173.0	1,545.3
Sub Program Total for Select Funds:	940.3	1,372.3	173.0	1,545.3

Program Summary of Expenditure and Budget Request

Agency: Department of Insurance Financial Institutions

Program: Policy and Administration

Program Summary		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-1-1	Policy and Administration	7,928.3	8,534.6	-	8,534.6
IFA-1-2	SLI FY 2023 Salary Increase	-	-	-	-
Policy and Administration Summary Total:		7,928.3	8,534.6	-	8,534.6
Expenditure Categories					
FTE	FTE	63.0	53.8	-	53.8
6000	Personal Services	3,476.5	3,807.5	-	3,807.5
6100	Employee Related Expenditures	2,759.6	2,899.4	-	2,899.4
Subtotal Personal Services and ERE		6,236.0	6,706.9	-	6,706.9
6200	Professional & Outside Services	365.3	179.9	-	179.9
6500	Travel In-State	11.3	76.0	-	76.0
6600	Travel Out-Of-State	40.0	116.3	-	116.3
6800	Aid To Organizations & Individuals	-	-	-	-
7000	Other Operating Expenditures	1,151.7	1,328.6	-	1,328.6
8400	Capital Equipment	-	-	-	-
8500	Non-Capital Equipment	124.0	126.9	-	126.9
9100	Transfers-Out	-	-	-	-
Expenditure Categories Total:		7,928.3	8,534.6	-	8,534.6
Fund Source					
Appropriated Funds					
AA1000	General Fund (Appropriated)	5,912.1	6,609.4	-	6,609.4
ID1998	Financial Services Fund (Appropriated)	1,828.3	1,682.6	-	1,682.6
ID2060	Automobile Theft Authority Fund (Appropriated)	100.0	205.1	-	205.1
Appropriated Funds Total:		7,840.4	8,497.1	-	8,497.1
Non-Appropriated Funds					
ID2034	Insurance Examiners Revolving Fund (Non-Appropriated)	56.3	-	-	-
ID2126	Banking Department Revolving Fund (Non-Appropriated)	31.6	37.5	-	37.5
Non-Appropriated Funds Total:		87.9	37.5	-	37.5
Policy and Administration Summary Total:		7,928.3	8,534.6	-	8,534.6

Program Summary of Expenditure and Budget Request

Agency: Department of Insurance Financial Institutions

Program: Solvency Oversight

Program Summary		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-2-1	Solvency Oversight	4,717.9	4,215.0	-	4,215.0
Solvency Oversight Summary Total:		4,717.9	4,215.0	-	4,215.0

Expenditure Categories		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
FTE	FTE	17.2	14.1	-	14.1
6000	Personal Services	1,297.0	1,058.6	-	1,058.6
6100	Employee Related Expenditures	318.1	341.8	-	341.8
Subtotal Personal Services and ERE		1,615.2	1,400.4	-	1,400.4
6200	Professional & Outside Services	2,669.3	2,415.4	-	2,415.4
6500	Travel In-State	0.3	-	-	-
6600	Travel Out-Of-State	12.1	11.0	-	11.0
6800	Aid To Organizations & Individuals	-	-	-	-
7000	Other Operating Expenditures	75.9	51.0	-	51.0
8400	Capital Equipment	-	-	-	-
8500	Non-Capital Equipment	-	-	-	-
9100	Transfers-Out	345.1	337.2	-	337.2
Expenditure Categories Total:		4,717.9	4,215.0	-	4,215.0

Fund Source		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Appropriated Funds					
AA1000	General Fund (Appropriated)	0.1	-	-	-
ID1998	Financial Services Fund (Appropriated)	0.0	-	-	-
Appropriated Funds Total:		0.1	-	-	-
Non-Appropriated Funds					
ID1997	Mortgage Recovery Fund (Non-Appropriated)	11.3	12.9	-	12.9
ID2000	Federal Grants Fund (Non-Appropriated)	123.7	28.7	-	28.7
ID2034	Insurance Examiners Revolving Fund (Non-Appropriated)	2,590.5	2,226.7	-	2,226.7
ID2126	Banking Department Revolving Fund (Non-Appropriated)	365.6	374.8	-	374.8
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	877.1	800.8	-	800.8
ID2467	Health Care Appeals Fund (Non-Appropriated)	82.2	82.5	-	82.5

Program Summary of Expenditure and Budget Request

Agency:	Department of Insurance Financial Institutions
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Program:	Solvency Oversight
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		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Non-Appropriated Funds					
ID2473	Financial Surveillance Fund (Non-Appropriated)	529.7	545.4	-	545.4
ID3023	Receivership Revolving Fund (Non-Appropriated)	6.2	3.0	-	3.0
ID3104	Insurance Receivership Liquidation Fund (Non-Appropriated)	131.6	140.2	-	140.2
	Non-Appropriated Funds Total:	4,717.8	4,215.0	-	4,215.0
	Solvency Oversight Summary Total:	4,717.9	4,215.0	-	4,215.0

Program Summary of Expenditure and Budget Request

Agency: Department of Insurance Financial Institutions

Program: Consumer Protection

Program Summary		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-3-1	Consumer Protection	3,448.5	3,919.2	456.0	4,375.2
Consumer Protection Summary Total:		3,448.5	3,919.2	456.0	4,375.2
Expenditure Categories					
FTE	FTE	51.7	53.6	-	53.6
6000	Personal Services	3,298.0	3,856.3	456.0	4,312.3
6100	Employee Related Expenditures	30.3	32.7	-	32.7
Subtotal Personal Services and ERE		3,328.3	3,889.0	456.0	4,345.0
6200	Professional & Outside Services	110.8	20.3	-	20.3
6500	Travel In-State	-	-	-	-
6600	Travel Out-Of-State	0.4	-	-	-
6800	Aid To Organizations & Individuals	-	-	-	-
7000	Other Operating Expenditures	7.8	9.9	-	9.9
8400	Capital Equipment	-	-	-	-
8500	Non-Capital Equipment	1.2	-	-	-
9100	Transfers-Out	-	-	-	-
Expenditure Categories Total:		3,448.5	3,919.2	456.0	4,375.2
Fund Source					
Appropriated Funds					
ID1998	Financial Services Fund (Appropriated)	3,298.0	3,856.3	456.0	4,312.3
Appropriated Funds Total:		3,298.0	3,856.3	456.0	4,312.3
Non-Appropriated Funds					
ID1997	Mortgage Recovery Fund (Non-Appropriated)	0.2	0.3	-	0.3
ID2467	Health Care Appeals Fund (Non-Appropriated)	150.4	62.6	-	62.6
Non-Appropriated Funds Total:		150.6	62.9	-	62.9
Consumer Protection Summary Total:		3,448.5	3,919.2	456.0	4,375.2

Program Summary of Expenditure and Budget Request

Agency:	Department of Insurance Financial Institutions
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Program:	Insurance Fraud Investigation and Deterrence
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Program Summary		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-4-1	Insurance Fraud Investigation and Deterrence	-	-	-	-
IFA-4-2	SLI Insurance Fraud Unit	1,839.5	1,939.1	181.9	2,121.0
Insurance Fraud Investigation and Deterrence Summary Total:		1,839.5	1,939.1	181.9	2,121.0
Expenditure Categories					
FTE	FTE	14.1	14.1	-	14.1
6000	Personal Services	1,068.5	1,040.0	181.9	1,221.9
6100	Employee Related Expenditures	372.8	404.2	-	404.2
Subtotal Personal Services and ERE		1,441.3	1,444.2	181.9	1,626.1
6200	Professional & Outside Services	92.5	228.0	-	228.0
6500	Travel In-State	26.7	91.2	-	91.2
6600	Travel Out-Of-State	7.6	6.1	-	6.1
6800	Aid To Organizations & Individuals	-	-	-	-
7000	Other Operating Expenditures	166.8	163.6	-	163.6
8400	Capital Equipment	71.6	-	-	-
8500	Non-Capital Equipment	32.9	6.0	-	6.0
9100	Transfers-Out	-	-	-	-
Expenditure Categories Total:		1,839.5	1,939.1	181.9	2,121.0
Fund Source					
Appropriated Funds					
AA1000	General Fund (Appropriated)	1,839.5	1,939.1	181.9	2,121.0
Appropriated Funds Total:		1,839.5	1,939.1	181.9	2,121.0
Insurance Fraud Investigation and Deterrence Summary Total:		1,839.5	1,939.1	181.9	2,121.0

Program Summary of Expenditure and Budget Request

Agency: Department of Insurance Financial Institutions

Program: Automobile Theft Authority

Program Summary		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-6-1	SLI Automobile Theft Authority	183.0	190.1	-	190.1
IFA-6-3	SLI ATA Vehicle Theft Task Force	4,787.6	5,042.0	-	5,042.0
IFA-6-4	SLI Local Grants	940.3	1,372.3	173.0	1,545.3
Automobile Theft Authority Summary Total:		5,911.0	6,604.4	173.0	6,777.4
Expenditure Categories					
FTE	FTE	2.3	2.3	-	2.3
6000	Personal Services	183.0	190.1	173.0	363.1
6100	Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE		183.0	190.1	173.0	363.1
6200	Professional & Outside Services	-	-	-	-
6500	Travel In-State	-	-	-	-
6600	Travel Out-Of-State	-	-	-	-
6800	Aid To Organizations & Individuals	940.3	1,372.3	-	1,372.3
7000	Other Operating Expenditures	-	-	-	-
8400	Capital Equipment	-	-	-	-
8500	Non-Capital Equipment	-	-	-	-
9100	Transfers-Out	4,787.6	5,042.0	-	5,042.0
Expenditure Categories Total:		5,911.0	6,604.4	173.0	6,777.4
Fund Source					
Appropriated Funds					
ID2060	Automobile Theft Authority Fund (Appropriated)	5,911.0	6,604.4	173.0	6,777.4
Appropriated Funds Total:		5,911.0	6,604.4	173.0	6,777.4
Automobile Theft Authority Summary Total:		5,911.0	6,604.4	173.0	6,777.4

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Policy and Administration
Fund:	AA1000 General Fund (Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-1-1 Policy and Administration	5,912.1	6,609.4	-	6,609.4
IFA-1-2 SLI FY 2023 Salary Increase	-	-	-	-
General Fund (Appropriated) Summary Total:	5,912.1	6,609.4	-	6,609.4
Appropriated Funding				
6000 Personal Services	3,476.5	3,807.5	-	3,807.5
6100 Employee Related Expenditures	1,266.9	1,352.0	-	1,352.0
Subtotal Personal Services and ERE	4,743.4	5,159.5	-	5,159.5
6200 Professional & Outside Services	153.8	146.9	-	146.9
6500 Travel In-State	11.0	76.0	-	76.0
6600 Travel Out-Of-State	37.1	99.6	-	99.6
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	842.8	1,000.5	-	1,000.5
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	124.0	126.9	-	126.9
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	5,912.1	6,609.4	-	6,609.4
Fund AA1000 - A Total:	5,912.1	6,609.4	-	6,609.4

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Policy and Administration
Fund:	ID1998 Financial Services Fund (Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-1-1 Policy and Administration	1,828.3	1,682.6	-	1,682.6
Financial Services Fund (Appropriated) Summary Total:	1,828.3	1,682.6	-	1,682.6
Appropriated Funding				
6000 Personal Services	-	-	-	-
6100 Employee Related Expenditures	1,345.4	1,450.7	-	1,450.7
Subtotal Personal Services and ERE	1,345.4	1,450.7	-	1,450.7
6200 Professional & Outside Services	201.4	33.0	-	33.0
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	0.2	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	281.3	198.9	-	198.9
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	1,828.3	1,682.6	-	1,682.6
Fund ID1998 - A Total:	1,828.3	1,682.6	-	1,682.6

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Policy and Administration
Fund:	ID2034 Insurance Examiners Revolving Fund (Non-Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-1-1 Policy and Administration	56.3	-	-	-
Insurance Examiners Revolving Fund (Non-Appropriated) Summary Total:	56.3	-	-	-
Non-Appropriated Funding				
6000 Personal Services	-	-	-	-
6100 Employee Related Expenditures	56.3	-	-	-
Subtotal Personal Services and ERE	56.3	-	-	-
6200 Professional & Outside Services	-	-	-	-
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	-	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	-	-	-	-
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	56.3	-	-	-
Fund ID2034 - N Total:	56.3	-	-	-

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Policy and Administration
Fund:	ID2060 Automobile Theft Authority Fund (Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-1-1 Policy and Administration	100.0	205.1	-	205.1
Automobile Theft Authority Fund (Appropriated) Summary Total:	100.0	205.1	-	205.1
Appropriated Funding				
6000 Personal Services	-	-	-	-
6100 Employee Related Expenditures	59.3	59.2	-	59.2
Subtotal Personal Services and ERE	59.3	59.2	-	59.2
6200 Professional & Outside Services	10.2	-	-	-
6500 Travel In-State	0.3	-	-	-
6600 Travel Out-Of-State	2.7	16.7	-	16.7
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	27.6	129.2	-	129.2
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	100.0	205.1	-	205.1
Fund ID2060 - A Total:	100.0	205.1	-	205.1

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Policy and Administration
Fund:	ID2126 Banking Department Revolving Fund (Non-Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-1-1 Policy and Administration	31.6	37.5	-	37.5
Banking Department Revolving Fund (Non-Appropriated) Summary Total:	31.6	37.5	-	37.5
Non-Appropriated Funding				
6000 Personal Services	-	-	-	-
6100 Employee Related Expenditures	31.6	37.5	-	37.5
Subtotal Personal Services and ERE	31.6	37.5	-	37.5
6200 Professional & Outside Services	-	-	-	-
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	-	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	-	-	-	-
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	31.6	37.5	-	37.5
Fund ID2126 - N Total:	31.6	37.5	-	37.5
Policy and Administration Total:	7,928.3	8,534.6	-	8,534.6

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Solvency Oversight
Fund:	AA1000 General Fund (Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-2-1 Solvency Oversight	0.1	-	-	-
General Fund (Appropriated) Summary Total:	0.1	-	-	-
Appropriated Funding				
6000 Personal Services	0.1	-	-	-
6100 Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	0.1	-	-	-
6200 Professional & Outside Services	-	-	-	-
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	-	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	-	-	-	-
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	0.1	-	-	-
Fund AA1000 - A Total:	0.1	-	-	-

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Solvency Oversight
Fund:	ID1997 Mortgage Recovery Fund (Non-Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-2-1 Solvency Oversight	11.3	12.9	-	12.9
Mortgage Recovery Fund (Non-Appropriated)	11.3	12.9	-	12.9
Summary Total:	11.3	12.9	-	12.9
Non-Appropriated Funding				
6000 Personal Services	9.1	9.1	-	9.1
6100 Employee Related Expenditures	2.0	3.5	-	3.5
Subtotal Personal Services and ERE	11.1	12.6	-	12.6
6200 Professional & Outside Services	-	-	-	-
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	-	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	0.2	0.3	-	0.3
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	11.3	12.9	-	12.9
Fund ID1997 - N Total:	11.3	12.9	-	12.9

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Solvency Oversight
Fund:	ID1998 Financial Services Fund (Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-2-1 Solvency Oversight	0.0	-	-	-
Financial Services Fund (Appropriated) Summary Total:	0.0	-	-	-
Appropriated Funding				
6000 Personal Services	-	-	-	-
6100 Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
6200 Professional & Outside Services	-	-	-	-
6500 Travel In-State	0.0	-	-	-
6600 Travel Out-Of-State	-	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	-	-	-	-
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	0.0	-	-	-
Fund ID1998 - A Total:	0.0	-	-	-

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Solvency Oversight
Fund:	ID2000 Federal Grants Fund (Non-Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-2-1 Solvency Oversight	123.7	28.7	-	28.7
Federal Grants Fund (Non-Appropriated) Summary Total:	123.7	28.7	-	28.7
Non-Appropriated Funding				
6000 Personal Services	71.0	19.3	-	19.3
6100 Employee Related Expenditures	34.4	8.3	-	8.3
Subtotal Personal Services and ERE	105.4	27.6	-	27.6
6200 Professional & Outside Services	17.3	1.0	-	1.0
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	-	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	1.0	0.1	-	0.1
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	123.7	28.7	-	28.7
Fund ID2000 - N Total:	123.7	28.7	-	28.7

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Solvency Oversight
Fund:	ID2034 Insurance Examiners Revolving Fund (Non-Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-2-1 Solvency Oversight	2,590.5	2,226.7	-	2,226.7
Insurance Examiners Revolving Fund (Non-Appropriated) Summary Total:	2,590.5	2,226.7	-	2,226.7
Non-Appropriated Funding				
6000 Personal Services	163.9	-	-	-
6100 Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	163.9	-	-	-
6200 Professional & Outside Services	2,400.5	2,210.0	-	2,210.0
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	1.5	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	24.7	16.7	-	16.7
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	2,590.5	2,226.7	-	2,226.7
Fund ID2034 - N Total:	2,590.5	2,226.7	-	2,226.7

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Solvency Oversight
Fund:	ID2126 Banking Department Revolving Fund (Non-Appropriated)

Program Expenditures		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-2-1	Solvency Oversight	365.6	374.8	-	374.8
	Banking Department Revolving Fund (Non-Appropriated) Summary Total:	365.6	374.8	-	374.8
Non-Appropriated Funding					
6000	Personal Services	99.2	109.5	-	109.5
6100	Employee Related Expenditures	-	-	-	-
	Subtotal Personal Services and ERE	99.2	109.5	-	109.5
6200	Professional & Outside Services	191.4	191.4	-	191.4
6500	Travel In-State	-	-	-	-
6600	Travel Out-Of-State	-	-	-	-
6800	Aid To Organizations & Individuals	-	-	-	-
7000	Other Operating Expenditures	0.6	0.5	-	0.5
8400	Capital Equipment	-	-	-	-
8500	Non-Capital Equipment	-	-	-	-
9100	Transfers-Out	74.3	73.4	-	73.4
	Expenditure Categories Total:	365.6	374.8	-	374.8
	Fund ID2126 - N Total:	365.6	374.8	-	374.8

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Solvency Oversight
Fund:	ID2377 Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-2-1 Solvency Oversight	877.1	800.8	-	800.8
Captive Insurance Regulatory and Supervision Fund (Non-Appropriated) Summary Total:	877.1	800.8	-	800.8
Non-Appropriated Funding				
6000 Personal Services	424.2	361.3	-	361.3
6100 Employee Related Expenditures	134.1	142.1	-	142.1
Subtotal Personal Services and ERE	558.3	503.4	-	503.4
6200 Professional & Outside Services	12.4	10.0	-	10.0
6500 Travel In-State	0.3	-	-	-
6600 Travel Out-Of-State	10.6	11.0	-	11.0
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	24.7	12.6	-	12.6
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	270.8	263.8	-	263.8
Expenditure Categories Total:	877.1	800.8	-	800.8
Fund ID2377 - N Total:	877.1	800.8	-	800.8

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Solvency Oversight
Fund:	ID2467 Health Care Appeals Fund (Non-Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-2-1 Solvency Oversight	82.2	82.5	-	82.5
Health Care Appeals Fund (Non-Appropriated)	82.2	82.5	-	82.5
Summary Total:	82.2	82.5	-	82.5
Non-Appropriated Funding				
6000 Personal Services	82.2	82.5	-	82.5
6100 Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	82.2	82.5	-	82.5
6200 Professional & Outside Services	-	-	-	-
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	-	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	-	-	-	-
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	82.2	82.5	-	82.5
Fund ID2467 - N Total:	82.2	82.5	-	82.5

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Solvency Oversight
Fund:	ID2473 Financial Surveillance Fund (Non-Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-2-1 Solvency Oversight	529.7	545.4	-	545.4
Financial Surveillance Fund (Non-Appropriated) Summary Total:	529.7	545.4	-	545.4
Non-Appropriated Funding				
6000 Personal Services	351.3	380.6	-	380.6
6100 Employee Related Expenditures	117.3	150.1	-	150.1
Subtotal Personal Services and ERE	468.6	530.7	-	530.7
6200 Professional & Outside Services	41.7	-	-	-
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	-	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	19.4	14.7	-	14.7
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	529.7	545.4	-	545.4
Fund ID2473 - N Total:	529.7	545.4	-	545.4

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Solvency Oversight
Fund:	ID3023 Receivership Revolving Fund (Non-Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-2-1 Solvency Oversight	6.2	3.0	-	3.0
Receivership Revolving Fund (Non-Appropriated) Summary Total:	6.2	3.0	-	3.0
Non-Appropriated Funding				
6000 Personal Services	-	-	-	-
6100 Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
6200 Professional & Outside Services	6.2	3.0	-	3.0
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	-	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	-	-	-	-
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	6.2	3.0	-	3.0
Fund ID3023 - N Total:	6.2	3.0	-	3.0

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Solvency Oversight
Fund:	ID3104 Insurance Receivership Liquidation Fund (Non-Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-2-1 Solvency Oversight	131.6	140.2	-	140.2
Insurance Receivership Liquidation Fund (Non-Appropriated) Summary Total:	131.6	140.2	-	140.2
Non-Appropriated Funding				
6000 Personal Services	95.9	96.3	-	96.3
6100 Employee Related Expenditures	30.2	37.8	-	37.8
Subtotal Personal Services and ERE	126.1	134.1	-	134.1
6200 Professional & Outside Services	-	-	-	-
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	-	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	5.4	6.1	-	6.1
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	131.6	140.2	-	140.2
Fund ID3104 - N Total:	131.6	140.2	-	140.2
Solvency Oversight Total:	4,717.9	4,215.0	-	4,215.0

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Consumer Protection
Fund:	ID1997 Mortgage Recovery Fund (Non-Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-3-1 Consumer Protection	0.2	0.3	-	0.3
Mortgage Recovery Fund (Non-Appropriated)	0.2	0.3	-	0.3
Summary Total:	0.2	0.3	-	0.3
Non-Appropriated Funding				
6000 Personal Services	-	-	-	-
6100 Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	-	-	-	-
6200 Professional & Outside Services	-	-	-	-
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	-	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	0.2	0.3	-	0.3
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	0.2	0.3	-	0.3
Fund ID1997 - N Total:	0.2	0.3	-	0.3

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Consumer Protection
Fund:	ID1998 Financial Services Fund (Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-3-1 Consumer Protection	3,298.0	3,856.3	456.0	4,312.3
Financial Services Fund (Appropriated) Summary Total:	3,298.0	3,856.3	456.0	4,312.3
Appropriated Funding				
6000 Personal Services	3,298.0	3,856.3	456.0	4,312.3
6100 Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE	3,298.0	3,856.3	456.0	4,312.3
6200 Professional & Outside Services	-	-	-	-
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	-	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	-	-	-	-
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	-	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	3,298.0	3,856.3	456.0	4,312.3
Fund ID1998 - A Total:	3,298.0	3,856.3	456.0	4,312.3

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Consumer Protection
Fund:	ID2467 Health Care Appeals Fund (Non-Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-3-1 Consumer Protection	150.4	62.6	-	62.6
Health Care Appeals Fund (Non-Appropriated) Summary Total:	150.4	62.6	-	62.6
Non-Appropriated Funding				
6000 Personal Services	-	-	-	-
6100 Employee Related Expenditures	30.3	32.7	-	32.7
Subtotal Personal Services and ERE	30.3	32.7	-	32.7
6200 Professional & Outside Services	110.8	20.3	-	20.3
6500 Travel In-State	-	-	-	-
6600 Travel Out-Of-State	0.4	-	-	-
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	7.7	9.6	-	9.6
8400 Capital Equipment	-	-	-	-
8500 Non-Capital Equipment	1.2	-	-	-
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	150.4	62.6	-	62.6
Fund ID2467 - N Total:	150.4	62.6	-	62.6
Consumer Protection Total:	3,448.5	3,919.2	456.0	4,375.2

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Insurance Fraud Investigation and Deterrence
Fund:	AA1000 General Fund (Appropriated)

Program Expenditures	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-4-1 Insurance Fraud Investigation and Deterrence	-	-	-	-
IFA-4-2 SLI Insurance Fraud Unit	1,839.5	1,939.1	181.9	2,121.0
General Fund (Appropriated) Summary Total:	1,839.5	1,939.1	181.9	2,121.0
Appropriated Funding				
6000 Personal Services	1,068.5	1,040.0	181.9	1,221.9
6100 Employee Related Expenditures	372.8	404.2	-	404.2
Subtotal Personal Services and ERE	1,441.3	1,444.2	181.9	1,626.1
6200 Professional & Outside Services	92.5	228.0	-	228.0
6500 Travel In-State	26.7	91.2	-	91.2
6600 Travel Out-Of-State	7.6	6.1	-	6.1
6800 Aid To Organizations & Individuals	-	-	-	-
7000 Other Operating Expenditures	166.8	163.6	-	163.6
8400 Capital Equipment	71.6	-	-	-
8500 Non-Capital Equipment	32.9	6.0	-	6.0
9100 Transfers-Out	-	-	-	-
Expenditure Categories Total:	1,839.5	1,939.1	181.9	2,121.0
Fund AA1000 - A Total:	1,839.5	1,939.1	181.9	2,121.0
Insurance Fraud Investigation and Deterrence Total:	1,839.5	1,939.1	181.9	2,121.0

Program Summary of Expenditure and Budget Request for Selected Funds

Agency:	Department of Insurance Financial Institutions
Program:	Automobile Theft Authority
Fund:	ID2060 Automobile Theft Authority Fund (Appropriated)

Program Expenditures		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
IFA-6-1	SLI Automobile Theft Authority	183.0	190.1	-	190.1
IFA-6-3	SLI ATA Vehicle Theft Task Force	4,787.6	5,042.0	-	5,042.0
IFA-6-4	SLI Local Grants	940.3	1,372.3	173.0	1,545.3
Automobile Theft Authority Fund (Appropriated) Summary Total:		5,911.0	6,604.4	173.0	6,777.4
Appropriated Funding					
6000	Personal Services	183.0	190.1	173.0	363.1
6100	Employee Related Expenditures	-	-	-	-
Subtotal Personal Services and ERE		183.0	190.1	173.0	363.1
6200	Professional & Outside Services	-	-	-	-
6500	Travel In-State	-	-	-	-
6600	Travel Out-Of-State	-	-	-	-
6800	Aid To Organizations & Individuals	940.3	1,372.3	-	1,372.3
7000	Other Operating Expenditures	-	-	-	-
8400	Capital Equipment	-	-	-	-
8500	Non-Capital Equipment	-	-	-	-
9100	Transfers-Out	4,787.6	5,042.0	-	5,042.0
Expenditure Categories Total:		5,911.0	6,604.4	173.0	6,777.4
Fund ID2060 - A Total:		5,911.0	6,604.4	173.0	6,777.4
Automobile Theft Authority Total:		5,911.0	6,604.4	173.0	6,777.4

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
FTE				
FTE	63.0	53.8	-	53.8
Expenditure Category Total:	-	-	-	-
Fund Source				
Appropriated Funds				
AA1000 General Fund (Appropriated)	63.0	53.8	-	53.8
Appropriated Funds Total:	63.0	53.8	-	53.8
Fund Source Total:	63.0	53.8	-	53.8
Personal Services				
Personal Services	3,476.5	3,807.5	-	3,807.5
Expenditure Category Total:	3,476.5	3,807.5	-	3,807.5
Fund Source				
Appropriated Funds				
AA1000 General Fund (Appropriated)	3,476.5	3,807.5	-	3,807.5
Appropriated Funds Total:	3,476.5	3,807.5	-	3,807.5
Fund Source Total:	3,476.5	3,807.5	-	3,807.5
Employee Related Expenditures				
Employee Related Expenses	2,759.6	2,899.4	-	2,899.4
Expenditure Category Total:	2,759.6	2,899.4	-	2,899.4
Fund Source				
Appropriated Funds				
AA1000 General Fund (Appropriated)	1,266.9	1,352.0	-	1,352.0
ID1998 Financial Services Fund (Appropriated)	1,345.4	1,450.7	-	1,450.7
ID2060 Automobile Theft Authority Fund (Appropriated)	59.3	59.2	-	59.2
Appropriated Funds Total:	2,671.6	2,861.9	-	2,861.9

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Non-Appropriated Funds				
ID2034 Insurance Examiners Revolving Fund (Non-Appropriated)	56.3	-	-	-
ID2126 Banking Department Revolving Fund (Non-Appropriated)	31.6	37.5	-	37.5
Non-Appropriated Funds Total:	87.9	37.5	-	37.5
Fund Source Total:	2,759.6	2,899.4	-	2,899.4

Professional & Outside Services

Professional and Outside Services	-	179.9	-	179.9
Other External Financial Services	8.8	-	-	-
Attorney General Legal Services	148.6	-	-	-
Education & Training	0.6	-	-	-
Non-confidential Outside Specialist Fees for Investigations etc.	-	-	-	-
Other Professional & Outside Services	207.4	-	-	-
Expenditure Category Total:	365.3	179.9	-	179.9

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	153.8	146.9	-	146.9
ID1998 Financial Services Fund (Appropriated)	201.4	33.0	-	33.0
ID2060 Automobile Theft Authority Fund (Appropriated)	10.2	-	-	-
Appropriated Funds Total:	365.3	179.9	-	179.9
Fund Source Total:	365.3	179.9	-	179.9

Travel In-State

Travel In-State	11.3	76.0	-	76.0
Expenditure Category Total:	11.3	76.0	-	76.0

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	11.0	76.0	-	76.0
ID2060 Automobile Theft Authority Fund (Appropriated)	0.3	-	-	-
Appropriated Funds Total:	11.3	76.0	-	76.0

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Fund Source Total:	11.3	76.0	-	76.0
Travel Out-Of-State				
Travel Out of State	40.0	116.3	-	116.3
Expenditure Category Total:	40.0	116.3	-	116.3
Fund Source				
Appropriated Funds				
AA1000 General Fund (Appropriated)	37.1	99.6	-	99.6
ID1998 Financial Services Fund (Appropriated)	0.2	-	-	-
ID2060 Automobile Theft Authority Fund (Appropriated)	2.7	16.7	-	16.7
Appropriated Funds Total:	40.0	116.3	-	116.3
Fund Source Total:	40.0	116.3	-	116.3
Other Operating Expenditures				
Other Operating Expenses	-	1,328.6	-	1,328.6
Risk Management Charges to State Agencies	40.1	-	-	-
Internal Service Computer Processing, Hosting, Maintenance and Support Costs	336.1	-	-	-
External Programming and System Development Costs	70.8	-	-	-
Charges Imposed Related to AFIS.	17.2	-	-	-
External Telecommunications Charges	98.2	-	-	-
Certificate of Participation (COP) Building Rent Charges to State Agencies	311.2	-	-	-
Late Charges on Overdue Payments	0.1	-	-	-
Repair & Maintenance - Buildings	0.3	-	-	-
Repair & Maintenance - Vehicles	-	-	-	-
Repair & Maintenance - Other Equipment	1.6	-	-	-
Software Support, Maintenance Short-term Licensing	50.1	-	-	-
Security Supplies	0.0	-	-	-
Office Supplies	15.1	-	-	-
Computer Supplies	0.7	-	-	-
Automotive and Transportation Fuels	-	-	-	-

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Conference Registration / Attendance Fees	12.9	-	-	-
Other Education & Training Costs	9.2	-	-	-
Internal Printing	0.7	-	-	-
External Printing	0.6	-	-	-
Postage & Delivery	18.9	-	-	-
Document Shredding and Destruction Services	22.7	-	-	-
Awards	3.9	-	-	-
Dues	111.8	-	-	-
Books, Subscriptions & Publications	16.2	-	-	-
Security Services	-	-	-	-
Other Miscellaneous Operating	13.2	-	-	-
Expenditure Category Total:	1,151.7	1,328.6	-	1,328.6

Fund Source

Appropriated Funds

AA1000	General Fund (Appropriated)	842.8	1,000.5	-	1,000.5
ID1998	Financial Services Fund (Appropriated)	281.3	198.9	-	198.9
ID2060	Automobile Theft Authority Fund (Appropriated)	27.6	129.2	-	129.2
Appropriated Funds Total:		1,151.7	1,328.6	-	1,328.6
Fund Source Total:		1,151.7	1,328.6	-	1,328.6

Capital Equipment

Capital Equipment	-	-	-	-
Other Equipment - Capital Purchase	-	-	-	-
Purchased or licensed software / website	-	-	-	-
Other Capital Asset Purchases	-	-	-	-
Expenditure Category Total:	-	-	-	-

Fund Source

Appropriated Funds

AA1000	General Fund (Appropriated)	-	-	-	-
Appropriated Funds Total:		-	-	-	-
Fund Source Total:		-	-	-	-

Non-Capital Equipment

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Non-Capital Resources	-	126.9	-	126.9
Furniture - Non-Capital Purchase	-	-	-	-
Computer Equipment – Non- Capitalized Purchases	123.6	-	-	-
Other Equipment - Non- Capital Purchase	-	-	-	-
Purchased or licensed software / website	0.4	-	-	-
Expenditure Category Total:	124.0	126.9	-	126.9

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	124.0	126.9	-	126.9
Appropriated Funds Total:	124.0	126.9	-	126.9
Fund Source Total:	124.0	126.9	-	126.9

Sub Program: IFA-1-1 Policy and Administration

FTE

FTE	63.0	53.8	-	53.8
Expenditure Category Total:	-	-	-	-

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	63.0	53.8	-	53.8
Appropriated Funds Total:	63.0	53.8	-	53.8
Fund Source Total:	63.0	53.8	-	53.8

Personal Services

Personal Services	3,476.5	3,807.5	-	3,807.5
Expenditure Category Total:	3,476.5	3,807.5	-	3,807.5

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	3,476.5	3,807.5	-	3,807.5
Appropriated Funds Total:	3,476.5	3,807.5	-	3,807.5
Fund Source Total:	3,476.5	3,807.5	-	3,807.5

Employee Related Expenditures

Program Expenditure Schedule

Agency:	Department of Insurance Financial Institutions
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	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program:	IFA-1-0 Policy and Administration
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Sub Program:	IFA-1-1 Policy and Administration
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Employee Related Expenses	2,759.6	2,899.4	-	2,899.4
Expenditure Category Total:	2,759.6	2,899.4	-	2,899.4

Fund Source

Appropriated Funds

AA1000	General Fund (Appropriated)	1,266.9	1,352.0	-	1,352.0
ID1998	Financial Services Fund (Appropriated)	1,345.4	1,450.7	-	1,450.7
ID2060	Automobile Theft Authority Fund (Appropriated)	59.3	59.2	-	59.2
Appropriated Funds Total:		2,671.6	2,861.9	-	2,861.9

Non-Appropriated Funds

ID2034	Insurance Examiners Revolving Fund (Non-Appropriated)	56.3	-	-	-
ID2126	Banking Department Revolving Fund (Non-Appropriated)	31.6	37.5	-	37.5
Non-Appropriated Funds Total:		87.9	37.5	-	37.5
Fund Source Total:		2,759.6	2,899.4	-	2,899.4

Professional & Outside Services

Professional and Outside Services	-	179.9	-	179.9
Other External Financial Services	8.8	-	-	-
Attorney General Legal Services	148.6	-	-	-
Education & Training	0.6	-	-	-
Non-confidential Outside Specialist Fees for Investigations etc.	-	-	-	-
Other Professional & Outside Services	207.4	-	-	-
Expenditure Category Total:	365.3	179.9	-	179.9

Fund Source

Appropriated Funds

AA1000	General Fund (Appropriated)	153.8	146.9	-	146.9
ID1998	Financial Services Fund (Appropriated)	201.4	33.0	-	33.0
ID2060	Automobile Theft Authority Fund (Appropriated)	10.2	-	-	-
Appropriated Funds Total:		365.3	179.9	-	179.9
Fund Source Total:		365.3	179.9	-	179.9

Program Expenditure Schedule

Agency:	Department of Insurance Financial Institutions
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	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program:	IFA-1-0 Policy and Administration
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Sub Program:	IFA-1-1 Policy and Administration
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Travel In-State

Travel In-State	11.3	76.0	-	76.0
Expenditure Category Total:	11.3	76.0	-	76.0

Fund Source

Appropriated Funds

AA1000	General Fund (Appropriated)	11.0	76.0	-	76.0
ID2060	Automobile Theft Authority Fund (Appropriated)	0.3	-	-	-
Appropriated Funds Total:		11.3	76.0	-	76.0
Fund Source Total:		11.3	76.0	-	76.0

Travel Out-Of-State

Travel Out of State	40.0	116.3	-	116.3
Expenditure Category Total:	40.0	116.3	-	116.3

Fund Source

Appropriated Funds

AA1000	General Fund (Appropriated)	37.1	99.6	-	99.6
ID1998	Financial Services Fund (Appropriated)	0.2	-	-	-
ID2060	Automobile Theft Authority Fund (Appropriated)	2.7	16.7	-	16.7
Appropriated Funds Total:		40.0	116.3	-	116.3
Fund Source Total:		40.0	116.3	-	116.3

Other Operating Expenditures

Other Operating Expenses	-	1,328.6	-	1,328.6
Risk Management Charges to State Agencies	40.1	-	-	-
Internal Service Computer Processing, Hosting, Maintenance and Support Costs	336.1	-	-	-
External Programming and System Development Costs	70.8	-	-	-
Charges Imposed Related to AFIS.	17.2	-	-	-
External Telecommunications Charges	98.2	-	-	-

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-1-0 Policy and Administration

Sub Program: IFA-1-1 Policy and Administration

Certificate of Participation (COP) Building Rent Charges to State Agencies	311.2	-	-	-
Late Charges on Overdue Payments	0.1	-	-	-
Repair & Maintenance - Buildings	0.3	-	-	-
Repair & Maintenance - Vehicles	-	-	-	-
Repair & Maintenance - Other Equipment	1.6	-	-	-
Software Support, Maintenance Short-term Licensing	50.1	-	-	-
Security Supplies	0.0	-	-	-
Office Supplies	15.1	-	-	-
Computer Supplies	0.7	-	-	-
Automotive and Transportation Fuels	-	-	-	-
Conference Registration / Attendance Fees	12.9	-	-	-
Other Education & Training Costs	9.2	-	-	-
Internal Printing	0.7	-	-	-
External Printing	0.6	-	-	-
Postage & Delivery	18.9	-	-	-
Document Shredding and Destruction Services	22.7	-	-	-
Awards	3.9	-	-	-
Dues	111.8	-	-	-
Books, Subscriptions & Publications	16.2	-	-	-
Security Services	-	-	-	-
Other Miscellaneous Operating	13.2	-	-	-
Expenditure Category Total:	1,151.7	1,328.6	-	1,328.6

Fund Source

Appropriated Funds

AA1000	General Fund (Appropriated)	842.8	1,000.5	-	1,000.5
ID1998	Financial Services Fund (Appropriated)	281.3	198.9	-	198.9
ID2060	Automobile Theft Authority Fund (Appropriated)	27.6	129.2	-	129.2
Appropriated Funds Total:		1,151.7	1,328.6	-	1,328.6
Fund Source Total:		1,151.7	1,328.6	-	1,328.6

Capital Equipment

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-1-0 Policy and Administration

Sub Program: IFA-1-1 Policy and Administration

Capital Equipment	-	-	-	-
Other Equipment - Capital Purchase	-	-	-	-
Purchased or licensed software / website	-	-	-	-
Other Capital Asset Purchases	-	-	-	-
Expenditure Category Total:	-	-	-	-

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	-	-	-	-
Appropriated Funds Total:	-	-	-	-
Fund Source Total:	-	-	-	-

Non-Capital Equipment

Non-Capital Resources	-	126.9	-	126.9
Furniture - Non-Capital Purchase	-	-	-	-
Computer Equipment – Non- Capitalized Purchases	123.6	-	-	-
Other Equipment - Non- Capital Purchase	-	-	-	-
Purchased or licensed software / website	0.4	-	-	-
Expenditure Category Total:	124.0	126.9	-	126.9

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	124.0	126.9	-	126.9
Appropriated Funds Total:	124.0	126.9	-	126.9
Fund Source Total:	124.0	126.9	-	126.9

Sub Program: IFA-1-2 SLI FY 2023 Salary Increase

Employee Related Expenditures

Employee Related Expenses	-	-	-	-
Expenditure Category Total:	-	-	-	-

Fund Source

Program Expenditure Schedule

Agency:	Department of Insurance Financial Institutions
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	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-1-0 Policy and Administration				
Sub Program: IFA-1-2 SLI FY 2023 Salary Increase				

Appropriated Funds

AA1000	General Fund (Appropriated)	-	-	-	-
	Appropriated Funds Total:	-	-	-	-
	Fund Source Total:	-	-	-	-

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight					
FTE					
FTE		17.2	14.1	-	14.1
Expenditure Category Total:		-	-	-	-
Fund Source					
Non-Appropriated Funds					
ID1997	Mortgage Recovery Fund (Non-Appropriated)	0.2	0.2	-	0.2
ID2000	Federal Grants Fund (Non-Appropriated)	1.0	1.0	-	1.0
ID2034	Insurance Examiners Revolving Fund (Non-Appropriated)	2.9	-	-	-
ID2126	Banking Department Revolving Fund (Non-Appropriated)	1.5	1.5	-	1.5
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	4.7	4.5	-	4.5
ID2467	Health Care Appeals Fund (Non-Appropriated)	1.0	1.0	-	1.0
ID2473	Financial Surveillance Fund (Non-Appropriated)	5.0	5.0	-	5.0
ID3104	Insurance Receivership Liquidation Fund (Non-Appropriated)	1.0	1.0	-	1.0
Non-Appropriated Funds Total:		17.2	14.1	-	14.1
Fund Source Total:		17.2	14.1	-	14.1
Personal Services					
Personal Services		1,296.9	1,058.6	-	1,058.6
Board & Commission Members Compensation		0.1	-	-	-
Expenditure Category Total:		1,297.0	1,058.6	-	1,058.6
Fund Source					
Appropriated Funds					
AA1000	General Fund (Appropriated)	0.1	-	-	-
Appropriated Funds Total:		0.1	-	-	-

Program Expenditure Schedule

Agency:	Department of Insurance Financial Institutions
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		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:	IFA-2-0 Solvency Oversight				
Non-Appropriated Funds					
ID1997	Mortgage Recovery Fund (Non-Appropriated)	9.1	9.1	-	9.1
ID2000	Federal Grants Fund (Non-Appropriated)	71.0	19.3	-	19.3
ID2034	Insurance Examiners Revolving Fund (Non-Appropriated)	163.9	-	-	-
ID2126	Banking Department Revolving Fund (Non-Appropriated)	99.2	109.5	-	109.5
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	424.2	361.3	-	361.3
ID2467	Health Care Appeals Fund (Non-Appropriated)	82.2	82.5	-	82.5
ID2473	Financial Surveillance Fund (Non-Appropriated)	351.3	380.6	-	380.6
ID3104	Insurance Receivership Liquidation Fund (Non-Appropriated)	95.9	96.3	-	96.3
Non-Appropriated Funds Total:		1,296.9	1,058.6	-	1,058.6
Fund Source Total:		1,297.0	1,058.6	-	1,058.6

Employee Related Expenditures

Employee Related Expenses	318.1	341.8	-	341.8
Expenditure Category Total:	318.1	341.8	-	341.8

Fund Source

Non-Appropriated Funds					
ID1997	Mortgage Recovery Fund (Non-Appropriated)	2.0	3.5	-	3.5
ID2000	Federal Grants Fund (Non-Appropriated)	34.4	8.3	-	8.3
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	134.1	142.1	-	142.1
ID2473	Financial Surveillance Fund (Non-Appropriated)	117.3	150.1	-	150.1
ID3104	Insurance Receivership Liquidation Fund (Non-Appropriated)	30.2	37.8	-	37.8
Non-Appropriated Funds Total:		318.1	341.8	-	341.8
Fund Source Total:		318.1	341.8	-	341.8

Professional & Outside Services

Professional and Outside Services	-	2,415.4	-	2,415.4
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Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				
Attorney General Legal Services	203.7	-	-	-
External Legal Services	6.2	-	-	-
Other Professional & Outside Services	2,459.4	-	-	-
Expenditure Category Total:	2,669.3	2,415.4	-	2,415.4

Fund Source

Non-Appropriated Funds

ID2000	Federal Grants Fund (Non-Appropriated)	17.3	1.0	-	1.0
ID2034	Insurance Examiners Revolving Fund (Non-Appropriated)	2,400.5	2,210.0	-	2,210.0
ID2126	Banking Department Revolving Fund (Non-Appropriated)	191.4	191.4	-	191.4
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	12.4	10.0	-	10.0
ID2473	Financial Surveillance Fund (Non-Appropriated)	41.7	-	-	-
ID3023	Receivership Revolving Fund (Non-Appropriated)	6.2	3.0	-	3.0
Non-Appropriated Funds Total:		2,669.3	2,415.4	-	2,415.4
Fund Source Total:		2,669.3	2,415.4	-	2,415.4

Travel In-State

Travel In-State	0.3	-	-	-
Expenditure Category Total:	0.3	-	-	-

Fund Source

Appropriated Funds

ID1998	Financial Services Fund (Appropriated)	0.0	-	-	-
Appropriated Funds Total:		0.0	-	-	-

Non-Appropriated Funds

ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	0.3	-	-	-
Non-Appropriated Funds Total:		0.3	-	-	-
Fund Source Total:		0.3	-	-	-

Travel Out-Of-State

Travel Out of State	12.1	11.0	-	11.0
Expenditure Category Total:	12.1	11.0	-	11.0

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				

Fund Source

Non-Appropriated Funds

ID2034 Insurance Examiners Revolving Fund (Non-Appropriated)	1.5	-	-	-
ID2377 Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	10.6	11.0	-	11.0
Non-Appropriated Funds Total:	12.1	11.0	-	11.0
Fund Source Total:	12.1	11.0	-	11.0

Other Operating Expenditures

Other Operating Expenses	-	51.0	-	51.0
Risk Management Charges to State Agencies	7.1	-	-	-
External Programming and System Development Costs	11.0	-	-	-
Charges Imposed Related to AFIS.	2.8	-	-	-
External Telecommunications Charges	10.1	-	-	-
Certificate of Participation (COP) Building Rent Charges to State Agencies	15.2	-	-	-
Repair & Maintenance - Other Equipment	0.2	-	-	-
Software Support, Maintenance Short-term Licensing	10.6	-	-	-
Conference Registration / Attendance Fees	6.5	-	-	-
External Printing	0.3	-	-	-
Postage & Delivery	0.1	-	-	-
Document Shredding and Destruction Services	2.6	-	-	-
Awards	0.1	-	-	-
Dues	2.3	-	-	-
Books, Subscriptions & Publications	2.5	-	-	-
Other Miscellaneous Operating	4.7	-	-	-
Expenditure Category Total:	75.9	51.0	-	51.0

Fund Source

Non-Appropriated Funds

ID1997 Mortgage Recovery Fund (Non-Appropriated)	0.2	0.3	-	0.3
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Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight					
ID2000	Federal Grants Fund (Non-Appropriated)	1.0	0.1	-	0.1
ID2034	Insurance Examiners Revolving Fund (Non-Appropriated)	24.7	16.7	-	16.7
ID2126	Banking Department Revolving Fund (Non-Appropriated)	0.6	0.5	-	0.5
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	24.7	12.6	-	12.6
ID2473	Financial Surveillance Fund (Non-Appropriated)	19.4	14.7	-	14.7
ID3104	Insurance Receivership Liquidation Fund (Non-Appropriated)	5.4	6.1	-	6.1
Non-Appropriated Funds Total:		75.9	51.0	-	51.0
Fund Source Total:		75.9	51.0	-	51.0

Transfers-Out

Transfers	345.1	337.2	-	337.2
Expenditure Category Total:	345.1	337.2	-	337.2

Fund Source

Non-Appropriated Funds

ID2126	Banking Department Revolving Fund (Non-Appropriated)	74.3	73.4	-	73.4
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	270.8	263.8	-	263.8
Non-Appropriated Funds Total:		345.1	337.2	-	337.2
Fund Source Total:		345.1	337.2	-	337.2

Sub Program: IFA-2-1 Solvency Oversight

FTE

FTE	17.2	14.1	-	14.1
Expenditure Category Total:	-	-	-	-

Fund Source

Non-Appropriated Funds

ID1997	Mortgage Recovery Fund (Non-Appropriated)	0.2	0.2	-	0.2
ID2000	Federal Grants Fund (Non-Appropriated)	1.0	1.0	-	1.0

Program Expenditure Schedule

Agency:		Department of Insurance Financial Institutions			
		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight					
Sub Program: IFA-2-1 Solvency Oversight					
ID2034	Insurance Examiners Revolving Fund (Non-Appropriated)	2.9	-	-	-
ID2126	Banking Department Revolving Fund (Non- Appropriated)	1.5	1.5	-	1.5
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	4.7	4.5	-	4.5
ID2467	Health Care Appeals Fund (Non- Appropriated)	1.0	1.0	-	1.0
ID2473	Financial Surveillance Fund (Non- Appropriated)	5.0	5.0	-	5.0
ID3104	Insurance Receivership Liquidation Fund (Non-Appropriated)	1.0	1.0	-	1.0
Non-Appropriated Funds Total:		17.2	14.1	-	14.1
Fund Source Total:		17.2	14.1	-	14.1
Personal Services					
	Personal Services	1,296.9	1,058.6	-	1,058.6
	Board & Commission Members Compensation	0.1	-	-	-
Expenditure Category Total:		1,297.0	1,058.6	-	1,058.6
Fund Source					
Appropriated Funds					
AA1000	General Fund (Appropriated)	0.1	-	-	-
Appropriated Funds Total:		0.1	-	-	-

Program Expenditure Schedule

Agency:	Department of Insurance Financial Institutions
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		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:	IFA-2-0 Solvency Oversight				
Sub Program:	IFA-2-1 Solvency Oversight				
Non-Appropriated Funds					
ID1997	Mortgage Recovery Fund (Non-Appropriated)	9.1	9.1	-	9.1
ID2000	Federal Grants Fund (Non-Appropriated)	71.0	19.3	-	19.3
ID2034	Insurance Examiners Revolving Fund (Non-Appropriated)	163.9	-	-	-
ID2126	Banking Department Revolving Fund (Non-Appropriated)	99.2	109.5	-	109.5
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	424.2	361.3	-	361.3
ID2467	Health Care Appeals Fund (Non-Appropriated)	82.2	82.5	-	82.5
ID2473	Financial Surveillance Fund (Non-Appropriated)	351.3	380.6	-	380.6
ID3104	Insurance Receivership Liquidation Fund (Non-Appropriated)	95.9	96.3	-	96.3
	Non-Appropriated Funds Total:	1,296.9	1,058.6	-	1,058.6
	Fund Source Total:	1,297.0	1,058.6	-	1,058.6

Employee Related Expenditures

	Employee Related Expenses	318.1	341.8	-	341.8
	Expenditure Category Total:	318.1	341.8	-	341.8

Fund Source

Non-Appropriated Funds					
ID1997	Mortgage Recovery Fund (Non-Appropriated)	2.0	3.5	-	3.5
ID2000	Federal Grants Fund (Non-Appropriated)	34.4	8.3	-	8.3
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	134.1	142.1	-	142.1
ID2473	Financial Surveillance Fund (Non-Appropriated)	117.3	150.1	-	150.1
ID3104	Insurance Receivership Liquidation Fund (Non-Appropriated)	30.2	37.8	-	37.8
	Non-Appropriated Funds Total:	318.1	341.8	-	341.8
	Fund Source Total:	318.1	341.8	-	341.8

Professional & Outside Services

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-2-0 Solvency Oversight

Sub Program: IFA-2-1 Solvency Oversight

Professional and Outside Services	-	2,415.4	-	2,415.4
Attorney General Legal Services	203.7	-	-	-
External Legal Services	6.2	-	-	-
Other Professional & Outside Services	2,459.4	-	-	-
Expenditure Category Total:	2,669.3	2,415.4	-	2,415.4

Fund Source

Non-Appropriated Funds

ID2000	Federal Grants Fund (Non-Appropriated)	17.3	1.0	-	1.0
ID2034	Insurance Examiners Revolving Fund (Non-Appropriated)	2,400.5	2,210.0	-	2,210.0
ID2126	Banking Department Revolving Fund (Non-Appropriated)	191.4	191.4	-	191.4
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	12.4	10.0	-	10.0
ID2473	Financial Surveillance Fund (Non-Appropriated)	41.7	-	-	-
ID3023	Receivership Revolving Fund (Non-Appropriated)	6.2	3.0	-	3.0
Non-Appropriated Funds Total:		2,669.3	2,415.4	-	2,415.4
Fund Source Total:		2,669.3	2,415.4	-	2,415.4

Travel In-State

Travel In-State	0.3	-	-	-
Expenditure Category Total:	0.3	-	-	-

Fund Source

Appropriated Funds

ID1998	Financial Services Fund (Appropriated)	0.0	-	-	-
Appropriated Funds Total:		0.0	-	-	-

Non-Appropriated Funds

ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	0.3	-	-	-
Non-Appropriated Funds Total:		0.3	-	-	-
Fund Source Total:		0.3	-	-	-

Travel Out-Of-State

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-2-0 Solvency Oversight

Sub Program: IFA-2-1 Solvency Oversight

Travel Out of State	12.1	11.0	-	11.0
Expenditure Category Total:	12.1	11.0	-	11.0

Fund Source

Non-Appropriated Funds

ID2034	Insurance Examiners Revolving Fund (Non-Appropriated)	1.5	-	-	-
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	10.6	11.0	-	11.0
Non-Appropriated Funds Total:		12.1	11.0	-	11.0
Fund Source Total:		12.1	11.0	-	11.0

Other Operating Expenditures

Other Operating Expenses	-	51.0	-	51.0
Risk Management Charges to State Agencies	7.1	-	-	-
External Programming and System Development Costs	11.0	-	-	-
Charges Imposed Related to AFIS.	2.8	-	-	-
External Telecommunications Charges	10.1	-	-	-
Certificate of Participation (COP) Building Rent Charges to State Agencies	15.2	-	-	-
Repair & Maintenance - Other Equipment	0.2	-	-	-
Software Support, Maintenance Short-term Licensing	10.6	-	-	-
Conference Registration / Attendance Fees	6.5	-	-	-
External Printing	0.3	-	-	-
Postage & Delivery	0.1	-	-	-
Document Shredding and Destruction Services	2.6	-	-	-
Awards	0.1	-	-	-
Dues	2.3	-	-	-
Books, Subscriptions & Publications	2.5	-	-	-
Other Miscellaneous Operating	4.7	-	-	-
Expenditure Category Total:	75.9	51.0	-	51.0

Fund Source

Program Expenditure Schedule

Agency:	Department of Insurance Financial Institutions
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	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-2-0 Solvency Oversight				
Sub Program: IFA-2-1 Solvency Oversight				

Non-Appropriated Funds

ID1997	Mortgage Recovery Fund (Non-Appropriated)	0.2	0.3	-	0.3
ID2000	Federal Grants Fund (Non-Appropriated)	1.0	0.1	-	0.1
ID2034	Insurance Examiners Revolving Fund (Non-Appropriated)	24.7	16.7	-	16.7
ID2126	Banking Department Revolving Fund (Non-Appropriated)	0.6	0.5	-	0.5
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	24.7	12.6	-	12.6
ID2473	Financial Surveillance Fund (Non-Appropriated)	19.4	14.7	-	14.7
ID3104	Insurance Receivership Liquidation Fund (Non-Appropriated)	5.4	6.1	-	6.1
Non-Appropriated Funds Total:		75.9	51.0	-	51.0
Fund Source Total:		75.9	51.0	-	51.0

Transfers-Out

	Transfers	345.1	337.2	-	337.2
Expenditure Category Total:		345.1	337.2	-	337.2

Fund Source

Non-Appropriated Funds

ID2126	Banking Department Revolving Fund (Non-Appropriated)	74.3	73.4	-	73.4
ID2377	Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)	270.8	263.8	-	263.8
Non-Appropriated Funds Total:		345.1	337.2	-	337.2
Fund Source Total:		345.1	337.2	-	337.2

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-3-0 Consumer Protection

FTE

FTE	51.7	53.6	-	53.6
Expenditure Category Total:	-	-	-	-

Fund Source

Appropriated Funds

ID1998 Financial Services Fund (Appropriated)	51.7	53.6	-	53.6
Appropriated Funds Total:	51.7	53.6	-	53.6
Fund Source Total:	51.7	53.6	-	53.6

Personal Services

Personal Services	3,298.0	3,856.3	456.0	4,312.3
Expenditure Category Total:	3,298.0	3,856.3	456.0	4,312.3

Fund Source

Appropriated Funds

ID1998 Financial Services Fund (Appropriated)	3,298.0	3,856.3	456.0	4,312.3
Appropriated Funds Total:	3,298.0	3,856.3	456.0	4,312.3
Fund Source Total:	3,298.0	3,856.3	456.0	4,312.3

Employee Related Expenditures

Employee Related Expenses	30.3	32.7	-	32.7
Expenditure Category Total:	30.3	32.7	-	32.7

Fund Source

Appropriated Funds

ID1998 Financial Services Fund (Appropriated)	-	-	-	-
Appropriated Funds Total:	-	-	-	-

Non-Appropriated Funds

ID2467 Health Care Appeals Fund (Non-Appropriated)	30.3	32.7	-	32.7
Non-Appropriated Funds Total:	30.3	32.7	-	32.7
Fund Source Total:	30.3	32.7	-	32.7

Professional & Outside Services

Professional and Outside Services	-	20.3	-	20.3
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Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-3-0 Consumer Protection				
Attorney General Legal Services	12.4	-	-	-
Other Professional & Outside Services	98.5	-	-	-
Expenditure Category Total:	110.8	20.3	-	20.3

Fund Source

Appropriated Funds				
ID1998 Financial Services Fund (Appropriated)	-	-	-	-
Appropriated Funds Total:	-	-	-	-
Non-Appropriated Funds				
ID2467 Health Care Appeals Fund (Non-Appropriated)	110.8	20.3	-	20.3
Non-Appropriated Funds Total:	110.8	20.3	-	20.3
Fund Source Total:	110.8	20.3	-	20.3

Travel Out-Of-State

Travel Out of State	0.4	-	-	-
Expenditure Category Total:	0.4	-	-	-

Fund Source

Non-Appropriated Funds				
ID2467 Health Care Appeals Fund (Non-Appropriated)	0.4	-	-	-
Non-Appropriated Funds Total:	0.4	-	-	-
Fund Source Total:	0.4	-	-	-

Other Operating Expenditures

Other Operating Expenses	-	9.9	-	9.9
Risk Management Charges to State Agencies	0.4	-	-	-
External Programming and System Development Costs	0.8	-	-	-
Charges Imposed Related to AFIS,	0.2	-	-	-
External Telecommunications Charges	2.2	-	-	-
Certificate of Participation (COP) Building Rent Charges to State Agencies	1.1	-	-	-
Repair & Maintenance - Other Equipment	0.2	-	-	-
Software Support, Maintenance Short-term Licensing	1.0	-	-	-

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-3-0 Consumer Protection				
Conference Registration / Attendance Fees	0.6	-	-	-
Postage & Delivery	0.2	-	-	-
Document Shredding and Destruction Services	1.0	-	-	-
Other Miscellaneous Operating	0.1	-	-	-
Expenditure Category Total:	7.8	9.9	-	9.9

Fund Source

Non-Appropriated Funds

ID1997	Mortgage Recovery Fund (Non-Appropriated)	0.2	0.3	-	0.3
ID2467	Health Care Appeals Fund (Non-Appropriated)	7.7	9.6	-	9.6
Non-Appropriated Funds Total:		7.8	9.9	-	9.9
Fund Source Total:		7.8	9.9	-	9.9

Non-Capital Equipment

Purchased or licensed software / website	1.2	-	-	-
Expenditure Category Total:	1.2	-	-	-

Fund Source

Non-Appropriated Funds

ID2467	Health Care Appeals Fund (Non-Appropriated)	1.2	-	-	-
Non-Appropriated Funds Total:		1.2	-	-	-
Fund Source Total:		1.2	-	-	-

Sub Program: IFA-3-1 Consumer Protection

FTE

FTE	51.7	53.6	-	53.6
Expenditure Category Total:	-	-	-	-

Fund Source

Appropriated Funds

ID1998	Financial Services Fund (Appropriated)	51.7	53.6	-	53.6
Appropriated Funds Total:		51.7	53.6	-	53.6
Fund Source Total:		51.7	53.6	-	53.6

Program Expenditure Schedule

Agency:	Department of Insurance Financial Institutions
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	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program:	IFA-3-0 Consumer Protection
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Sub Program:	IFA-3-1 Consumer Protection
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Personal Services

Personal Services	3,298.0	3,856.3	456.0	4,312.3
Expenditure Category Total:	3,298.0	3,856.3	456.0	4,312.3

Fund Source

Appropriated Funds

ID1998	Financial Services Fund (Appropriated)	3,298.0	3,856.3	456.0	4,312.3
Appropriated Funds Total:		3,298.0	3,856.3	456.0	4,312.3
Fund Source Total:		3,298.0	3,856.3	456.0	4,312.3

Employee Related Expenditures

Employee Related Expenses	30.3	32.7	-	32.7
Expenditure Category Total:	30.3	32.7	-	32.7

Fund Source

Appropriated Funds

ID1998	Financial Services Fund (Appropriated)	-	-	-	-
Appropriated Funds Total:		-	-	-	-

Non-Appropriated Funds

ID2467	Health Care Appeals Fund (Non-Appropriated)	30.3	32.7	-	32.7
Non-Appropriated Funds Total:		30.3	32.7	-	32.7
Fund Source Total:		30.3	32.7	-	32.7

Professional & Outside Services

Professional and Outside Services	-	20.3	-	20.3
Attorney General Legal Services	12.4	-	-	-
Other Professional & Outside Services	98.5	-	-	-
Expenditure Category Total:	110.8	20.3	-	20.3

Fund Source

Appropriated Funds

ID1998	Financial Services Fund (Appropriated)	-	-	-	-
Appropriated Funds Total:		-	-	-	-

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-3-0 Consumer Protection

Sub Program: IFA-3-1 Consumer Protection

Non-Appropriated Funds

ID2467 Health Care Appeals Fund (Non-Appropriated)	110.8	20.3	-	20.3
Non-Appropriated Funds Total:	110.8	20.3	-	20.3
Fund Source Total:	110.8	20.3	-	20.3

Travel Out-Of-State

Travel Out of State	0.4	-	-	-
Expenditure Category Total:	0.4	-	-	-

Fund Source

Non-Appropriated Funds

ID2467 Health Care Appeals Fund (Non-Appropriated)	0.4	-	-	-
Non-Appropriated Funds Total:	0.4	-	-	-
Fund Source Total:	0.4	-	-	-

Other Operating Expenditures

Other Operating Expenses	-	9.9	-	9.9
Risk Management Charges to State Agencies	0.4	-	-	-
External Programming and System Development Costs	0.8	-	-	-
Charges Imposed Related to AFIS.	0.2	-	-	-
External Telecommunications Charges	2.2	-	-	-
Certificate of Participation (COP) Building Rent Charges to State Agencies	1.1	-	-	-
Repair & Maintenance - Other Equipment	0.2	-	-	-
Software Support, Maintenance Short-term Licensing	1.0	-	-	-
Conference Registration / Attendance Fees	0.6	-	-	-
Postage & Delivery	0.2	-	-	-
Document Shredding and Destruction Services	1.0	-	-	-
Other Miscellaneous Operating	0.1	-	-	-
Expenditure Category Total:	7.8	9.9	-	9.9

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-3-0 Consumer Protection

Sub Program: IFA-3-1 Consumer Protection

Fund Source

Non-Appropriated Funds

ID1997	Mortgage Recovery Fund (Non-Appropriated)	0.2	0.3	-	0.3
ID2467	Health Care Appeals Fund (Non-Appropriated)	7.7	9.6	-	9.6
Non-Appropriated Funds Total:		7.8	9.9	-	9.9
Fund Source Total:		7.8	9.9	-	9.9

Non-Capital Equipment

Purchased or licensed software / website	1.2	-	-	-
Expenditure Category Total:	1.2	-	-	-

Fund Source

Non-Appropriated Funds

ID2467	Health Care Appeals Fund (Non-Appropriated)	1.2	-	-	-
Non-Appropriated Funds Total:		1.2	-	-	-
Fund Source Total:		1.2	-	-	-

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-4-0 Insurance Fraud Investigation and Deterrence

FTE

FTE	14.1	14.1	-	14.1
Expenditure Category Total:	-	-	-	-

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	14.1	14.1	-	14.1
Appropriated Funds Total:	14.1	14.1	-	14.1
Fund Source Total:	14.1	14.1	-	14.1

Personal Services

Personal Services	1,068.5	1,040.0	181.9	1,221.9
Expenditure Category Total:	1,068.5	1,040.0	181.9	1,221.9

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	1,068.5	1,040.0	181.9	1,221.9
Appropriated Funds Total:	1,068.5	1,040.0	181.9	1,221.9
Fund Source Total:	1,068.5	1,040.0	181.9	1,221.9

Employee Related Expenditures

Employee Related Expenses	372.8	404.2	-	404.2
Expenditure Category Total:	372.8	404.2	-	404.2

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	372.8	404.2	-	404.2
Appropriated Funds Total:	372.8	404.2	-	404.2
Fund Source Total:	372.8	404.2	-	404.2

Professional & Outside Services

Professional and Outside Services	-	228.0	-	228.0
Attorney General Legal Services	89.1	-	-	-
Education & Training	1.3	-	-	-
Non-confidential Outside Specialist Fees for Investigations etc.	2.2	-	-	-

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-4-0 Insurance Fraud Investigation and Deterrence					
Expenditure Category Total:		92.5	228.0	-	228.0
Fund Source					
Appropriated Funds					
AA1000	General Fund (Appropriated)	92.5	228.0	-	228.0
Appropriated Funds Total:		92.5	228.0	-	228.0
Fund Source Total:		92.5	228.0	-	228.0
Travel In-State					
	Travel In-State	26.7	91.2	-	91.2
Expenditure Category Total:		26.7	91.2	-	91.2
Fund Source					
Appropriated Funds					
AA1000	General Fund (Appropriated)	26.7	91.2	-	91.2
Appropriated Funds Total:		26.7	91.2	-	91.2
Fund Source Total:		26.7	91.2	-	91.2
Travel Out-Of-State					
	Travel Out of State	7.6	6.1	-	6.1
Expenditure Category Total:		7.6	6.1	-	6.1
Fund Source					
Appropriated Funds					
AA1000	General Fund (Appropriated)	7.6	6.1	-	6.1
Appropriated Funds Total:		7.6	6.1	-	6.1
Fund Source Total:		7.6	6.1	-	6.1
Other Operating Expenditures					
	Other Operating Expenses	-	163.6	-	163.6
	Risk Management Charges to State Agencies	6.1	-	-	-
	Internal Service Computer Processing, Hosting, Maintenance and Support Costs	10.6	-	-	-
	External Programming and System Development Costs	10.6	-	-	-
	Charges Imposed Related to AFIS.	2.6	-	-	-

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-4-0 Insurance Fraud Investigation and Deterrence				
External Telecommunications Charges	15.1	-	-	-
Certificate of Participation (COP) Building Rent Charges to State Agencies	89.7	-	-	-
Late Charges on Overdue Payments	0.1	-	-	-
Repair & Maintenance - Vehicles	1.3	-	-	-
Repair & Maintenance - Other Equipment	1.5	-	-	-
Software Support, Maintenance Short-term Licensing	4.6	-	-	-
Security Supplies	12.7	-	-	-
Office Supplies	0.1	-	-	-
Automotive and Transportation Fuels	1.7	-	-	-
Conference Registration / Attendance Fees	1.9	-	-	-
Other Education & Training Costs	3.7	-	-	-
External Printing	0.1	-	-	-
Postage & Delivery	0.3	-	-	-
Document Shredding and Destruction Services	0.2	-	-	-
Dues	1.6	-	-	-
Books, Subscriptions & Publications	0.6	-	-	-
Security Services	0.4	-	-	-
Other Miscellaneous Operating	1.4	-	-	-
Expenditure Category Total:	166.8	163.6	-	163.6

Fund Source

Appropriated Funds

AA1000	General Fund (Appropriated)	166.8	163.6	-	163.6
Appropriated Funds Total:		166.8	163.6	-	163.6
Fund Source Total:		166.8	163.6	-	163.6

Capital Equipment

Other Equipment - Capital Purchase	8.2	-	-	-
Purchased or licensed software / website	8.1	-	-	-
Other Capital Asset Purchases	55.3	-	-	-
Expenditure Category Total:	71.6	-	-	-

Fund Source

Appropriated Funds

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-4-0 Insurance Fraud Investigation and Deterrence

AA1000	General Fund (Appropriated)	71.6	-	-	-
	Appropriated Funds Total:	71.6	-	-	-
	Fund Source Total:	71.6	-	-	-

Non-Capital Equipment

Non-Capital Resources	-	6.0	-	6.0	
Furniture - Non-Capital Purchase	5.4	-	-	-	
Computer Equipment – Non- Capitalized Purchases	1.6	-	-	-	
Other Equipment - Non- Capital Purchase	0.9	-	-	-	
Purchased or licensed software / website	25.0	-	-	-	
	Expenditure Category Total:	32.9	6.0	-	6.0

Fund Source

Appropriated Funds

AA1000	General Fund (Appropriated)	32.9	6.0	-	6.0
	Appropriated Funds Total:	32.9	6.0	-	6.0
	Fund Source Total:	32.9	6.0	-	6.0

Sub Program: IFA-4-1 Insurance Fraud Investigation and Deterrence

Professional & Outside Services

Attorney General Legal Services	-	-	-	-
Non-confidential Outside Specialist Fees for Investigations etc.	-	-	-	-
	Expenditure Category Total:	-	-	-

Fund Source

Appropriated Funds

AA1000	General Fund (Appropriated)	-	-	-	-
	Appropriated Funds Total:	-	-	-	-
	Fund Source Total:	-	-	-	-

Travel In-State

Travel In-State	-	-	-	-
	Expenditure Category Total:	-	-	-

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-4-0 Insurance Fraud Investigation and Deterrence

Sub Program: IFA-4-1 Insurance Fraud Investigation and Deterrence

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	-	-	-	-
Appropriated Funds Total:	-	-	-	-
Fund Source Total:	-	-	-	-

Other Operating Expenditures

Internal Service Computer Processing, Hosting, Maintenance and Support Costs	-	-	-	-
External Telecommunications Charges	-	-	-	-
Repair & Maintenance - Other Equipment	-	-	-	-
Expenditure Category Total:	-	-	-	-

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	-	-	-	-
Appropriated Funds Total:	-	-	-	-
Fund Source Total:	-	-	-	-

Sub Program: IFA-4-2 SLI Insurance Fraud Unit

FTE

FTE	14.1	14.1	-	14.1
Expenditure Category Total:	-	-	-	-

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	14.1	14.1	-	14.1
Appropriated Funds Total:	14.1	14.1	-	14.1
Fund Source Total:	14.1	14.1	-	14.1

Personal Services

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-4-0 Insurance Fraud Investigation and Deterrence

Sub Program: IFA-4-2 SLI Insurance Fraud Unit

Personal Services	1,068.5	1,040.0	181.9	1,221.9
Expenditure Category Total:	1,068.5	1,040.0	181.9	1,221.9

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	1,068.5	1,040.0	181.9	1,221.9
Appropriated Funds Total:	1,068.5	1,040.0	181.9	1,221.9
Fund Source Total:	1,068.5	1,040.0	181.9	1,221.9

Employee Related Expenditures

Employee Related Expenses	372.8	404.2	-	404.2
Expenditure Category Total:	372.8	404.2	-	404.2

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	372.8	404.2	-	404.2
Appropriated Funds Total:	372.8	404.2	-	404.2
Fund Source Total:	372.8	404.2	-	404.2

Professional & Outside Services

Professional and Outside Services	-	228.0	-	228.0
Attorney General Legal Services	89.1	-	-	-
Education & Training	1.3	-	-	-
Non-confidential Outside Specialist Fees for Investigations etc.	2.2	-	-	-
Expenditure Category Total:	92.5	228.0	-	228.0

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	92.5	228.0	-	228.0
Appropriated Funds Total:	92.5	228.0	-	228.0
Fund Source Total:	92.5	228.0	-	228.0

Travel In-State

Travel In-State	26.7	91.2	-	91.2
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Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-4-0 Insurance Fraud Investigation and Deterrence

Sub Program: IFA-4-2 SLI Insurance Fraud Unit

Expenditure Category Total:	26.7	91.2	-	91.2
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Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	26.7	91.2	-	91.2
Appropriated Funds Total:	26.7	91.2	-	91.2
Fund Source Total:	26.7	91.2	-	91.2

Travel Out-Of-State

Travel Out of State	7.6	6.1	-	6.1
Expenditure Category Total:	7.6	6.1	-	6.1

Fund Source

Appropriated Funds

AA1000 General Fund (Appropriated)	7.6	6.1	-	6.1
Appropriated Funds Total:	7.6	6.1	-	6.1
Fund Source Total:	7.6	6.1	-	6.1

Other Operating Expenditures

Other Operating Expenses	-	163.6	-	163.6
Risk Management Charges to State Agencies	6.1	-	-	-
Internal Service Computer Processing, Hosting, Maintenance and Support Costs	10.6	-	-	-
External Programming and System Development Costs	10.6	-	-	-
Charges Imposed Related to AFIS.	2.6	-	-	-
External Telecommunications Charges	15.1	-	-	-
Certificate of Participation (COP) Building Rent Charges to State Agencies	89.7	-	-	-
Late Charges on Overdue Payments	0.1	-	-	-
Repair & Maintenance - Vehicles	1.3	-	-	-
Repair & Maintenance - Other Equipment	1.5	-	-	-
Software Support, Maintenance Short-term Licensing	4.6	-	-	-
Security Supplies	12.7	-	-	-

Program Expenditure Schedule

Agency:	Department of Insurance Financial Institutions			
	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:	IFA-4-0 Insurance Fraud Investigation and Deterrence			
Sub Program:	IFA-4-2 SLI Insurance Fraud Unit			
Office Supplies	0.1	-	-	-
Automotive and Transportation Fuels	1.7	-	-	-
Conference Registration / Attendance Fees	1.9	-	-	-
Other Education & Training Costs	3.7	-	-	-
External Printing	0.1	-	-	-
Postage & Delivery	0.3	-	-	-
Document Shredding and Destruction Services	0.2	-	-	-
Dues	1.6	-	-	-
Books, Subscriptions & Publications	0.6	-	-	-
Security Services	0.4	-	-	-
Other Miscellaneous Operating	1.4	-	-	-
Expenditure Category Total:	166.8	163.6	-	163.6
Fund Source				
Appropriated Funds				
AA1000 General Fund (Appropriated)	166.8	163.6	-	163.6
Appropriated Funds Total:	166.8	163.6	-	163.6
Fund Source Total:	166.8	163.6	-	163.6
Capital Equipment				
Other Equipment - Capital Purchase	8.2	-	-	-
Purchased or licensed software / website	8.1	-	-	-
Other Capital Asset Purchases	55.3	-	-	-
Expenditure Category Total:	71.6	-	-	-
Fund Source				
Appropriated Funds				
AA1000 General Fund (Appropriated)	71.6	-	-	-
Appropriated Funds Total:	71.6	-	-	-
Fund Source Total:	71.6	-	-	-
Non-Capital Equipment				
Non-Capital Resources	-	6.0	-	6.0
Furniture - Non-Capital Purchase	5.4	-	-	-

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-4-0 Insurance Fraud Investigation and Deterrence				
Sub Program: IFA-4-2 SLI Insurance Fraud Unit				
Computer Equipment – Non- Capitalized Purchases	1.6	-	-	-
Other Equipment - Non- Capital Purchase	0.9	-	-	-
Purchased or licensed software / website	25.0	-	-	-
Expenditure Category Total:	32.9	6.0	-	6.0
Fund Source				
Appropriated Funds				
AA1000 General Fund (Appropriated)	32.9	6.0	-	6.0
Appropriated Funds Total:	32.9	6.0	-	6.0
Fund Source Total:	32.9	6.0	-	6.0

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-6-0 Automobile Theft Authority

FTE

FTE	2.3	2.3	-	2.3
Expenditure Category Total:	-	-	-	-

Fund Source

Appropriated Funds

ID2060 Automobile Theft Authority Fund (Appropriated)	2.3	2.3	-	2.3
Appropriated Funds Total:	2.3	2.3	-	2.3
Fund Source Total:	2.3	2.3	-	2.3

Personal Services

Personal Services	183.0	190.1	173.0	363.1
Expenditure Category Total:	183.0	190.1	173.0	363.1

Fund Source

Appropriated Funds

ID2060 Automobile Theft Authority Fund (Appropriated)	183.0	190.1	173.0	363.1
Appropriated Funds Total:	183.0	190.1	173.0	363.1
Fund Source Total:	183.0	190.1	173.0	363.1

Aid To Organizations & Individuals

Aid to Organizations and Individuals	940.3	1,372.3	-	1,372.3
Expenditure Category Total:	940.3	1,372.3	-	1,372.3

Fund Source

Appropriated Funds

ID2060 Automobile Theft Authority Fund (Appropriated)	940.3	1,372.3	-	1,372.3
Appropriated Funds Total:	940.3	1,372.3	-	1,372.3
Fund Source Total:	940.3	1,372.3	-	1,372.3

Transfers-Out

Transfers	4,787.6	5,042.0	-	5,042.0
Expenditure Category Total:	4,787.6	5,042.0	-	5,042.0

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program: IFA-6-0 Automobile Theft Authority				
Fund Source				
Appropriated Funds				
ID2060 Automobile Theft Authority Fund (Appropriated)	4,787.6	5,042.0	-	5,042.0
Appropriated Funds Total:	4,787.6	5,042.0	-	5,042.0
Fund Source Total:	4,787.6	5,042.0	-	5,042.0

Sub Program: IFA-6-1 SLI Automobile Theft Authority

FTE				
FTE	2.3	2.3	-	2.3
Expenditure Category Total:	-	-	-	-

Fund Source				
Appropriated Funds				
ID2060 Automobile Theft Authority Fund (Appropriated)	2.3	2.3	-	2.3
Appropriated Funds Total:	2.3	2.3	-	2.3
Fund Source Total:	2.3	2.3	-	2.3

Personal Services				
Personal Services	183.0	190.1	-	190.1
Expenditure Category Total:	183.0	190.1	-	190.1

Fund Source				
Appropriated Funds				
ID2060 Automobile Theft Authority Fund (Appropriated)	183.0	190.1	-	190.1
Appropriated Funds Total:	183.0	190.1	-	190.1
Fund Source Total:	183.0	190.1	-	190.1

Sub Program: IFA-6-3 SLI ATA Vehicle Theft Task Force

Transfers-Out				
Transfers	4,787.6	5,042.0	-	5,042.0

Program Expenditure Schedule

Agency: Department of Insurance Financial Institutions

	FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
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Program: IFA-6-0 Automobile Theft Authority

Sub Program: IFA-6-3 SLI ATA Vehicle Theft Task Force

Expenditure Category Total:	4,787.6	5,042.0	-	5,042.0
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Fund Source

Appropriated Funds

ID2060	Automobile Theft Authority Fund (Appropriated)	4,787.6	5,042.0	-	5,042.0
Appropriated Funds Total:		4,787.6	5,042.0	-	5,042.0
Fund Source Total:		4,787.6	5,042.0	-	5,042.0

Sub Program: IFA-6-4 SLI Local Grants

Personal Services

Personal Services	-	-	173.0	173.0
Expenditure Category Total:	-	-	173.0	173.0

Fund Source

Appropriated Funds

ID2060	Automobile Theft Authority Fund (Appropriated)	-	-	173.0	173.0
Appropriated Funds Total:		-	-	173.0	173.0
Fund Source Total:		-	-	173.0	173.0

Aid To Organizations & Individuals

Aid to Organizations and Individuals	940.3	1,372.3	-	1,372.3
Expenditure Category Total:	940.3	1,372.3	-	1,372.3

Fund Source

Appropriated Funds

ID2060	Automobile Theft Authority Fund (Appropriated)	940.3	1,372.3	-	1,372.3
Appropriated Funds Total:		940.3	1,372.3	-	1,372.3
Fund Source Total:		940.3	1,372.3	-	1,372.3

Program Expenditure Schedule

Agency:	Department of Insurance Financial Institutions				
		FY 2023 Actuals	FY 2024 Expenditure Plan	FY 2025 Funding Issue	FY 2025 Total Request
Program:	IFA-6-0	Automobile Theft Authority			

Program Expenditure Schedule

Agency:	Department of Insurance Financial Institutions
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Administrative Costs Summary	FY 2025
Personal Services	1,352.3
ERE	540.9
Administrative Costs Total:	1,893.2

Administrative Costs / Total Expenditure Ratio	Request	Admin %
FY 2025	26,023.2	7.3%

Listing of Performance Measures of All Grants

Agency: IFA Department of Insurance Financial Institutions

Title: State Appraiser Regulatory Agency Support Grant

AFIS Grant No: IDA21002 **CFDA:** 38.006 **Grantor:** State Appraiser Agency Support Grants

Periodic: One-Time **Start Date:** 10/01/2020 **End Date:** 9/30/2023

Type of Grant: Not Used **If Other, Explain:**

Fed. % or \$ Cap: 100% **Source of Match:**

AFIS fund number where the grant is maintained: ID2000 **Administrative costs are permitted to be paid using this federal money:**

Is this American Recovery and Reinvestment Act money (Stimulus)? No

Is this from 2020 federal stimulus funding? No

Description: To provide State Appraiser Regulatory Agencies with funds to advance the appraiser regulatory field, including, but not limited to, developing and attending trainings, improving appraiser credentialing and investigation and complaint processes, and expanding credentialing opportunities in under-served markets and other activities.

Performance Measure: The percentage of appraisal complaint investigations completed within 60 days of assignment.

FY 2022	FY 2023	FY 2024	FY 2025
0%	70%	80%	80%

Performance Measure Description:

To track the rate of decline in the backlog of complaints to ensure we are meeting our goal, which is a decline in the number of outstanding cases by at least 50% by the first performance reporting deadline of September 30, 2021.

Since the beginning of this 3 year reporting period (10/01/2020), a total of 270 complaints have been investigated. Of those 270 investigated complaints (includes 10 AMC complaints), 110 involved contractor review assignments using the list of on-boarded CRs. Of the total investigated complaints, 266 complaints have been closed and 4 complaints have been referred to the Attorney General (AG).

Listing of Performance Measures of All Grants

Agency: IFA Department of Insurance Financial Institutions

Title: #N/A

AFIS Grant No: 270101

CFDA: 93.511

Grantor:

Periodic: One-Time

Start Date: 8/09/2010

End Date: 9/30/2011

Type of Grant: Other

If Other, Explain: Project Grant

Fed. % or \$ Cap: 100%

Source of Match: Not applicable - no state/local match.

AFIS fund number where the grant is maintained: ID2000

Administrative costs are permitted to be paid using this federal money:

Is this American Recovery and Reinvestment Act money (Stimulus)? No

Is this from 2020 federal stimulus funding? No

Description:

Performance Measure: This grant is no longer active.

FY 2022	FY 2023	FY 2024	FY 2025
0	0	0	0

Performance Measure Description:

This grant is no longer active.

Performance Measure: This grant is no longer active.

FY 2022	FY 2023	FY 2024	FY 2025
0	0	0	0

Performance Measure Description:

This grant is no longer active.

Listing of Performance Measures of All Grants

Agency: IFA Department of Insurance Financial Institutions

Title: State Appraiser Agency Support Grants

AFIS Grant No: IDA21002 **CFDA:** 38.006 **Grantor:** State Appraiser Agency Support Grants

Periodic: One-Time **Start Date:** 10/01/2020 **End Date:** 9/30/2023

Type of Grant: Pass-Through Funding **If Other, Explain:**

Fed. % or \$ Cap: **Source of Match:**

AFIS fund number where the grant is maintained: ID2000 **Administrative costs are permitted to be paid using this federal money:**

Is this American Recovery and Reinvestment Act money (Stimulus)? No

Is this from 2020 federal stimulus funding? No

Description: To provide State Appraiser Regulatory Agencies with funds to advance the appraiser regulatory field, including, but not limited to, developing and attending trainings, improving appraiser credentialing and investigation and complaint processes, and expanding credentialing opportunities in under-served markets and other activities.

Performance Measure: The percentage of appraisal complaint investigations completed within 60 days of assignment.

FY 2022	FY 2023	FY 2024	FY 2025
61%	0%	0%	0%

Performance Measure Description:

To track the rate of decline in the backlog of complaints to ensure we are meeting our goal, which is a decline in the number of outstanding cases by at least 50% by the first performance reporting deadline of September 30, 2021.

Since the beginning of this 3 year reporting period (10/01/2020), a total of 270 complaints have been investigated. Of those 270 investigated complaints (includes 10 AMC complaints), 110 involved contractor review assignments using the list of on-boarded CRs. Of the total investigated complaints, 266 complaints have been closed and 4 complaints have been referred to the Attorney General (AG).

Listing of Performance Measures of All Grants

Agency: IFA Department of Insurance Financial Institutions

Title: #N/A

AFIS Grant No: 270201

CFDA: 93.511

Grantor:

Periodic: One-Time

Start Date: 10/01/2012

End Date: 9/30/2016

Type of Grant: Other

If Other, Explain: Project Grant

Fed. % or \$ Cap: 100%

Source of Match: Not applicable - no state/local match.

AFIS fund number where the grant is maintained: ID2000

Administrative costs are permitted to be paid using this federal money:

Is this American Recovery and Reinvestment Act money (Stimulus)? No

Is this from 2020 federal stimulus funding? No

Description:

Performance Measure: This grant is no longer active.

FY 2022	FY 2023	FY 2024	FY 2025
0	0	0	0

Performance Measure Description:

This grant is no longer active.

Listing of Performance Measures of All Grants

Agency: IFA Department of Insurance Financial Institutions

Title: #N/A

AFIS Grant No: 270401

CFDA: 93.511

Grantor:

Periodic: One-Time

Start Date: 9/19/2014

End Date: 3/31/2019

Type of Grant: Other

If Other, Explain: Project grant

Fed. % or \$ Cap: 100%

Source of Match: Not applicable - 100% federal

AFIS fund number where the grant is maintained: ID2000

Administrative costs are permitted to be paid using this federal money:

Is this American Recovery and Reinvestment Act money (Stimulus)? No

Is this from 2020 federal stimulus funding? No

Description:

Performance Measure: This grant is no longer active.

FY 2022	FY 2023	FY 2024	FY 2025
0	0	0	0

Performance Measure Description:

This grant is no longer active.

Sources & Uses Details of All Grants

Agency:	IFA Department of Insurance Financial Institutions		
Grant Title:	State Appraiser Regulatory Agency Support Grant		
AFIS Grant #:	IDA21002	CFDA:	38.006

	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
FTE Positions	1.0	1.0	-
Beginning Balance	123.7	0.0	(28.6)
Revenues			
New Federal Revenue	-	-	-
Pass Through Funds (From Other State Agencies)	-	-	-
Transfers and Other Funds (In)	-	-	-
Total Revenue	-	-	-
Expenditures			
Personal Services	71.0	19.3	-
Employee Related Expenses	34.4	8.3	-
Professional and Outside Services	17.3	1.0	-
Travel In-State	-	-	-
Travel Out-of-State	-	-	-
Food	-	-	-
Pass Through Funds (To Other State Agencies)	-	-	-
Pass Through Funds (To Non-State Agencies)	-	-	-
Aid to Individuals	-	-	-
Other Operating Expenses	1.0	0.1	-
Land Acquisition and Capital Projects	-	-	-
Capital and Non Capital Equipment	-	-	-
Cost Allocation / Indirect Costs	-	-	-
Transfers and Refunds (Out)	-	-	-
Total Expenditures	123.7	28.6	-
Ending Balance	0.0	(28.6)	(28.6)

Federal Funds Sources & Uses Summary of all Federal Funds Grants

Agency: IFA Department of Insurance Financial Institutions

	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
FTE Positions	1.0	1.0	-
Beginning Balance	123.7	0.0	(28.6)
Revenues			
New Federal Revenue	-	-	-
Pass Through Funds (From Other State Agencies)	-	-	-
Transfers and Other Funds (In)	-	-	-
Total Revenue	-	-	-
Expenditures			
Personal Services	71.0	19.3	-
Employee Related Expenses	34.4	8.3	-
Professional and Outside Services	17.3	1.0	-
Travel In-State	-	-	-
Travel Out-of-State	-	-	-
Food	-	-	-
Pass Through Funds (To Other State Agencies)	-	-	-
Pass Through Funds (To Non-State Agencies)	-	-	-
Aid to Individuals	-	-	-
Other Operating Expenses	1.0	0.1	-
Land Acquisition and Capital Projects	-	-	-
Capital and Non Capital Equipment	-	-	-
Cost Allocation / Indirect Costs	-	-	-
Transfers and Refunds (Out)	-	-	-
Total Expenditures	123.7	28.6	-
Ending Balance	0.0	(28.6)	(28.6)

Listing of All Federal Funds by Grant

Agency:	IFA Department of Insurance Financial Institutions				
Title:	State Appraiser Regulatory Agency Support Grant				
AFIS Grant No:	IDA21002	CFDA:	38.006	Grantor:	State Appraiser Agency Support Grants
Periodic:	One-Time	Start Date:	10/01/2020	End Date:	9/30/2023
Type of Grant:	Not Used	If Other, Explain:			
Fed. % or \$ Cap:	100%	Source of Match:			
AFIS fund number where the grant is maintained:		ID2000		Administrative costs are permitted to be paid using this federal money:	<input checked="" type="checkbox"/>
Is this American Recovery and Reinvestment Act money (Stimulus)?		No			
Is this from 2020 federal stimulus funding?		No			
Description:	To provide State Appraiser Regulatory Agencies with funds to advance the appraiser regulatory field, including, but not limited to, developing and attending trainings, improving appraiser credentialing and investigation and complaint processes, and expanding credentialing opportunities in under-served markets and other activities.				
Title:	#N/A				
AFIS Grant No:	270101	CFDA:	93.511	Grantor:	
Periodic:	One-Time	Start Date:	8/09/2010	End Date:	9/30/2011
Type of Grant:	Other	If Other, Explain: Project Grant			
Fed. % or \$ Cap:	100%	Source of Match: Not applicable - no state/local match.			
AFIS fund number where the grant is maintained:		ID2000		Administrative costs are permitted to be paid using this federal money:	<input type="checkbox"/>
Is this American Recovery and Reinvestment Act money (Stimulus)?		No			
Is this from 2020 federal stimulus funding?		No			
Description:					
Title:	State Appraiser Agency Support Grants				
AFIS Grant No:	IDA21002	CFDA:	38.006	Grantor:	State Appraiser Agency Support Grants
Periodic:	One-Time	Start Date:	10/01/2020	End Date:	9/30/2023
Type of Grant:	Pass-Through Funding	If Other, Explain:			
Fed. % or \$ Cap:		Source of Match:			
AFIS fund number where the grant is maintained:		ID2000		Administrative costs are permitted to be paid using this federal money:	<input type="checkbox"/>
Is this American Recovery and Reinvestment Act money (Stimulus)?		No			
Is this from 2020 federal stimulus funding?		No			
Description:	To provide State Appraiser Regulatory Agencies with funds to advance the appraiser regulatory field, including, but not limited to, developing and attending trainings, improving appraiser credentialing and investigation and complaint processes, and expanding credentialing opportunities in under-served markets and other activities.				

Listing of All Federal Funds by Grant

Agency:	IFA	Department of Insurance Financial Institutions		
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Title:	#N/A				
AFIS Grant No:	270201	CFDA:	93.511	Grantor:	
Periodic:	One-Time	Start Date:	10/01/2012	End Date:	9/30/2016
Type of Grant:	Other	If Other, Explain:	Project Grant		
Fed. % or \$ Cap:	100%	Source of Match:	Not applicable - no state/local match.		
AFIS fund number where the grant is maintained:		ID2000	Administrative costs are permitted to be paid using this federal money:		
Is this American Recovery and Reinvestment Act money (Stimulus)?	No				<input type="checkbox"/>
Is this from 2020 federal stimulus funding?	No				
Description:					

Title:	#N/A				
AFIS Grant No:	270401	CFDA:	93.511	Grantor:	
Periodic:	One-Time	Start Date:	9/19/2014	End Date:	3/31/2019
Type of Grant:	Other	If Other, Explain:	Project grant		
Fed. % or \$ Cap:	100%	Source of Match:	Not applicable - 100% federal		
AFIS fund number where the grant is maintained:		ID2000	Administrative costs are permitted to be paid using this federal money:		
Is this American Recovery and Reinvestment Act money (Stimulus)?	No				<input type="checkbox"/>
Is this from 2020 federal stimulus funding?	No				
Description:					

State of Arizona Federal Funds Statement

Transmittal Statement

Department of Insurance Financial Institutions

Governor Hobbs:

This and the accompanying schedules constitute the Statement of Federal Funds for this agency for Fiscal Year 2025.

To the best of my knowledge all statements and explanations submitted are true and correct.

Agency Head Signature *Barbara Richardson*

Grant Name	FY 2023 Expenditures	FY 2024 Expenditures	FY 2025 Expenditures
State Appraiser Regulatory Agency Support Grant	123.69	28.62	0

Agency Summary

Department of Insurance Financial Institutions

Barbara Richardson , Director

Phone: 602.364.3745

AZ Const. art. XV § 5; A.R.S. Titles 6, 20

Mission:

To protect consumers, provide certainty on regulatory matters, and perform with efficiency and integrity as good stewards of taxpayer resources.

Description:

The Department of Insurance and Financial Institutions (DIFI) licenses, monitors, investigates, examines, and facilitates compliance of, and ensures the safety and soundness of, regulated entities; helps resolve consumer complaints against financial-services and insurance entities; takes action in response to violations of law; encourages competition, innovation and economic development; collects taxes and assessments that support State government operations; combats auto theft and insurance fraud through public awareness campaigns; and provides funding for law enforcement and dedicated prosecutors.

Agency Summary: (\$ Thousands)

Program	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
▶ Policy and Administration	7,928.3	8,534.6	8,534.6
▶ Solvency Oversight	4,717.9	4,215.0	4,215.0
▶ Consumer Protection	3,448.5	3,919.2	4,375.2
▶ Insurance Fraud Investigation and Deterrence	1,839.5	1,939.1	2,121.0
▶ Automobile Theft Authority	5,911.0	6,604.4	6,777.4
Agency Total:	23,845.3	25,212.3	26,023.2

Funding:

	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
General Fund	7,751.7	8,548.5	8,730.4
Other Appropriated Funds	11,137.3	12,348.4	12,977.4
Other Non-Appropriated Funds	4,956.3	4,315.4	4,315.4
Total Funding	23,845.3	25,212.3	26,023.2

FTE Positions	148.3	137.8	137.8
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5 Year Plan

Description:

Resource Assumptions

	FY 2026 Estimate	FY 2027 Estimate	FY 2028 Estimate
Full-Time Equivalent Positions	155.4	155.4	155.4
General Fund	8,548,500.0	8,548,500.0	8,548,500.0
Other Appropriated Funds	12,398,700.0	12,398,700.0	12,398,700.0
Non-Appropriated Funds	19,612,700.0	19,612,700.0	19,612,700.0
Federal Funds	-	-	-

Program Summary
Policy and Administration (IFA-1-0)
Jon Savary, Deputy Director
Phone: 6023643764
A.R.S. Title 20; A.R.S. Title 20; AZ Const. Art. 15, § 5

Mission:

To provide leadership and support toward the Department efficiently and effectively achieving its mission.

Description:

This program leads, manages the resources of, and provides support to the Department towards its successfully fulfilling its mission. It reaches out to major constituencies (industry, consumers and media) and works to improve processes, systems, laws, rules, administrative and regulatory policies and procedures; coordinates regulatory efforts with fellow state insurance regulators through participation in the National Association of Insurance Commissioners, and with other state and federal agencies; coordinates enforcement efforts with the Attorney General's Office and issues administrative orders; develops and oversees strategic and operational plans and budgets; coordinates responses to public records requests and subpoenas; and provides agency accounting, budgeting, payroll, personnel, facilities management, risk management, telecommunication, information technology, mail processing and courier services.

Funding:

	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
General Fund	5,912.1	6,609.4	6,609.4
Other Appropriated Funds	1,928.3	1,887.7	1,887.7
Other Non-Appropriated Funds	87.9	37.5	37.5
Total Funding	7,928.3	8,534.6	8,534.6
FTE Positions	63.0	53.8	53.8

◆ **Goal 1** To provide a satisfying work environment for agency employees.

	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
Performance Measures					
Percentage of agency employees surveyed who responded they were "satisfied" with their jobs	78	80	84	80	80

Program Summary
Solvency Oversight (IFA-2-0)
Kurt A. Regner, Deputy Assistant Director
Phone: 6023643963
A.R.S. Titles 6 and 20; AZ Const. Art. 15, § 5 and Art. 14, § 16

Mission:

To promote the ability of authorized insurers and state-chartered financial institutions to perform their financial obligations under insurance policies, trust agreements and banking contracts with customers.

Description:

The program monitors the solvency of insurers and state-chartered financial institutions (banks, credit unions and trust companies) doing business in Arizona through field examination and analysis of financial and transactional filings, administers the receiverships of insolvent insurers, financial institutions and financial enterprises, and pays certain claims owed by insolvent insurers to Arizona residents.

Funding:

	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
General Fund	0.1	-	-
Other Appropriated Funds	0.0	-	-
Other Non-Appropriated Funds	4,717.8	4,215.0	4,215.0
Total Funding	4,717.9	4,215.0	4,215.0

FTE Positions	17.2	14.1	14.1
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- ◆ **Goal 1** To efficiently and effectively analyze and examine insurer and financial-institution financial filings and records.

Performance Measures	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
Number of new domestic receiverships	0	0	0	0	0
Number of open insurer receiverships (at any point in the fiscal year)	3	3	3	3	3
Percentage of domestic multi-state insurer financial filings reviewed within timeframes prescribed by the National Association of Insurance Commissioners (NAIC).	100	95	97	95	95

Program Summary	
Consumer Protection (IFA-3-0)	
Maria G. Ailor, Assistant Director	
Phone: 6023644922	
A.R.S. Titles 6 and 20	

Mission:

To assist, inform, and protect Arizona insurance and financial services consumers.

Description:

This program provides information and assistance to the public on a broad range of insurance- and financial-services-related issues; administers health care appeals; and performs investigations, examinations, and market surveillance in furtherance of consumer interests.

Funding:

	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
Other Appropriated Funds	3,298.0	3,856.3	4,312.3
Other Non-Appropriated Funds	150.6	62.9	62.9
Total Funding	3,448.5	3,919.2	4,375.2
FTE Positions	51.7	53.6	53.6

- ◆ **Goal 1** To efficiently and effectively review companies, rates, policies, contracts and filings to verify they comply with Arizona law.

Performance Measures	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
Percentage of (Target 95%) review all required rate and form filings reviewed within 45 days. Excluding long-term care rate filings	98.0	95.0	96.0	95.0	95.0

- ◆ **Goal 2** To timely investigate and effectively monitor compliance of financial enterprises with laws that protect consumers and taxpayers.

Performance Measures	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
Days required to close a complaint about an appraiser or appraisal management company from date received.	61.4	68.0	100.0	100.0	100.0
% of mortgage and financial services examination reports issued within accreditation timeframes	99.0	85.0	77.0	100.0	100.0

Program Summary	
Insurance Fraud Investigation and Deterrence (IFA-4-0)	
Paul Hill, Assistant Director	
Phone: 6023642140	
A.R.S. §§ 20-466 et seq.	

Mission:

To investigate reported criminal violations of the insurance laws and regulations

Description:

The Insurance Fraud Division (IFD) conducts criminal investigations into reported violations of the insurance laws committed against Arizona citizens and the insurance industry doing business in Arizona. IFD also promotes insurance fraud awareness within the insurance industry, law enforcement community and to the citizens of Arizona.

Funding:

	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
General Fund	1,839.5	1,939.1	2,121.0
Total Funding	1,839.5	1,939.1	2,121.0
FTE Positions	14.1	14.1	14.1

- ◆ **Goal 1** To protect the physical and financial safety of Arizona citizens and ensure the insurance industry has a fair and equitable environment to do business in Arizona.

Performance Measures	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
Number of convictions from submitted cases	15	20	7	15	20
Number of fraud incident referrals received.	3,940	4,255	4,026	4,146	4,267
Number of investigated cases submitted for prosecution.	15	25	17	25	30
Number of investigations completed from referrals	105	225	153	129	140
Number of warning letters issued to fraud suspects.	48	57	38	45	50
Total restitution requested from investigations submitted for prosecution (in thousands of dollars)	380,917.0	1,000.0	139,557.0	200,000.0	200,000.0

Program Summary	
Licensing (IFA-5-0)	
Steven P. Fromholtz, Division Manager	
Phone: 6023644455	
A.R.S. Titles 6, 20, 32 Ch. 9, 44 Ch. 2.1; AZ Const. Art. 15, § 5	

Mission:

To provide efficient and highly responsive insurance licensing services while restricting license issuance and renewal to qualified candidates.

Description:

This program issues licenses regulated under A.R.S. Titles 6, 20, 32 Ch. 9 and 44 Ch. 2.1, to candidates that meet requirements, and oversees the administration of related activities, such as prelicense and continuing education and pre-license examinations.

Funding:

FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
-	-	-

- ◆ **Goal 1** To efficiently and effectively process professional service license applications.

Performance Measures	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
Average calendar days required to render a decision on an insurance professional license application/renewal application from date received to date decision rendered (lead time).	1.2	1.5	1.5	2.5	2.5
Percentage of financial enterprise for license/renewal applications processed within 15 days.	78.0	85.0	97.0	95.0	95.0
Percentage of insurance professional license/renewal applications submitted online	99.5	99.0	99.0	99.0	99.0

Program Summary	
Automobile Theft Authority (IFA-6-0)	
James McGuffin, Assistant Director	
Phone: 6023642888	
A.R.S. § 41-3451	

Mission:

To combat and prevent auto theft crimes in Arizona through a cooperative effort by supporting law enforcement efforts, vertical prosecution, and public awareness programs.

Description:

The Automobile Theft Authority administers statewide grants to law enforcement and criminal justice agencies for the most effective and efficient enforcement, prosecution, and prevention strategies to combat auto theft crimes across Arizona.

Funding:

	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
Other Appropriated Funds	5,911.0	6,604.4	6,777.4
Total Funding	5,911.0	6,604.4	6,777.4

FTE Positions	2.3	2.3	2.3
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- ◆ **Goal 1** To reduce the incidence of statewide auto theft crimes supporting innovative and effective reduction and prevention programs.

Performance Measures	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
Arizona vehicle theft rate (# per 100,000 population)	241.7	244.1	270.5	275.0	280.0
Number of vehicles stolen statewide.	17,937	18,200	20,215	21,059	21,790

- ◆ **Goal 2** To deter vehicle theft and associated criminal activity through effective and proactive law enforcement strategies.

Performance Measures	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
For every \$1 dollar funded by the ATA, this \$ amount of recovered by the Vehicle Theft Task Force.	5.59	5.60	7.54	7.50	7.50
Number of AZ Vehicle Theft Task Force FTE positions funded by the AATA.	24.0	24.0	24.0	24.0	24.0
Number of "chop shops" investigated by the Vehicle Theft Task Force.	13	14	11	15	15
Number of felony arrests by the Vehicle Theft Task Force.	366	370	399	410	415
Number of insurance fraud investigations by the Vehicle Theft Task Force.	18	19	48	20	20
Number of stolen vehicles recovered by the Vehicle Theft Task Force.	1,661	1,720	1,905	1,920	1,925
Number of trainings and investigative support provided by the Vehicle Theft Task Force.	3,215	3,250	3,392	3,410	3,420

- ◆ **Goal 2** To deter vehicle theft and associated criminal activity through effective and proactive law enforcement strategies.

Performance Measures	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
Value of stolen vehicles recovered by the Vehicle Theft Task Force (in \$ millions).	26.4	26.7	36.1	36.2	36.3

- ◆ **Goal 3** To assure deterrence of auto theft and related criminal activity through effective auto theft (vertical) prosecution.

Performance Measures	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
Number of ATA grants awarded to Arizona agencies.	10	11	12	13	14
Number of auto theft (vertical) prosecutors funded by AATA Grants.	8	8	8	10	10
Number of counties participating in the AATA's Vertical Prosecution Grant program.	6	6	6	6	6
Number of grant agency contractual compliance reviews.	6	6	6	6	6
Number of vehicle theft convictions by AATA-grant-funded vertical prosecutors.	909	925	1,733	1,800	1,850

Agency 5 Year Plan

IFA Department of Insurance Financial Institutions

Description:

Resource Assumptions

	FY 2026 Estimate	FY 2027 Estimate	FY 2028 Estimate
Full-Time Equivalent Positions	155.4	155.4	155.4
General Fund	8,548,500.0	8,548,500.0	8,548,500.0
Other Appropriated Funds	12,398,700.0	12,398,700.0	12,398,700.0
Non-Appropriated Funds	19,612,700.0	19,612,700.0	19,612,700.0
Federal Funds	-	-	-

AGENCY SUMMARY

Program: IFA Department of Insurance Financial Institutions

Director: Barbara Richardson , Director

Phone: Office of the Director 602.364.3745

Statute: AZ Const. art. XV § 5; A.R.S. Titles 6, 20

Plan Contact: Jon Savary, Deputy Director

100 N. 15th Ave #261 Phoenix, AZ 85007 602.364.3764

Mission:

To protect consumers, provide certainty on regulatory matters, and perform with efficiency and integrity as good stewards of taxpayer resources.

Description:

The Department of Insurance and Financial Institutions (DIFI) licenses, monitors, investigates, examines, and facilitates compliance of, and ensures the safety and soundness of, regulated entities; helps resolve consumer complaints against financial-services and insurance entities; takes action in response to violations of law; encourages competition, innovation and economic development; collects taxes and assessments that support State government operations; combats auto theft and insurance fraud through public awareness campaigns; and provides funding for law enforcement and dedicated prosecutors.

AGENCY SUMMARY

Program: IFA Department of Insurance Financial Institutions
Director: Barbara Richardson , Director
Phone: Office of the Director 602.364.3745
Statute: AZ Const. art. XV § 5; A.R.S. Titles 6, 20
Plan Contact: Jon Savary, Deputy Director
 100 N. 15th Ave #261 Phoenix, AZ 85007 602.364.3764

PROGRAM SUMMARY

Program: Policy and Administration (IFA-1-0)
Contact: Jon Savary, Deputy Director
Phone: Phone: 6023643764
Statute: A.R.S. Title 20; A.R.S. Title 20; AZ Const. Art. 15, § 5

Mission:

To provide leadership and support toward the Department efficiently and effectively achieving its mission.

Description:

This program leads, manages the resources of, and provides support to the Department towards its successfully fulfilling its mission. It reaches out to major constituencies (industry, consumers and media) and works to improve processes, systems, laws, rules, administrative and regulatory policies and procedures; coordinates regulatory efforts with fellow state insurance regulators through participation in the National Association of Insurance Commissioners, and with other state and federal agencies; coordinates enforcement efforts with the Attorney General's Office and issues administrative orders; develops and oversees strategic and operational plans and budgets; coordinates responses to public records requests and subpoenas; and provides agency accounting, budgeting, payroll, personnel, facilities management, risk management, telecommunication, information technology, mail processing and courier services.

◆ **Goal 1 To provide a satisfying work environment for agency employees.**

Performance Measures:

ML	Budget	Type	FY 2022	FY 2023	FY 2023	FY 2024	FY 2025	
			Actual	Estimate	Actual	Estimate	Estimate	
X		QL	Percentage of agency employees surveyed who responded they were "satisfied" with their jobs	78	80	84	80	80

AGENCY SUMMARY

Program: IFA Department of Insurance Financial Institutions
Director: Barbara Richardson , Director
Phone: Office of the Director 602.364.3745
Statute: AZ Const. art. XV § 5; A.R.S. Titles 6, 20
Plan Contact: Jon Savary, Deputy Director
 100 N. 15th Ave #261 Phoenix, AZ 85007 602.364.3764

PROGRAM SUMMARY

Program: Solvency Oversight (IFA-2-0)
Contact: Kurt A. Regner, Deputy Assistant Director
Phone: Phone: 6023643963
Statute: A.R.S. Titles 6 and 20; AZ Const. Art. 15, § 5 and Art. 14, § 16

Mission:

To promote the ability of authorized insurers and state-chartered financial institutions to perform their financial obligations under insurance policies, trust agreements and banking contracts with customers.

Description:

The program monitors the solvency of insurers and state-chartered financial institutions (banks, credit unions and trust companies) doing business in Arizona through field examination and analysis of financial and transactional filings, administers the receiverships of insolvent insurers, financial institutions and financial enterprises, and pays certain claims owed by insolvent insurers to Arizona residents.

◆ **Goal 1 To efficiently and effectively analyze and examine insurer and financial-institution financial filings and records.**

Performance Measures:

ML	Budget	Type	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
X		EF Percentage of domestic multi-state insurer financial filings reviewed within timeframes prescribed by the National Association of Insurance Commissioners (NAIC).	100	95	97	95	95
X		OC Number of new domestic receiverships	0	0	0	0	0
X		OC Number of open insurer receiverships (at any point in the fiscal year)	3	3	3	3	3

AGENCY SUMMARY

Program: IFA Department of Insurance Financial Institutions
Director: Barbara Richardson , Director
Phone: Office of the Director 602.364.3745
Statute: AZ Const. art. XV § 5; A.R.S. Titles 6, 20
Plan Contact: Jon Savary, Deputy Director
 100 N. 15th Ave #261 Phoenix, AZ 85007 602.364.3764

PROGRAM SUMMARY

Program: Consumer Protection (IFA-3-0)
Contact: Maria G. Ailor, Assistant Director
Phone: Phone: 6023644922
Statute: A.R.S. Titles 6 and 20

Mission:

To assist, inform, and protect Arizona insurance and financial services consumers.

Description:

This program provides information and assistance to the public on a broad range of insurance- and financial-services-related issues; administers health care appeals; and performs investigations, examinations, and market surveillance in furtherance of consumer interests.

◆ **Goal 1 To efficiently and effectively review companies, rates, policies, contracts and filings to verify they comply with Arizona law.**

Performance Measures:			FY 2022	FY 2023	FY 2023	FY 2024	FY 2025
ML	Budget	Type	Actual	Estimate	Actual	Estimate	Estimate
X		EF Percentage of (Target 95%) review all required rate and form filings reviewed within 45 days. Excluding long-term care rate filings	98.0	95.0	96.0	95.0	95.0

◆ **Goal 2 To timely investigate and effectively monitor compliance of financial enterprises with laws that protect consumers and taxpayers.**

Performance Measures:			FY 2022	FY 2023	FY 2023	FY 2024	FY 2025
ML	Budget	Type	Actual	Estimate	Actual	Estimate	Estimate
X		QL % of mortgage and financial services examination reports issued wthin accreditation timeframes	99.0	85.0	77.0	100.0	100.0
X	X	EF Days required to close a complaint about an appraiser or appraisal management company from date received.	61.4	68.0	100.0	100.0	100.0

AGENCY SUMMARY

Program: IFA Department of Insurance Financial Institutions
Director: Barbara Richardson , Director
Phone: Office of the Director 602.364.3745
Statute: AZ Const. art. XV § 5; A.R.S. Titles 6, 20
Plan Contact: Jon Savary, Deputy Director
 100 N. 15th Ave #261 Phoenix, AZ 85007 602.364.3764

PROGRAM SUMMARY

Program: Insurance Fraud Investigation and Deterrence (IFA-4-0)
Contact: Paul Hill, Assistant Director
Phone: Phone: 6023642140
Statute: A.R.S. §§ 20-466 et seq.

Mission:

To investigate reported criminal violations of the insurance laws and regulations

Description:

The Insurance Fraud Division (IFD) conducts criminal investigations into reported violations of the insurance laws committed against Arizona citizens and the insurance industry doing business in Arizona. IFD also promotes insurance fraud awareness within the insurance industry, law enforcement community and to the citizens of Arizona.

- ◆ **Goal 1 To protect the physical and financial safety of Arizona citizens and ensure the insurance industry has a fair and equitable environment to do business in Arizona.**

Performance Measures:			FY 2022	FY 2023	FY 2023	FY 2024	FY 2025
ML	Budget	Type	Actual	Estimate	Actual	Estimate	Estimate
X		IP Number of fraud incident referrals received.	3,940	4,255	4,026	4,146	4,267
X		OC Number of convictions from submitted cases	15	20	7	15	20
X		OC Total restitution requested from investigations submitted for prosecution (in thousands of dollars)	380,917.0	1,000.0	139,557.0	200,000.0	200,000.0
X		OP Number of investigated cases submitted for prosecution.	15	25	17	25	30
X		OP Number of investigations completed from referrals	105	225	153	129	140
X		OP Number of warning letters issued to fraud suspects.	48	57	38	45	50

AGENCY SUMMARY

Program: IFA Department of Insurance Financial Institutions
Director: Barbara Richardson , Director
Phone: Office of the Director 602.364.3745
Statute: AZ Const. art. XV § 5; A.R.S. Titles 6, 20
Plan Contact: Jon Savary, Deputy Director
 100 N. 15th Ave #261 Phoenix, AZ 85007 602.364.3764

PROGRAM SUMMARY

Program: Licensing (IFA-5-0)
Contact: Steven P. Fromholtz, Division Manager
Phone: Phone: 6023644455
Statute: A.R.S. Titles 6, 20, 32 Ch. 9, 44 Ch. 2.1; AZ Const. Art. 15, § 5

Mission:

To provide efficient and highly responsive insurance licensing services while restricting license issuance and renewal to qualified candidates.

Description:

This program issues licenses regulated under A.R.S. Titles 6, 20, 32 Ch. 9 and 44 Ch. 2.1, to candidates that meet requirements, and oversees the administration of related activities, such as prelicense and continuing education and pre-license examinations.

◆ **Goal 1 To efficiently and effectively process professional service license applications.**

Performance Measures:			FY 2022	FY 2023	FY 2023	FY 2024	FY 2025
ML	Budget	Type	Actual	Estimate	Actual	Estimate	Estimate
X		EF Percentage of financial enterprise for license/renewal applications processed within 15 days.	78.0	85.0	97.0	95.0	95.0
X	X	EF Average calendar days required to render a decision on an insurance professional license application/ renewal application from date received to date decision rendered (lead time).	1.2	1.5	1.5	2.5	2.5
X	X	EF Percentage of insurance professional license/renewal applications submitted online	99.5	99.0	99.0	99.0	99.0

AGENCY SUMMARY

Program: IFA Department of Insurance Financial Institutions
Director: Barbara Richardson , Director
Phone: Office of the Director 602.364.3745
Statute: AZ Const. art. XV § 5; A.R.S. Titles 6, 20
Plan Contact: Jon Savary, Deputy Director
 100 N. 15th Ave #261 Phoenix, AZ 85007 602.364.3764

PROGRAM SUMMARY

Program: Automobile Theft Authority (IFA-6-0)
Contact: James McGuffin, Assistant Director
Phone: Phone: 6023642888
Statute: A.R.S. § 41-3451

Mission:

To combat and prevent auto theft crimes in Arizona through a cooperative effort by supporting law enforcement efforts, vertical prosecution, and public awareness programs.

Description:

The Automobile Theft Authority administers statewide grants to law enforcement and criminal justice agencies for the most effective and efficient enforcement, prosecution, and prevention strategies to combat auto theft crimes across Arizona.

◆ **Goal 1 To reduce the incidence of statewide auto theft crimes supporting innovative and effective reduction and prevention programs.**

Performance Measures:			FY 2022	FY 2023	FY 2023	FY 2024	FY 2025
ML	Budget	Type	Actual	Estimate	Actual	Estimate	Estimate
X		OC Number of vehicles stolen statewide.	17,937	18,200	20,215	21,059	21,790
X	X	OC Arizona vehicle theft rate (# per 100,000 population)	241.7	244.1	270.5	275.0	280.0

◆ **Goal 2 To deter vehicle theft and associated criminal activity through effective and proactive law enforcement strategies.**

Performance Measures:			FY 2022	FY 2023	FY 2023	FY 2024	FY 2025
ML	Budget	Type	Actual	Estimate	Actual	Estimate	Estimate
X		IP Number of AZ Vehicle Theft Task Force FTE positions funded by the AATA.	24.0	24.0	24.0	24.0	24.0
X		OC For every \$1 dollar funded by the ATA, this \$ amount of recovered by the Vehicle Theft Task Force.	5.59	5.60	7.54	7.50	7.50
X		OC Number of trainings and investigative support provided by the Vehicle Theft Task Force.	3,215	3,250	3,392	3,410	3,420
X		OP Number of "chop shops" investigated by the Vehicle Theft Task Force.	13	14	11	15	15
X		OP Number of felony arrests by the Vehicle Theft Task Force.	366	370	399	410	415

AGENCY SUMMARY

Program: IFA Department of Insurance Financial Institutions

Director: Barbara Richardson , Director

Phone: Office of the Director 602.364.3745

Statute: AZ Const. art. XV § 5; A.R.S. Titles 6, 20

Plan Contact: Jon Savary, Deputy Director

100 N. 15th Ave #261 Phoenix, AZ 85007 602.364.3764

◆ **Goal 2 To deter vehicle theft and associated criminal activity through effective and proactive law enforcement strategies.**

Performance Measures:			FY 2022	FY 2023	FY 2023	FY 2024	FY 2025
ML	Budget	Type	Actual	Estimate	Actual	Estimate	Estimate
X		OP Number of insurance fraud investigations by the Vehicle Theft Task Force.	18	19	48	20	20
X		OP Number of stolen vehicles recovered by the Vehicle Theft Task Force.	1,661	1,720	1,905	1,920	1,925
X		OP Value of stolen vehicles recovered by the Vehicle Theft Task Force (in \$ millions).	26.4	26.7	36.1	36.2	36.3

◆ **Goal 3 To assure deterrence of auto theft and related criminal activity through effective auto theft (vertical) prosecution.**

Performance Measures:			FY 2022	FY 2023	FY 2023	FY 2024	FY 2025
ML	Budget	Type	Actual	Estimate	Actual	Estimate	Estimate
X		EF Number of grant agency contractual compliance reviews.	6	6	6	6	6
X		IP Number of auto theft (vertical) prosecutors funded by AATA Grants.	8	8	8	10	10
X		IP Number of counties participating in the AATA's Vertical Prosecution Grant program.	6	6	6	6	6
X		OC Number of ATA grants awarded to Arizona agencies.	10	11	12	13	14
X		OC Number of vehicle theft convictions by AATA-grant-funded vertical prosecutors.	909	925	1,733	1,800	1,850

Budget Related Performance Measures
IFA Department of Insurance Financial Institutions

PROGRAM SUMMARY

Program: Consumer Protection (IFA-3-0)
Contact: Maria G. Ailor, Assistant Director 6023644922
2nd Contact: Frank Ceballos, Administrative Supervisor 6026631324
Statute: A.R.S. Titles 6 and 20

ML	Budget Type	Performance Measures	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
X	X	EF Days required to close a complaint about an appraiser or appraisal management company from date received.	61.4	68.0	100.0	100.0	100.0

PROGRAM SUMMARY

Program: Licensing (IFA-5-0)
Contact: Steven P. Fromholtz, Division Manager 6023644455
2nd Contact: Frank Ceballos, Administrative Supervisor 6026631324
Statute: A.R.S. Titles 6, 20, 32 Ch. 9, 44 Ch. 2.1; AZ Const. Art. 15, § 5

ML	Budget Type	Performance Measures	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
X	X	EF Average calendar days required to render a decision on an insurance professional license application/renewal application from date received to date decision rendered (lead time).	1.2	1.5	1.5	2.5	2.5
X	X	EF Percentage of insurance professional license/renewal applications submitted online	99.5	99.0	99.0	99.0	99.0

PROGRAM SUMMARY

Program: Automobile Theft Authority (IFA-6-0)
Contact: James McGuffin, Assistant Director 6023642888
2nd Contact: Frank Ceballos, Administrative Supervisor 6026631324
Statute: A.R.S. § 41-3451

ML	Budget Type	Performance Measures	FY 2022 Actual	FY 2023 Estimate	FY 2023 Actual	FY 2024 Estimate	FY 2025 Estimate
X	X	OC Arizona vehicle theft rate (# per 100,000 population)	241.7	244.1	270.5	275.0	280.0

Not in Master List

Goals without any Performance Measures Marked for inclusion in the Master List

The display of the footnote: * = Agency, Program, or Sub Program has no goals with publishable performance measures.

Explore Plans

P 0 IFA Department of Insurance Financial Institutions

P 1 IFA-1-0 Policy and Administration

G 1 IFA-G001 To provide a satisfying work environment for agency employees.

P 1 IFA-PM0001 Percentage of agency employees surveyed who responded they were "satisfied" with their jobs

S 1 IFA-1-1 Policy and Administration

S 2 IFA-1-2 SLI FY 2023 Salary Increase

P 1 IFA-2-0 Solvency Oversight

G 1 IFA-G002 To efficiently and effectively analyze and examine insurer and financial-institution financial filings and records.

P 1 IFA-PM0002 Percentage of domestic multi-state insurer financial filings reviewed within timeframes prescribed by the National Association of Insurance Commissioners (NAIC).

P 2 IFA-PM0003 Number of new domestic receiverships

P 3 IFA-PM0004 Number of open insurer receiverships (at any point in the fiscal year)

S 1 IFA-2-1 Solvency Oversight

P 1 IFA-3-0 Consumer Protection

G 1 IFA-G003 To efficiently and effectively review companies, rates, policies, contracts and filings to verify they comply with Arizona law.

P 1 IFA-PM0005 Percentage of (Target 95%) review all required rate and form filings reviewed within 45 days. Excluding long-term care rate filings

G 2 IFA-G004 To timely investigate and effectively monitor compliance of financial enterprises with laws that protect consumers and taxpayers.

P 1 IFA-PM0006 Days required to close a complaint about an appraiser or appraisal management company from date received.

P 2 IFA-PM0007 % of mortgage and financial services examination reports issued within accreditation timeframes

S 1 IFA-3-1 Consumer Protection

S 2 IFA-3-2 Mental Health Parity

P 1 IFA-4-0 Insurance Fraud Investigation and Deterrence

G 1 IFA-G005 To protect the physical and financial safety of Arizona citizens and ensure the insurance industry has a fair and equitable environment to do business in Arizona.

P 1 IFA-PM0008 Number of fraud incident referrals received.

P 2 IFA-PM0009 Number of investigations completed from referrals

P 3 IFA-PM0010 Number of investigated cases submitted for prosecution.

P 4 IFA-PM0011 Number of convictions from submitted cases

P 5 IFA-PM0012 Total restitution requested from investigations submitted for prosecution (in thousands of dollars)

P 6 IFA-PM0013 Number of warning letters issued to fraud suspects.

S 1 IFA-4-1 Insurance Fraud Investigation and Deterrence

S 2 IFA-4-2 SLI Insurance Fraud Unit

P 1 IFA-5-0 Licensing

G 1 IFA-G006 To efficiently and effectively process professional service license applications.

P 1 IFA-PM0014 Average calendar days required to render a decision on an insurance professional license application/renewal application from date received to date decision rendered (lead time).

P 2 IFA-PM0015 Percentage of insurance professional license/renewal applications submitted online

P 3 IFA-PM0016 Percentage of financial enterprise for license/renewal applications processed within 15 days.

S 1 IFA-5-1 Licensing

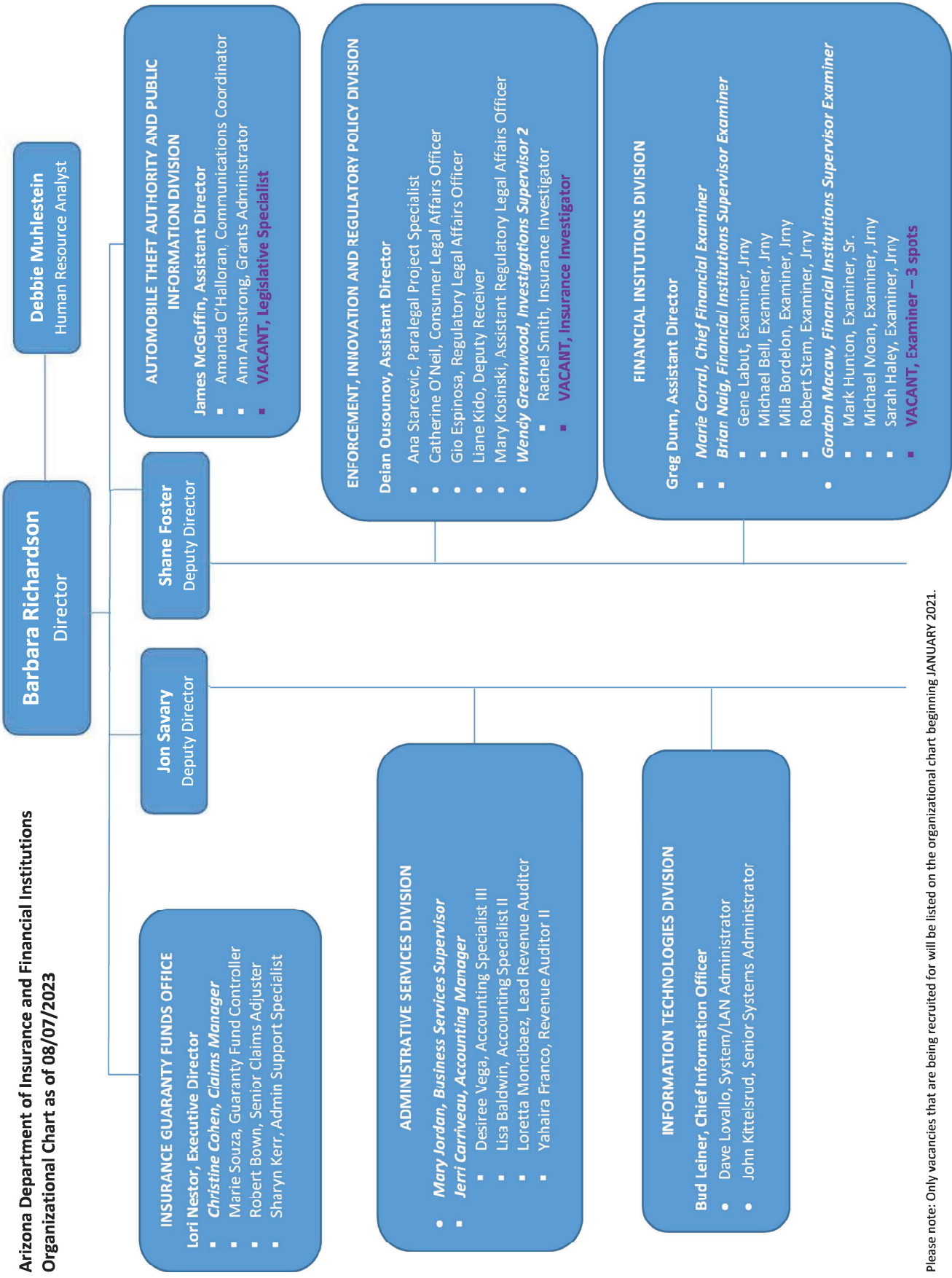
S 2 IFA-5-2 SLI Information Technology Upgrades

P 1 IFA-6-0 Automobile Theft Authority

Explore Plans

- G 1 IFA-G007 To reduce the incidence of statewide auto theft crimes supporting innovative and effective reduction and prevention programs.
 - P 1 IFA-PM0017 Number of vehicles stolen statewide.
 - P 2 IFA-PM0018 Arizona vehicle theft rate (# per 100,000 population)
- G 2 IFA-G008 To deter vehicle theft and associated criminal activity through effective and proactive law enforcement strategies.
 - P 1 IFA-PM0019 Number of AZ Vehicle Theft Task Force FTE positions funded by the AATA.
 - P 2 IFA-PM0020 Number of stolen vehicles recovered by the Vehicle Theft Task Force.
 - P 3 IFA-PM0021 Value of stolen vehicles recovered by the Vehicle Theft Task Force (in \$ millions).
 - P 4 IFA-PM0022 Number of felony arrests by the Vehicle Theft Task Force.
 - P 5 IFA-PM0023 Number of "chop shops" investigated by the Vehicle Theft Task Force.
 - P 6 IFA-PM0024 Number of insurance fraud investigations by the Vehicle Theft Task Force.
 - P 7 IFA-PM0025 Number of trainings and investigative support provided by the Vehicle Theft Task Force.
 - P 8 IFA-PM0026 For every \$1 dollar funded by the ATA, this \$ amount of recovered by the Vehicle Theft Task Force.
- G 3 IFA-G009 To assure deterrence of auto theft and related criminal activity through effective auto theft (vertical) prosecution.
 - P 1 IFA-PM0027 Number of ATA grants awarded to Arizona agencies.
 - P 2 IFA-PM0028 Number of auto theft (vertical) prosecutors funded by AATA Grants.
 - P 3 IFA-PM0029 Number of counties participating in the AATA's Vertical Prosecution Grant program.
 - P 4 IFA-PM0030 Number of vehicle theft convictions by AATA-grant-funded vertical prosecutors.
 - P 5 IFA-PM0031 Number of grant agency contractual compliance reviews.
- S 1 IFA-6-1 SLI Automobile Theft Authority
- S 2 IFA-6-2 SLI ATA Reimbursable Programs
- S 3 IFA-6-3 SLI ATA Vehicle Theft Task Force
- S 4 IFA-6-4 SLI Local Grants

**Arizona Department of Insurance and Financial Institutions
Organizational Chart as of 08/07/2023**



Please note: Only vacancies that are being recruited for will be listed on the organizational chart beginning JANUARY 2021.

Jon Savary
Deputy Director

Shane Foster
Deputy Director

PRODUCT FILING AND COMPLIANCE DIVISION

Erin Klug, Assistant Director

- Leanette Henagan, Mental Health Program Administrator
- **Sterling Gavette, Program Administrator III**
 - Brenda Evans, Senior Insurance Analyst
 - Ellen Migaller, Senior Insurance Analyst
 - Scott Geiger, Senior Insurance Analyst
 - Stacy Coleman, Senior Insurance Analyst
 - Steven Fekety, HCSO Compliance Administrator
- **Tom Zuppan, Property/Casualty Insurance Analyst Supervisor**
 - Brocke Lovallo, Senior Insurance Analyst
 - Kaylee Baumstark, Senior Insurance Analyst

FINANCIAL ENTERPRISES DIVISION

Tammy Seto, Assistant Director

- Jeffery Rahn, Staff Investigator
- Kelly Luteijn, Staff Investigator
- Nancy Inserra, Regulatory Compliance Office
- **Gabriela Macias, Chief Financial Examiner**
- **Carol Foster, Financial Enterprises Supervisor Examiner**
 - Erika Redd, Examiner, Jrny
 - Laura Reyes, Examiner, Entry
 - Liliana Larios, Examiner, Sr
 - Richard Fergus, Examiner, Sr
 - Sabrina Showers, Examiner, Jrny
 - Vanessa Duarte, Examiner, Jrny
 - **VACANT, Examiner**
 - **VACANT, Examiner**
- **Tawnya Webel, Financial Enterprises Supervisor Examiner**
 - Amber Eutsey, Examiner, Sr
 - Angela Sauers, Examiner, Jr
 - Fernando Dominguez, Examiner, Jrny
 - Maria Avila, Examiner, Jrny
 - Natalia Ramirez, Examiner, Sr
 - Tyffani Lowery, Examiner, Jrny
 - **VACANT, Examiner**

INSURANCE FRAUD DIVISION

Paul Hill, Assistant Director

- **Damas Salas, Special Agent Supervisor**
 - Daniel Fernandez, Special Agent
 - Fabiola Garcia, Special Agent
 - Robert Hixson, Special Agent
 - Tommy Marquez, Special Agent
- **Timothy Burk, Special Agent Supervisor**
 - Alfonso Galindo, Special Agent
 - Floyd Robinson, Special Agent (ADPS Vehicle Taskforce)
 - Michael Veal, Special Agent
 - Shane Jenkins, Special Agent
- **Frank Ceballos, Administrative Services Unit Supervisor**
 - Jordanne Mosely, Administrative Services Officer 1
 - Mary Gordon, Criminal Intelligence Analyst

Jon Savary
Deputy Director

INSURANCE FINANCIAL AFFAIRS DIVISION

- **VACANT, Assistant Director**
- Kurt Regner, Deputy Assistant Director
- Richard Johnson, Executive Consultant 1
- Robert Kell, Actuary
- Scott Persten, Chief Financial Licensing Analyst
- **Erica Bawsher, Chief Financial Compliance Officer**
- Cary Cook, Assistant Financial Compliance Officer
- Anthony McCormack, Insurance Analyst
- Christopher Ochoa, Insurance Compliance Analyst
- Francine Juarez, Insurance Compliance Analyst
- Trina Wanke, Insurance Compliance Technician
- **David Lee, Chief Financial Examiner-Analyst**
- Abbas John Kalidi, Financial Analyst 1
- Alan Walters, Financial Analyst 3
- Brandon Schwartz, Financial Analyst 1
- David Lathrop, Financial Analyst 3
- Julie Bond, Financial Examinations Supervisor
- Kathy Schmidt, Assistant Chief Financial Examiner
- L. Tami Posey, Financial Analyst 2
- Lindsey Romero, Financial Analyst 1
- **Victoria Fimea – Chief Captive Analyst**
- Rae Ann Hughes, Financial Analyst 2
- Samuel Ochsner, Financial Analyst 1

MARKET REGULATION AND CONSUMER SERVICES DIVISION

- Maria Ailor, Assistant Director**
- **Noelani Spencer, Consumer Affairs Supervisor**
 - Anthony Murdock, Consumer Affairs Analyst
 - Beth Gasper, Consumer Affairs Analyst
 - Beth Thompson, Consumer Affairs Analyst
 - Brianna Martinez, Consumer Affairs Analyst
 - Gary Henton, Consumer Affairs Analyst
 - Justin Petty, Consumer Affairs Analyst
 - Kaitlin Green, Consumer Affairs Analyst
 - Richard Traveler, Consumer Affairs Analyst
 - **Tolanda Coker, Insurance Analyst Supervisor**
 - Cheryl Hawley, Senior Insurance Analyst
 - Katie Jessen, Market Conduct Examinations Supervisor
 - **Audrey Franklin, Health Care Appeals Administrator**
 - Angelica Falques, Consumer Affairs Analyst
 - Dawn Lucero, Consumer Affairs Analyst
 - Jeanette Villines, Insurance Analyst

2019
2020

LICENSING DIVISION

Steven Fromholtz, Licensing Division Manager

- Linda Lutz, Legal Assistant II
- **Aqueelah Currie, Insurance Licensing Supervisor**
 - Debbie Burleson, Customer Service Representative 2
 - Eulaisha Ellis, Customer Service Representative 2
 - Olyver Quinlin, Customer Service Representative 2
 - Jessica Sapio, Appraisal Licensing Coordinator
- **Michelle Castaneda, Financial Enterprise Licensing Supervisor**
 - Anthony Ybanez, Customer Service Representative 2
 - Armando Acosta, Customer Service Representative 2
 - Cassandra Romero, Financial Enterprise Licensing Coordinator
 - Franco Galvan, Customer Service Representative 2
 - Luz Gomez, Customer Service Representative 2
 - Mary Cisneros, Mortgage Licensing Coordinator
 - Sophie Gonzalez, Customer Service Representative 2
 - Timothy Romero, Customer Service Representative 2